

LAWSON CREEK LIFT STATION REPLACEMENT PROJECT

VOLUME I OF II

Contract No. E13-243

File No. 1730



ENGINEERING DEPARTMENT

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CONDITIONS OF THE CONTRACT**

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END OF SECTION

SECTION 00030 NOTICE INVITING BIDS

OBTAINING CONTRACT DOCUMENTS. The Contract Documents are entitled:

Lawson Creek Lift Station Replacement

Contract No. E13-243

The Contract Documents may be obtained at the City & Borough of Juneau (CBJ) Engineering Department, 3rd Floor Marine View Center, upon payment of \$50.00 (non-refundable) for each set of Contract Documents (including Technical Specifications and Drawings) or may be downloaded for free at the CBJ Engineering Department webpage at: www.juneau.org/engineering

PRE-BID CONFERENCE. Prospective Bidders are encouraged to attend a pre-Bid conference to discuss the proposed WORK, which will be conducted by the OWNER, at 10:00 a.m. on April 25, 2013, in CBJ Engineering Department Conference Room, 3rd Floor, Marine View Center. The object of the conference is to acquaint Bidders with the project and bid documents.

DESCRIPTION OF WORK. This Project consists of installing approximately 1,100 linear feet of 10-inch diameter high density polyethylene pressure sewer; demolition of an existing sewage lift station; installation of a new sewage pump station with submersible pumps along with associated electrical work and controls and other miscellaneous items of work.

COMPLETION OF WORK. The WORK must be completed by December 1, 2013.

DEADLINE FOR BIDS: Sealed bids must be received by the Purchasing Division **prior to 2:00 p.m., Alaska Time on May 16, 2013**, or such later time as may be announced by addendum at any time prior to the deadline. Bids will be time and date stamped by the Purchasing Division, which will establish the official time of receipt of bids. Bids will be opened immediately thereafter in the Assembly Chambers of the Municipal Building, 155 S. Seward Street, unless otherwise specified.

Bid documents delivered in person or by courier service must be delivered to:

PHYSICAL LOCATION:

City and Borough of Juneau, Purchasing Division
105 Municipal Way, Room 300
Juneau, AK 99801

Bid documents delivered by the U.S. Postal Service must be mailed to:

MAILING ADDRESS:

City and Borough of Juneau, Purchasing Division
155 South Seward Street
Juneau, AK 99801

SECTION 00030 NOTICE INVITING BIDS

Please affix the label below to outer envelope in the lower left hand corner.

IMPORTANT NOTICE TO BIDDER		
To submit your Bid:		
1. Print your company name and address on the upper left corner of your envelope.		
2. Complete this label and place it on the lower left corner of your envelope.		
S E A L E D	BID NUMBER: E13-243	B I D
	SUBJECT: LAWSON CREEK LIFT STATION REPLACEMENT PROJECT	
	DEADLINE DATE: <hr/>	
	PRIOR TO 2:00PM ALASKA TIME	

Mailing/delivery times to Alaska may take longer than other areas of the U.S. Late bids will not be accepted and will be returned.

SITE OF WORK. The site of the WORK is LOCATED NEAR THE MOUTH OF Lawson Creek at the end of Old Lawson Creek in Douglas, Alaska.

BIDDING, CONTRACT, or TECHNICAL QUESTIONS. All communications relative to this WORK, prior to opening Bids, shall be directed to the following:

Jennifer Mannix, Contract Administrator
CBJ Engineering Department, 3rd Floor, Marine View Center
Email: jennifer_mannix@ci.juneau.ak.us
Telephone: (907) 586-0873
Fax: (907) 586-4530

DBE GOAL. The Disadvantaged Business Enterprise goal for this project is 6.62%.

BID SECURITY. Each Bid shall be accompanied by a certified or cashier's check or Bid Bond, in the amount of 5% percent of the Bid, payable to the City and Borough of Juneau, Alaska, as a guarantee that the Bidder, if its Bid is accepted, will promptly execute the Agreement. A Bid shall not be considered unless one of the forms of Bidder's security is enclosed with it.

CONTRACTOR'S LICENSE. All contractors are required to have a current Alaska Contractor's License, prior to submitting a Bid, and a current Alaska Business License prior to award.

BID TO REMAIN OPEN. The Bidder shall guarantee the Bid for a period of 120 Days from the date of Bid opening. Any component of the Bid may be awarded anytime during the 120 Days.

SECTION 00030 NOTICE INVITING BIDS

OWNER'S RIGHTS RESERVED. The OWNER reserves the right to reject any or all Bids, to waive any informality in a Bid, and to make award to the lowest responsive, responsible Bidder as it may best serve the interests of the OWNER.

STANDARD SPECIFICATIONS. The Standard Specifications for Civil Engineering Projects and Subdivision Improvements, December 2003 with twelve Errata Sheets, as published by the City and Borough of Juneau, is part of these Contract Documents and shall pertain to all phases of the contract. This document is available for a fee from the City and Borough of Juneau Engineering Contracts Office, (907) 586-0490, or you may view it on line at: www.juneau.org/engineering.

OWNER: City and Borough of Juneau

By: _____
Jennifer Mannix, Contract Administrator

Date

END OF SECTION

SECTION 00100 - INSTRUCTIONS TO BIDDERS

1.0 DEFINED TERMS. Terms used in these Instructions to Bidders and the Notice Inviting Bids, which are defined in the General Conditions, have the meanings assigned to them in the General Conditions. The term "Bidder" means one who submits a Bid directly to the OWNER, as distinct from a sub-bidder, who submits a Bid to a Bidder.

2.0 INTERPRETATIONS AND ADDENDA.

- A. **INTERPRETATIONS.** All questions about the meaning or intent of the Contract Documents are to be directed to the Engineering Contracts Administrator. Interpretations or clarifications considered necessary by the Engineering Contracts Administrator in response to such questions will be issued by Addendum, mailed, faxed, or delivered to all parties recorded by the Engineering Contracts Administrator, or OWNER, as having received the Contract Documents. Questions received less than seven Days prior to the Deadline for Bids may not be answered. Only questions answered by formal written Addendum will be binding. Oral and other interpretations or clarifications will be without legal effect.
- B. **ADDENDA.** Addenda may be issued to modify the Contract Documents as deemed advisable by the OWNER. Addenda may be faxed or, if addendum format warrants, addenda may be posted to the CBJ Engineering Department website. In any event, notification of addendum issuance will be faxed to planholders. Hard copies are available upon request. The OWNER will make all reasonable attempts to ensure that all planholders receive notification of Addenda, however, it is strongly recommended by the OWNER that bidders independently confirm the contents, number, and dates of each Addendum prior to submitting a Bid.

3.0 FAIR COMPETITION. More than one Bid from an individual, firm, partnership, corporation, or association under the same or different names will not be considered. If the OWNER believes that any Bidder is interested in more than one Bid for the WORK contemplated, all Bids in which such Bidder is interested will be rejected. If the OWNER believes that collusion exists among the Bidders, all Bids will be rejected.

4.0 RESPONSIBILITY OF BIDDERS. Only responsive Bids from responsible Bidders will be considered. A Bid submitted by a Bidder determined to be not responsible may be rejected. The OWNER may find a bidder to be not responsible for any one of the following reasons, but is not limited in its responsibility analysis to the following factors:

- A. Evidence of bid rigging or collusion;
- B. Fraud or dishonesty in the performance of previous contracts;
- C. Record of integrity;
- D. More than one bid for the same work from an individual, firm, or corporation under the same or different name;
- E. Unsatisfactory performance on previous or current contracts;
- F. Failure to pay, or satisfactorily settle, all bills due for labor and material on previous contracts;

SECTION 00100 - INSTRUCTIONS TO BIDDERS

- G. Uncompleted work that, in the judgment of the OWNER, might hinder or prevent the bidder's prompt completion of additional work, if awarded;
- H. Failure to reimburse the OWNER for monies owed on any previous contracts;
- I. Default under previous contracts;
- J. Failure to comply with any qualification requirements of the OWNER; special standards for responsibility, if applicable, will be specified. These special standards establish minimum standards or experience required for a responsible Bidder on a specific contract;
- K. Engaging in any activity that constitutes a cause for debarment or suspension under the CBJ Procurement Code 53.50 or submitting a bid during a period of debarment;
- L. Lack of skill, ability, financial resources, or equipment required to perform the contract; or
- M. Lack of legal capacity to contract.
- N. Bidders must be registered as required by law and in good standing for all amounts owned to the OWNER per Paragraph 21.0 of this Section.
- O. Failure to submit a complete Subcontractor Report as required in section Section 00360 – Subcontractor Report.

Nothing contained in this section deprives the OWNER of its discretion in determining the lowest responsible bidder. Before a Bid is considered for award, a Bidder may be requested to submit information documenting its ability and competency to perform the WORK, according to general standards of responsibility and any special standards which may apply. It is Bidder's responsibility to submit sufficient, relevant, and adequate information. OWNER will make its determination of responsibility and has no obligation to request clarification or supplementary information.

5.0 NON-RESPONSIVE BIDS. Only responsive Bids will be considered. Bids may be considered non-responsive and may be rejected. Some of the reasons a Bid may be rejected for being non-responsive are:

- A. If a Bid is received by the CBJ Purchasing Division after the Deadline for Bids.
- B. If the Bid is on a form other than that furnished by the OWNER, or legible copies thereof; or if the form is altered or any part thereof is detached; or if the Bid is improperly signed.
- C. If there are unauthorized additions, conditional or alternate Bids, or irregularities of any kind which may tend to make the bid incomplete, indefinite, ambiguous as to its meaning, or in conflict with the OWNER's Bid document.
- D. If the Bidder adds any unauthorized conditions, limitations, or provisions reserving the right to accept or reject any award, or to enter into a contract pursuant to an award. This does not exclude a Bid limiting the maximum gross amount of awards acceptable to any one Bidder at any one Bid opening, provided that any selection of awards will be made by the OWNER.

SECTION 00100 - INSTRUCTIONS TO BIDDERS

- E. If the Bid does not contain a Unit Price for each pay item listed, except in the case of authorized alternate pay items.
- F. If the Bidder has not acknowledged receipt of each Addendum.
- G. If the Bidder fails to furnish an acceptable Bid guaranty with the Bid.
- H. If any of the Unit Prices Bid are excessively unbalanced (either above or below the amount of a reasonable Bid) to the potential detriment of the OWNER.
- I. If a Bid modification does not conform to Article 15.0 of this Section.

6.0 BIDDER'S EXAMINATION OF CONTRACT DOCUMENTS AND SITE. It is the responsibility of each Bidder before submitting a Bid:

- A. To examine thoroughly the Contract Documents, and other related data identified in the Bidding documents (including "technical data" referred to below):
 - 1. To visit the site to become familiar with and to satisfy the Bidder as to the general and local conditions that may affect cost, progress, or performance, of the WORK,
 - 2. To consider federal, state and local laws and regulations that may affect cost, progress, or performance of the WORK,
 - 3. To study and carefully correlate the Bidder's observations with the Contract Documents, and other related data; and
 - 4. To notify the ENGINEER of all conflicts, errors, or discrepancies in or between the Contract Documents and such other related data.

7.0 REFERENCE IS MADE TO THE SUPPLEMENTARY GENERAL CONDITIONS FOR IDENTIFICATION OF:

- A. Those reports of explorations and tests of subsurface conditions at the site which have been utilized by the Engineer of Record in the preparation of the Contract Documents. The Bidder may rely upon the accuracy of the technical data contained in such reports, however, the interpretation of such technical data, including any interpolation or extrapolation thereof, together with non-technical data, interpretations, and opinions contained therein or the completeness thereof is the responsibility of the Bidder.
- B. Those Drawings of physical conditions in or relating to existing surface and subsurface conditions (except underground utilities) which are at or contiguous to the site have been utilized by the Engineer of Record in the preparation of the Contract Documents. The Bidder may rely upon the accuracy of the technical data contained in such Drawings, however, the interpretation of such technical data, including any interpolation or extrapolation thereof, together with nontechnical data, interpretations, and opinions contained in such Drawings or the completeness thereof is the responsibility of the Bidder.
- C. Copies of such reports and Drawings will be made available by the OWNER to any Bidder on request if said reports and Drawings are not bound herein. Those reports and Drawings are not part of the Contract Documents, but the technical data contained therein upon which the Bidder is entitled to rely, as provided in Paragraph SGC-4.2 of the Supplementary General Conditions, are incorporated herein by reference.

SECTION 00100 - INSTRUCTIONS TO BIDDERS

- D. Information and data reflected in the Contract Documents with respect to underground utilities at or contiguous to the site is based upon information and data furnished to the OWNER and the Engineer of Record by the owners of such underground utilities or others, and the OWNER does not assume responsibility for the accuracy or completeness thereof unless it is expressly provided otherwise in the Supplementary General Conditions, or in Section 01530 - Protection and Restoration of Existing Facilities of the General Requirements.
- E. Provisions concerning responsibilities for the adequacy of data furnished to prospective Bidders on subsurface conditions, underground utilities and other physical conditions, and possible changes in the Contract Documents due to differing conditions appear in Paragraphs 4.2, 4.3, and 4.4 of the General Conditions.
- F. Before submitting a Bid, each Bidder will, at Bidder's own expense, make or obtain any additional examinations, investigations, explorations, tests, and studies and obtain any additional information and data which pertain to the physical conditions (surface, subsurface, and underground utilities) at or contiguous to the site or otherwise which may affect cost, progress, or performance of the WORK and which the Bidder deems necessary to determine its Bid for performing the WORK in accordance with the time, price, and other terms and conditions of the Contract Documents.
- G. On request in advance, the OWNER will provide each Bidder access to the site to conduct such explorations and tests as each Bidder deems necessary for submission of a Bid. Bidder shall fill all holes and shall clean up and restore the site to its former condition upon completion of such explorations.
- H. The lands upon which the WORK is to be performed, rights-of-way and easements for access thereto and other lands designated for use by the CONTRACTOR in performing the WORK are identified in the Contract Documents. All additional lands and access thereto required for temporary construction facilities or storage of materials and equipment are to be provided by the CONTRACTOR. Easements for permanent structures or permanent changes in existing structures are to be obtained and paid for by the OWNER unless otherwise provided in the Contract Documents.
- I. The submission of a Bid will constitute an incontrovertible representation by the Bidder that the Bidder has complied with every requirement of Article 6.0, "Bidder's Examination of Contract Documents and Site" herein, that without exception the Bid is premised upon performing the WORK required by the Contract Documents and such means, methods, techniques, sequences, or procedures of construction as may be indicated in or required by the Contract Documents, and that the Contract Documents are sufficient in scope and detail to indicate and convey understanding of all terms and conditions for performance of the WORK.

8.0 BID FORM.

- A. The Bid shall be made on the Bid Schedule(s) bound herein, or on the yellow Bid packet provided, or on legible and complete copies thereof, and shall contain the following: Sections 00300, 00310, the required Bid Security, and any other documents required in Section 00300 – Bid.

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- B. All blanks on the Bid Form and Bid Schedule must be completed in ink or typed.
- C. Bids by corporations must be executed in the corporate name by the president, a vice-president (or other corporate officer). The corporate address and state of incorporation must appear below the signature.
- D. Bids by partnerships must be executed in the partnership name and be signed by a managing partner, and the official address of the partnership must appear below the signature.
- E. The Bidder's Bid must be signed. All names must be printed or typed below the signature.
- F. The Bid shall contain an acknowledgment of receipt of all Addenda, the numbers of which shall be filled in on the Bid form. Failure to acknowledge Addenda may render Bid non-responsive and may cause its rejection.
- G. The address to which communications regarding the Bid are to be directed must be shown.

9.0 QUANTITIES OF WORK. The quantities of WORK, or material, stated in Unit Price items of the Bid are supplied only to give an indication of the general scope of the WORK; the OWNER does not expressly or by implication agree that the actual amount of WORK, or material, will correspond therewith, and reserves the right after award to increase or decrease the amount of any Unit Price item of the WORK by an amount up to and including 25 percent of any Bid item, without a change in the Unit Price, and shall include the right to delete any Bid item in its entirety, or to add additional Bid items up to and including an aggregate total amount not to exceed 25 percent of the Contract Price (see Section 00700 - General Conditions, Article 10 Changes In the WORK).

10.0 SUBSTITUTE OR "OR-EQUAL" ITEMS. The procedure for the submittal of substitute or "or-equal" products is specified in Section 01300 - Submittals.

11.0 SUBMISSION OF BIDS. The Bid shall be delivered by the time and to the place stipulated in Section 00030 - Notice Inviting Bids. It is the Bidder's sole responsibility to see that its Bid is received in proper time. Oral, telegraphic, emailed, or faxed Bids will not be considered. The envelope enclosing the sealed Bids shall be plainly marked in the upper left-hand corner with the name and address of the Bidder and shall also include the label included in Section 00030 – Notice Inviting Bids. The Bid Security shall be enclosed in the same envelope with the Bid

12.0 BID SECURITY, BONDS, AND INSURANCE. Each Bid shall be accompanied by a certified, or cashier's check, or approved Bid Bond in an amount of at least 5 percent of the total Bid price. The "total Bid price" is the amount of the Base Bid, plus the amount of alternate Bids, if any, which total to the maximum amount for which the CONTRACT could be awarded. Said check or Bond shall be made payable to the OWNER and shall be given as a guarantee that the Bidder, if offered the WORK, will enter into an Agreement with the OWNER, and will furnish the necessary insurance certificates, Payment Bond, and Performance Bond; each of said Bonds, if required, and insurance amounts shall be as stated in the Supplementary General Conditions. In case of refusal or failure to enter into said Agreement, the check or Bid Bond, as the case may be, may be forfeited to the OWNER. If the Bidder elects to furnish a Bid Bond as its Bid security, the Bidder shall use the Bid Bond form bound herein, or one conforming substantially to it in form. Bid Bonds must be accompanied by a legible Power of Attorney.

SECTION 00100 - INSTRUCTIONS TO BIDDERS

13.0 RETURN OF BID SECURITY. The OWNER will return all Bid security checks (certified or cashier's) accompanying such of the Bids as are not considered in making the award. All other Bid securities will be held until the Agreement has been executed. Following execution of the Agreement, all other Bid security checks will be returned to the respective Bidders whose Bids they accompanied and Bid security bonds will be appropriately discarded.

14.0 DISCREPANCIES IN BIDS. In the event there is more than one Pay Item in a Bid Schedule, the Bidder shall furnish a price for all Pay Items in the schedule, and failure to do so may render the Bid non-responsive and cause its rejection. In the event there are Unit Price Pay Items in a Bid Schedule and the "amount" indicated for a Unit Price Bid Item does not equal the product of the Unit Price and quantity, the Unit Price shall govern and the amount will be corrected accordingly, and the Bidder shall be bound by said correction. In the event there is more than one Pay Item in a Bid Schedule and the total indicated for the schedule does not agree with the sum of the prices Bid on the individual items, the prices Bid on the individual items shall govern and the total for the schedule will be corrected accordingly, and the Bidder shall be bound by said correction.

15.0 BID MODIFICATIONS AND UNAUTHORIZED ALTERNATIVE BIDS.

- A. Any bidder may deliver a modification to a bid in person, by mail or fax (907-586-4561), provided that such modification is received by the Purchasing Division no later than the deadline for bids. Modifications will be time and date stamped by the Purchasing Division, which will establish the official time of receipt of the modification. The modification must not reveal the bid price but should be in the form of an addition or subtraction or other modification so that the final prices will not be known until the sealed bid is opened.

The Bid modifications shall be provided on the **Bid Modification Form** located at the end of this Section. Submittal of any other form by the vendor may deem the modification unacceptable by the OWNER. **A mail or fax modification should not reveal the Bid price but should provide the addition or subtraction or other modification so that the final prices will not be known by the City and Borough until the sealed Bid is opened.** Submitted Modification forms shall include the modification to the unit price or lump sum amount of each pay item modified.

FAX DISCLAIMER: It is the responsibility of the bidder to submit modifications in a timely manner. Bidders' use of a fax machine to modify their bid shall be at bidders' sole risk. The Purchasing Division will attempt to keep the fax machine in good working order but will not be responsible for bid modifications that are late due to mechanical failure, a busy fax machine, or any other cause arising from bidder's use of a fax machine, even if bidder submits a transmission report or provides other confirmation indicating that the bidder transmitted a bid modification prior to the deadline. The City will not be responsible for its failure to receive the modification whether such failure is caused by equipment or human error, or otherwise. Bidders are therefore strongly encouraged to confirm receipt of their bid modification with the Purchasing Division (907-586-5258) prior to deadline.

- B. Conditioned bids, limitations, or provisos attached to the Bid or bid modification will render it unauthorized and cause its rejection as being non-responsive. The completed Bid forms shall be without interlineations, alterations, or erasures in the printed text. All changes shall be initialed by the person signing the Bid. Alternative Bids will not be considered unless called for.

SECTION 00100 - INSTRUCTIONS TO BIDDERS

16.0 WITHDRAWAL OF BID. Prior to the Deadline for Bids, the Bid may be withdrawn by the Bidder by means of a written request, signed by the Bidder or its properly authorized representative. Such written request must be delivered to the place stipulated in the Notice Inviting Bids for receipt of Bids.

17.0 AWARD OF CONTRACT.

- A. Award of a contract, if it is awarded, will be on the basis of materials and equipment described in the Drawings or specified in the Technical Specifications and will be made to the lowest responsive, responsible Bidder whose Bid complies with all the requirements prescribed. Unless otherwise specified, any such award will be made within the period stated in the Notice Inviting Bids that the Bids are to remain open. Unless otherwise indicated, a single award will be made for all the Bid items in an individual Bid Schedule.
- B. If the OWNER has elected to advertise this Project with a Base Bid and Alternates, the OWNER may elect to award the contract for the Base Bid, or the Base Bid in combination with one or more Alternates selected by the OWNER. In either case, award shall be made to the responsive, responsible bidder offering the lowest total Bid for the WORK to be awarded.

18.0 EXECUTION OF AGREEMENT.

- A. All Bids of value greater than \$1,000,000 must be approved by the CBJ Assembly. After the CBJ Assembly has approved the award and after the Bid protest period, the OWNER will issue a Notice of Intent to Award to the approved Bidder. The Bidder to whom award is made shall execute a written Agreement with the OWNER on the Agreement form, Section 00500, collect insurance, and shall furnish all certificates and Bonds required by the Contract Documents within 10 Days (calendar) from the date of the Notice of Intent to Award letter.
- B. Failure or refusal to enter into the Agreement as herein provided or to conform to any of the stipulated requirements in connection therewith shall be just cause for annulment of the award and forfeiture of the Bid security. If the lowest responsive, responsible Bidder refuses or fails to execute the Agreement, the OWNER may award the contract to the second lowest responsive, responsible Bidder. If the second lowest responsive, responsible Bidder refuses or fails to execute the Agreement, the OWNER may award the contract to the third lowest responsive, responsible Bidder. On the failure or refusal of such second or third lowest Bidder to execute the Agreement, each such Bidder's Bid securities shall be likewise forfeited to the OWNER.

19.0 LIQUIDATED DAMAGES. Provisions for liquidated damages if any, are set forth in Section 00500 - Agreement.

20.0 FILING A PROTEST.

- A. A Bidder may protest the proposed award of a competitive sealed Bid by the City and Borough of Juneau. The protest shall be executed in accordance with CBJ Ordinance 53.50.062 PROTESTS and CBJ Ordinance 53.50.080 ADMINISTRATION OF PROTEST. The entire text of the CBJ Purchasing Ordinance can be accessed at the CBJ

SECTION 00100 - INSTRUCTIONS TO BIDDERS

website, <http://www.juneau.org/law/code/code.php>, or call the CBJ Purchasing Division at (907) 586-5258 for a copy of the ordinance.

B. Late protests shall not be considered by the CBJ Purchasing Officer.

21.0 CONTRACTOR'S GOOD STANDING WITH CBJ FINANCE DEPARTMENT: Contractors must be in good standing with the CBJ prior to award, and prior to any contract renewals, and in any event no later than seven business days following notification by the CBJ of intent to award. **Good standing** means: all amounts owed to the CBJ are current and the Contractor is not delinquent with respect to any taxes, fees, assessment, or other monies due and owed the CBJ, or a Confession of Judgment has been executed and the Contractor is in compliance with the terms of any stipulation associated with the Confession of Judgment, including being current as to any installment payments due; and Contractor is current in all CBJ reporting obligations (such as sales tax registration and reporting and business personal property declarations). Failure to meet these requirements may be cause for rejection of your bid. To determine if your business is in good standing, or for further information, contact the CBJ Finance Department's Sales Tax Division at (907) 586-5265 for sales tax issues, Assessor's Office at (907)586-0930 for business personal property issues, or Collections Division at (907) 586-5268 for all other accounts.

22.0 PERMITS AND LICENSES. The CONTRACTOR is responsible for all WORK associated with meeting any local, state, and/or federal permit and licensing requirements.

SECTION 00100 - INSTRUCTIONS TO BIDDERS

CITY AND BOROUGH OF JUNEAU
PURCHASING DIVISION
FAX NO. 907-586-4561

BID MODIFICATION FORM

Modification Number: _____

Note: All modifications shall be made to the original bid amount(s). If more than one Modification form is submitted by any one bidder, changes from all Modification forms submitted will be combined and applied to the original bid. Changes to the modified Bid amounts will be calculated by the OWNER.

PAY ITEM NO.	PAY ITEM DESCRIPTION	UNIT PRICE CHANGE – Leave Blank For Lump Sum Pay Items (indicate +/-)	TOTAL INCREASE OR DECREASE (indicate +/-)
Total Increase or Decrease			\$

Name of Bidding Firm

Responsible Party Signature

Printed Name (must be an authorized signatory for Bidding Firm)

END OF SECTION

SECTION 00300 - BID

BID TO: THE CITY AND BOROUGH OF JUNEAU

1. The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with the OWNER in the form included in the Contract Documents (as defined in Article 6 of the Agreement) to perform the WORK as specified or indicated in said Contract Documents entitled

Lawson Creek Lift Station Replacement Project

Contract No. E13-243

2. Bidder accepts all of the terms and conditions of the Contract Documents, including without limitation those in the "Notice Inviting Bids" and "Instructions to Bidders," dealing with the disposition of the Bid Security.
3. This Bid will remain open for the period of time stated in the "Notice Inviting Bids" unless otherwise required by law. Bidder will enter into an Agreement within the time and in the manner required in the "Notice Inviting Bids" and the "Instructions to Bidders," and will furnish insurance certificates, Payment Bond, Performance Bond, and any other documents as may be required by the Contract Documents.
4. Bidder has familiarized itself with the nature and extent of the Contract Documents, WORK, site, locality where the WORK is to be performed, the legal requirements (federal, state and local laws, ordinances, rules, and regulations), and the conditions affecting cost, progress or performance of the WORK and has made such independent investigations as Bidder deems necessary.
5. This Bid is genuine and not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation; Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid; Bidder has not solicited or induced any person, firm or corporation to refrain from bidding; and Bidder has not sought by collusion to obtain for itself any advantage over any other Bidder or over OWNER.
6. To all the foregoing, and including all Bid Schedule(s) and information required of Bidder contained in this Bid form, said Bidder further agrees to complete the WORK required under the Contract Documents within the Contract Time stipulated in said Contract Documents, and to accept in full payment therefore the Contract Price based on the Total Bid Price(s) named in the aforementioned Bid Schedule(s).
7. Bidder has examined copies of all the Contract Documents including the following addenda (receipt of all of which is hereby acknowledged by the Undersigned):

Addenda No.	Date Issued	Addenda No.	Date Issued

Give number and date of each addenda above. Failure to acknowledge receipt of all addenda may cause the Bid to be non-responsive and may cause its rejection.

SECTION 00300 - BID

8. The Bidder has read this Bid and agrees to the conditions as stated herein by affixing his/her signature in the space provided below.

Dated: _____	Bidder: _____ (Company Name)
Alaska CONTRACTOR's Business License No: _____	By: _____ (Signature)
Alaska CONTRACTOR's License No: _____	Printed Name: _____ Title: _____
Telephone No: _____	Address: _____ (Street or P.O. Box)
Fax No: _____	_____
Email: _____	(City, State, Zip)

9. TO BE CONSIDERED, ALL BIDDERS MUST COMPLETE AND INCLUDE THE FOLLOWING AT THE TIME OF THE BID OPENING:

- Bid, Section 00300 (includes addenda receipt statement)
- Completed Bid Schedule, Section 00310
- Bid Security (Bid Bond, Section 00320, or by a certified or cashier's check as stipulated in the Notice Inviting Bids, Section 00030)
- **Disadvantaged Business Enterprises (Minority and Women-Owned Business Enterprises) Compliance Statement, (Section 00400).**

10. The apparent low Bidder is required to complete and submit the following document by 4:30 p.m. on the fifth business day following the date of the Posting Notice.

- Subcontractor Report, Section 00360

11. The apparent low Bidder who fails to submit a completed Subcontractor Report within the time specified in this Section may be found to be not a responsible bidder and may be required to forfeit the bid security. The OWNER may then consider the next lowest Bidder for award of the Contract.

12. The successful Bidder will be required to submit, within ten calendar Days after the date of the "Notice of Intent to Award" letter, the following executed documents:

- Agreement Forms, Section 00500
- Performance Bond, Section 00610
- Payment Bond, Section 00620
- Certificates of Insurance, (CONTRACTOR) Section 00700 and Section 00800
- Equal Employment Opportunity Statement of Acknowledgement, Section 00400
- Disadvantaged Business Enterprises (Minority and Women-Owned Business Enterprises) Report of Participation, Section 00400
- Disadvantaged Business Enterprises (Minority and Women-Owned Business Enterprises) Contact Documentation, Section 00400 – if goal is not met

SECTION 00300 - BID

- Disadvantaged Business Enterprise Program – DBE Subcontractor Participation Form, Section 00400
- Disadvantaged Business Enterprise Program – DBE Subcontractor Performance Form, Section 00400
- Disadvantaged Business Enterprise Program – DBE Subcontractor Utilization Form, Section 00400

END OF SECTION

SECTION 00310 - BID SCHEDULE

PAY ITEM NO.	PAY ITEM DESCRIPTION	PAY UNIT	APPROX. QUANTITY	UNIT PRICE		AMOUNT	
				DOLLARS	CENTS	DOLLARS	CENTS
1505.1	Mobilization	Lump Sum	All Req'd	Lump	Sum		
1570.1	Erosion Control	Lump Sum	All Req'd	Lump	Sum		
2050.1	Demolition	Lump Sum	All Req'd	Lump	Sum		
2201.1	Clearing and Grubbing	Lump Sum	All Req'd	Lump	Sum		
2203.2	Imported Backfill	Ton	100				
2203.3	Sheeting, Shoring and Bracing	Lump Sum	All Req'd	Lump	Sum		
2204.1	Base Course, Grading D-1	Ton	100				
2401.1	Gravity Sewer Pipe, 12-inch PVC	LF	8				
2401.2	Pressure Sewer Pipe (Force Main), 2-inch HDPE	LF	130				
2401.3	Pressure Sewer Pipe (Force Main), 10-inch HDPE	LF	1,105				
2402.1	Sanitary Sewer Manhole, Type I Extension	Lump Sum	All Req'd	Lump	Sum		
11176.1	Lawson Creek Lift Station	Lump Sum	All Req'd	Lump	Sum		
16000.1	Lawson Creek Lift Station Electrical	Lump Sum	All Req'd	Lump	Sum		
16000.2	Lawson Creek Lift Station AEL&P and ACS Service	Lump Sum	All Req'd	Lump	Sum		

TOTAL BID _____

COMPANY NAME: _____

SECTION 00320 - BID BOND

KNOW ALL PERSONS BY THESE PRESENTS, that _____
_____ as Principal, and _____
as Surety, are held and firmly bound unto **THE CITY AND BOROUGH OF JUNEAU** hereinafter called
"OWNER," in the sum of _____
_____ dollars, (not less than five percent of the total amount of the Bid) for the
payment of which sum, well and truly to be made, we bind ourselves, our heirs, executors, administrators,
successors, and assigns, jointly and severally, firmly by these presents.

WHEREAS, said Principal has submitted a Bid to said OWNER to perform the WORK required under
the Bid Schedule of the OWNER's Contract Documents entitled

LAWSON CREEK LIFT STATION REPLACEMENT PROJECT

Contract No. E13-243

NOW THEREFORE, if said Principal is awarded a contract by said OWNER and, within the time and
in the manner required in the "Notice Inviting Bids" and the "Instructions to Bidders" enters into a written
Agreement on the form of Agreement bound with said Contract Documents, furnishes the required certificates
of insurance, and furnishes the required Performance Bond and Payment Bond, then this obligation shall be
null and void, otherwise it shall remain in full force and effect. In the event suit is brought upon this bond by
said OWNER and OWNER prevails, said Surety shall pay all costs incurred by said OWNER in such suit,
including a reasonable attorney's fee to be fixed by the court.

SIGNED AND SEALED, this _____ day of _____, 20____

(SEAL) _____
(Principal)

(SEAL) _____
(Surety)

By: _____
(Signature)

By: _____
(Signature)

END OF SECTION

SECTION 00360 - SUBCONTRACTOR REPORT

LIST OF SUBCONTRACTORS (AS 36.30.115)

The apparent low Bidder must submit a list of Subcontractors that the Bidder proposes to use in the performance of this contract *on the fifth business day* following the Posting Notice of Bids. If the fifth day falls on a weekend or holiday, the report is due by close of business on the next business Day following the weekend or holiday. The Subcontractor Report list must include each Subcontractor's name, address, location, evidence of valid Alaska Business License, and valid Alaska Contractor's Registration under AS 08.18. *If no Subcontractors are to be utilized in the performance of the WORK, write in ink or type "NONE" on line (1) below.*

<u>SUBCONTRACTOR</u>	¹ AK Contractor License No.	¹ Contact Name	<u>Type of</u>	<u>Contract</u>	✓ if
<u>ADDRESS</u>	² AK Business License No.	² Phone No.	<u>Work</u>	<u>Amount</u>	<u>DBE</u>
1. _____ _____ _____	1 _____ 2 _____	_____ _____	_____ _____	\$ _____	<input type="checkbox"/>
2. _____ _____ _____	1 _____ 2 _____	_____ _____	_____ _____	\$ _____	<input type="checkbox"/>
3. _____ _____ _____	1 _____ 2 _____	_____ _____	_____ _____	\$ _____	<input type="checkbox"/>
4. _____ _____ _____	1 _____ 2 _____	_____ _____	_____ _____	\$ _____	<input type="checkbox"/>

I certify that the above listed Alaska Business License(s) and CONTRACTOR Registration(s), if applicable, were valid at the time Bids were opened for this Project.

CONTRACTOR, Authorized Signature

CONTRACTOR, Printed Name

COMPANY

SECTION 00360 - SUBCONTRACTOR REPORT

- A. A Bidder may replace a listed Subcontractor if the Subcontractor:
1. fails to comply with AS 08.18;
 2. files for bankruptcy or becomes insolvent;
 3. fails to execute a contract with the Bidder involving performance of the WORK for which the Subcontractor was listed and the Bidder acted in good faith;
 4. fails to obtain bonding;
 5. fails to obtain insurance acceptable to the OWNER;
 6. fails to perform the contract with the Bidder involving work for which the Subcontractor was listed;
 7. must be substituted in order for the CONTRACTOR to satisfy required state and federal affirmative action requirements;
 8. refuses to agree or abide with the Bidder's labor agreement; or
 9. is determined by the OWNER not to be responsible.
 10. is not in "Good Standing" with the OWNER as required in Article 21.0 in Section 00100 – Instructions to Bidders.
- B. If a Bidder fails to list a Subcontractor or lists more than one Subcontractor for the same portion of WORK, the Bidder shall be considered to have agreed to perform that portion of WORK without the use of a Subcontractor and to have represented the Bidder to be qualified to perform that WORK.
- C. A Bidder who attempts to circumvent the requirements of this section by listing as a Subcontractor another contractor who, in turn, sublets the majority of the WORK required under the contract violates this section.
- D. If a contract is awarded to a Bidder who violates this section, the OWNER may:
1. cancel the contract; or
 2. after notice and a hearing, assess a penalty on the Bidder in an amount that does not exceed 10 percent of the value of the subcontract at issue.
- E. On the Subcontractor Report, the apparent low Bidder must list any Subcontractors anticipated to perform WORK with a value of greater than one-half of one percent of the intended award amount, or \$2,000, whichever is less.
- F. An apparent low Bidder who fails to submit a completed Subcontractor Report within the time specified in this section may be found to be not a responsible Bidder and may be required to forfeit the Bid security. The OWNER will then consider the next lowest Bidder for award of the contract.

END OF SECTION

SECTION 00400 – DEC – DBE PROGRAM REQUIREMENTS

STATE OF ALASKA DEPARTMENT OF ENVIRONMENTAL CONSERVATION ALASKA CLEAN WATER FUND & ALASKA DRINKING WATER FUND DISADVANTAGED BUSINESS ENTERPRISES OVERVIEW

Projects receiving State of Alaska Department of Environmental Conservation loans will require Contractors to comply with the following Disadvantaged Business Enterprise Program. Section 00400 is attached and requirements are outlined herein. You will find in this Section:

- Disadvantaged Business Enterprises Overview

Pages 2-7

Enclosed Forms:

- Disadvantaged Business Enterprises (Minority and Women-Owned Business Enterprises) Compliance Statement
- Equal Employment Opportunity Statement of Acknowledgement
- Disadvantaged Business Enterprises (Minority and Women-Owned Business Enterprises) Report of Participation
- Disadvantaged Business Enterprises (Minority and Women-Owned Business Enterprises) Contact Documentation
- Disadvantaged Business Enterprises (Minority and Women-Owned Business Enterprises) Report of Participation
- Disadvantaged Business Enterprise Program – DBE Subcontractor Participation Form
- Disadvantaged Business Enterprise Program – DBE Subcontractor Performance Form
- Disadvantaged Business Enterprise Program – DBE Subcontractor Utilization Form
- ADEC / U.S. EPA – MBE/WBE Utilization Under Federal Grants, Cooperative Agreements, and Interagency Agreements

Pages 8-21

**STATE OF ALASKA
DEPARTMENT OF ENVIRONMENTAL CONSERVATION
ALASKA CLEAN WATER FUND & ALASKA DRINKING WATER FUND**

**DISADVANTAGE BUSINESS ENTERPRISES
OVERVIEW**

The loan recipient, consultant and contractor of an Alaska Clean Water or Drinking Water Fund revolving loan project are required to comply with EPA regulations (40 CFR Part 33) concerning the use of disadvantage owned businesses enterprises (DBE). Also required is compliance with EEO/Affirmative Action Regulations of the Department of Labor (see attached Statement of Acknowledgement). These regulations help ensure that economic opportunities are available to all people of this country.

The expenditure of Federal funds must reflect equal opportunity, anti-discrimination provisions of the 1964 Civil Rights Act, affirmative action and DBE or more specifically small, minority and women-owned businesses utilization under EPA's DBE program. Utilization may be through prime contracting, subcontracting, joint-venture, procurement of supplies, material or equipment, or other business participation utilized in completing a project. For all situations, contractors must take necessary and reasonable steps to ensure DBE's have the maximum opportunity to compete for and/or perform contracts. Contractors shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of projects where assistance is provided from an ADEC revolving loan fund program.

NOTE: On March 26, 2008, the Environmental Protection Agency (EPA) Office of Small Business Programs (OSBP) published its final rule, "Participation by Disadvantaged Business Enterprises in Procurement under Environmental Protection Agency Financial Assistance Agreements (DBE Rule) in the Federal Register (40 CFR part 30-40). The final rule took effect on May 25, 2008. The EPA DBE Program encompasses many of the components of the former MBE/WBE Program and also includes changes.

Some changes are:

- Creation of the Disadvantaged Business Enterprise (DBE) Program (formerly the Minority Business Enterprise/Women's Business Enterprise (MBE/WBE) Program).
- Recipients receiving a total of \$250K or less in financial assistance in a given fiscal year are exempt from this requirement.
- The "Six Affirmative Steps" and "Six Positive Efforts" were combined into the "Six Good Faith Efforts."
- A recipient must require its prime contractor to pay its subcontractor for satisfactory performance no more than 30 days from the prime contractor's receipt of payment from the recipient.

- The loan recipient must be notified in writing by its prime contractor prior to any termination of a DBE subcontractor.
- If a DBE subcontractor fails to complete work under the subcontract for any reason, the prime contractor must use the Six Good Faith Efforts in selecting a replacement subcontractor.
- The prime contractor must employ the Six Good Faith Efforts even if the prime has achieved its Fair Share Objectives.
- Recipients who reported quarterly under the old MBE/WBE program will now report semi-annually.
- MBE's and WBE's can no longer self-certify. They must be certified by EPA, Small Business Administration (SBA), Department of Transportation (DOT) or by state, local, Tribal or private entities whose certification criteria match EPA's. (MBEs and WBEs must be certified in order to be counted toward a recipient's MBE/WBE accomplishments.) The new requirements affect all financial assistance agreements entered into from the effective date of the rule (May 25, 2008). The new DBE rule won't affect those financial assistance agreements entered into before May 25, 2008; those will still operate under the old MBE/WBE program requirements.

SUMMARY OF GOALS

Stated simply, in meeting DBE goals under this program, the prime contractor must either 1) achieve the goal of contracting to Minority or Women-Owned Enterprises (MBE/WBE), or 2) follow the proper procedures in thoroughly documenting good faith efforts to achieve MBE/WBE goal participation. A prime contractor who is an MBE/WBE firm can also be counted towards the goal. (see attached current participation goals for the Department)

REQUIREMENTS

A. Definitions

- Disadvantaged Business Enterprise – Per EPA requirements for projects funded under the Alaska Drinking Water Fund and Alaska Clean Water Fund loan programs, Disadvantage Business Enterprises only include entities owned and/or controlled by socially and economically disadvantaged individuals (as described in 42 USC 7601 and 42 USC 4370d) – which includes Women's Business Enterprises (WBE) and Minority Business Enterprises (MBE). (for more information go to: <http://www.epa.gov/osbp/grants.htm>)
- Minority Business Enterprise or Women Owned Business Enterprise – means a small business concern which is owned and controlled by one or more minorities or women. Owned and controlled means a business:

1. Which is at least 51 percent owned by one or more minorities or women, or in the case of a publicly owned business, at least 51% of the stock is owned by one or more minorities or women;
 2. Whose management and daily business operations are controlled by one or more such individuals.
- Socially Disadvantage Individual – means a person who is a citizen or lawful permanent resident of the United States and who is:
- o Black;
 - o Hispanic;
 - o Portuguese;
 - o Asian American;
 - o American Indian and Alaskan Native; and
 - o Members of other groups, or other individuals, found to be economically and socially disadvantaged by the United States Small Business Administration under section 8(a) of the federal Small Business Act.
- Economically Disadvantaged Individual – those socially disadvantaged individuals whose ability to compete in the free enterprise system has been impaired due to diminished capital or credit opportunities, as compared to others in the same business area who are not socially disadvantaged.

B. Implementation for DBE Procurement

As part of ADEC’s capitalization grants for both the ADWF and ACWF loan programs, the programs have an overall Fair Share (or utilization goal) objective of: 4.58% for MBE entities and 2.04% for WBE entities for construction; 3.22% for MBE entities and 2.54% for WBE entities for services; and, 2.06% for MBE entities and 1.29% for WBE entities for supplies. The loan recipient, engineering firm responsible for construction phase services, and prime contractor are required to adopt this same fair objective. The fair share objective is not a quota, EPA cannot penalize ADEC, the loan recipient, engineering firm, of the prime contractor for not meeting MBE or WBE participation objectives.

The prime contractor and consulting engineer responsible for construction phase services are required to make the good faith efforts and apply necessary administrative requirements. If the good faith efforts are not made when subcontracts are considered for the prime construction contract or for engineering construction phase services, the ability of ADEC to fund the project, or portion thereof, may be jeopardized.

C. How To Count DBE (MBE/WBE) Goals

The proposed MBE/WBE firms to be used must be declared by the Bidder before contract award. The MBE/WBE may act as a prime contractor, subcontractor, joint venture partner, or supplier. To be counted toward a goal, the MBE/WBE must perform a commercially useful function. To

calculate the minimum dollar value for MBE/WBE participation, multiply the total estimated contract price (including additives or alternates, if any) by the goal percentage.

D. How To Obtain DBE (MBE/WBE) Participation

Prior to the scheduled pre-bid conference, solicit MBE/WBE participation to meet the goal. By contract award, the Bidder must either meet the goal or have made good faith efforts to do so. Good faith efforts include, but are not limited to the following:

1. Including qualified small, minority and women's business enterprises on solicitation lists.
2. Assuring that small, minority and women's businesses are solicited. If the MBE/WBE is only certified as a DBE, such as through the Alaska Department of Transportation, and the bidder has exhausted all efforts to determine the subcontractor MBE/WBE status, the bidder may document either category of certification to meet goal objectives.
3. Dividing total requirements when economically feasible, into small tasks or quantities to permit maximum participation of small, minority and women's businesses.
4. Establish delivery schedules, where requirements of the work permit, which will encourage participation by small, minority and women's businesses.
5. Using the services and assistance of the Small Business Administration and the Minority Business Development Agency of the U.S. Department of Commerce, as appropriate.
6. If the prime contractor or proposer awards subcontracts/procurements, require the subcontractor to take the affirmative steps 1 through 5 above.

D. How To Credit DBE (MBE/WBE) Participation

If the Bidder's firm is a qualified Minority or Women-Owned Business Enterprise, credit will be given for the portion of the contract for which the Bidder performs a commercially useful function, and for that portion that is subcontracted to other MBE/WBE firms. For example, a MBE/WBE prime contractor proposes to perform 60% of a project quoted at \$500,000, and subcontracts 20% to a majority firm and the remaining 20% to another MBE/WBE. This means the credited MBE/WBE participation will be 80% for the project (60% + 20%) or \$400,000.

E. The DBE (MBE/WBE) Reporting Package

To meet the MBE/WBE reporting requirements of the program, the following forms need to be submitted during the course of bidding, contract award, and administration of this project:

1. COMPLIANCE STATEMENT - acknowledges the MBE/WBE requirement by the bidder. It must be provided with the bid.
2. REPORT OF PARTICIPATION – documents the level of anticipated MBE/WBE participation. It is submitted after bid opening, but before contract award.

3. CONTACT DOCUMENTATION – documents the efforts taken to attain the MBE/WBE goals and it, or other documentation should be submitted with the Report of Participation if the bidder did not meet the established goal.
4. GOOD FAITH EFFORTS – Forms 6100-2, -3 and -4 that identify subcontractor participation, performance and utilization, respectively.

Form 6100-2: This form gives a DBE subcontractor the opportunity to describe the work the DBE subcontractor received from the prime contractor, how much the DBE subcontractor was paid, and any other concerns the DBE subcontractor might have.

This form must be provided to the DBE subcontractor. If the form is submitted by the DBE subcontractor it must be maintained in the file with the prime's contract.

Form 6100-3: This form captures an intended subcontractor's description of work to be performed for the prime contractor and the price of the work submitted to the prime.

This form must be completed by every DBE subcontractor and submitted as part of the bid or proposal package. It must be maintained in the file with the prime's contract.

Form 6100-4: This form captures the prime's intended use of an identified DBE subcontractor, and the dollar amount of the subcontract.

This form must be completed by the prime contractor and submitted as part of the bid or proposal package. It must be maintained in the file with the prime's contract.

5. CONTRACT & PROCUREMENT SEMI-ANNUAL REPORT – documents the actual MBE/WBE contracts executed by the Prime Contractor and submitted to the City. In the first week of January and July, the City will submit a listing of the executed contracts (for the previous reporting) to the Alaska Department of Environmental Conservation.

F. Create and Maintain a Bidders List

Any entity that receives an ACWF or ADWF SRF loan is required to create and maintain a bidders list if the loan recipient is subject to, or chooses to follow, competitive bidding requirements. **The list must include all firms that bid or quote on prime contracts, or bid or quote subcontracts, including both MBE/WBEs and non-MBE/WBEs and must be maintained until the end of the project.**

G. DBE Anti-Discrimination Contract Clause

Under 40 CFR part 33, Appendix A, the following statement must be included in **every contract** issued by an ACWF/ADWF borrower to a prime contractor. The statement cannot be changed, modified, or altered in any way.

"The contractor shall not discriminate on the basis of race, color, national origin or sex in the performance of this contract. The contractor shall carry out applicable requirements of 40 CFR part 33 in the award and administration of contracts awarded under EPA financial assistance agreements. Failure by the contractor to carry out these requirements is a material breach of this contract which may result in the termination of this contract or other legally available remedies."

**STATE OF ALASKA
DEPARTMENT OF ENVIRONMENTAL CONSERVATION**

**DISADVANTAGE BUSINESS ENTERPRISES
(MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES)
COMPLIANCE STATEMENT**

To be eligible for award of this contract, the bidder/proposer must execute and submit, as part of his or her bid proposal, this statement relating to Disadvantage Business Enterprises (Minority and Woman-Owned Business Enterprises). This statement shall be deemed a material factor in the City's evaluation of this bid proposal. Failure to complete and submit this statement, or the inclusion of a false statement, shall render the bid proposal non-responsive.

The _____ (Company Name) acknowledges that Minority/Woman-Owned Business Enterprises (MBE/WBE) goal of 6.62%¹ participation (with a good faith effort of 4.58%² MBE and 2.04%³ WBE) has been established for this contract, and hereby assures that it will meet the goal or provide documentation to show that the mandatory good faith efforts have been made.

The undersigned certifies that this bidder/proposer is aware of and will comply with MBE/WBE goals of this project and all applicable federal and state statutes and regulations concerning Disadvantage Business Enterprises (Minority and Woman-owned Business Enterprises).

We certify that should we be declared successful bidder/best proposer we shall submit such data as required for award of the contract within the time limits set forth in the contract specifications unless otherwise specified. In addition, we acknowledge that Minority/Woman-Owned Business Enterprises Contract and Procurement Reports will be submitted to the City for each half year of active construction.

We understand that if we are the successful bidder/best proposer and we fail to meet the MBE and/or WBE goals, or fail to demonstrate that we have made the required good faith effort the City can render the bid proposal non-responsive.

Company Name _____ RFP/Contract _____

Authorized Signature _____

Title _____

Type	¹ Total	² MBE%	³ WBE%
Construction	6.62%	4.58%	2.04%
Services	5.76%	3.22%	2.54%
Supplies	3.35%	2.06%	1.29%

**STATE OF ALASKA
DEPARTMENT OF ENVIRONMENTAL CONSERVATION**

**EQUAL EMPLOYMENT OPPORTUNITY
STATEMENT OF ACKNOWLEDGEMENT**

This statement of acknowledgement is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1)) and must be completed by each Bidder and proposed Subcontractor participating in this contract.

PLEASE CHECK THE APPROPRIATE BOXES

THE Bidder proposed Subcontractor **hereby CERTIFIES:**

PART A. Bidders and proposed subcontractors with 50 or more employees and a federal contract amounting to \$50,000 or more are required to submit one federal EEO-1 report during each year the two conditions (50 employees and a \$50,000 federal contract) exist.

The company named below (Part C) is exempt from the requirements of submitting an EEO-1 report this year.

NO (go to PART B) YES (go to PART C)

PART B. The company named below (Part C) has submitted an EEO-1 report this year, or intends to at this time.

NO YES

NOTE: On-line EEO-1 report filing may be accessed at the following web address:

<https://egov.eeoc.gov/eeo1/eeo1.jsp>

EEO-1 reporting and instructions may be obtained by writing or e-mail to:

EEO-1 Joint Reporting Committee
P.O. Box 78040
Washington, DC 20013-8040
Telephone 1-866-286-6440
Email: e1.techassistance@eeoc.gov

PART C.

Signature of Authorized Representative of Company

Date

Name of Company

(_____) _____
Telephone No.

Address of Company

Zip Code

Project Name

Contract Number

- Joint Reporting Committee
- Equal Employment Opportunity Commission
 - Office of Federal Contract Compliance Programs (Labor)

EQUAL EMPLOYMENT OPPORTUNITY

EMPLOYER INFORMATION REPORT EEO-1

Standard Form 100
REV. 01/2006
O.M.B. No. 3045-0007
EXPIRES 01/2009
100-214

Section A—TYPE OF REPORT

Refer to instructions for number and types of reports to be filed.

1. Indicate by marking in the appropriate box the type of reporting unit for which this copy of the form is submitted (MARK ONLY ONE BOX).

(1) Single-establishment Employer Report

Multi-establishment Employer:

(2) Consolidated Report (Required)

(3) Headquarters Unit Report (Required)

(4) Individual Establishment Report (submit one for each establishment with 50 or more employees)

(5) Special Report

2. Total number of reports being filed by this Company (Answer on Consolidated Report only) _____

Section B—COMPANY IDENTIFICATION (To be answered by all employers)

1. Parent Company					OFFICE USE ONLY
a. Name of parent company (owns or controls establishment in item 2) omit if same as label					a.
Address (Number and street)					b.
City or town	State	ZIP code			c.
2. Establishment for which this report is filed. (Omit if same as label)					
a. Name of establishment					d.
Address (Number and street)	City or Town	County	State	ZIP code	e.
b. Employer identification No. (IRS 9-DIGIT TAX NUMBER)					f.
c. Was an EEO-1 report filed for this establishment last year? <input type="checkbox"/> Yes <input type="checkbox"/> No					

Section C—EMPLOYERS WHO ARE REQUIRED TO FILE (To be answered by all employers)

<input type="checkbox"/> Yes	<input type="checkbox"/> No	1. Does the entire company have at least 100 employees in the payroll period for which you are reporting?
<input type="checkbox"/> Yes	<input type="checkbox"/> No	2. Is your company affiliated through common ownership and/or centralized management with other entities in an enterprise with a total employment of 100 or more?
<input type="checkbox"/> Yes	<input type="checkbox"/> No	3. Does the company or any of its establishments (a) have 50 or more employees AND (b) is not exempt as provided by 41 CFR 60-1.5, AND either (1) is a prime government contractor or first-tier subcontractor, and has a contract, subcontract, or purchase order amounting to \$50,000 or more, or (2) serves as a depository of Government funds in any amount or is a financial institution which is an issuing and paying agent for U.S. Savings Bonds and Savings Notes?
If the response to question C-3 is yes, please enter your Dun and Bradstreet identification number (if you have one): <input style="width: 100px;" type="text"/>		

NOTE: If the answer is yes to questions 1, 2, or 3, complete the entire form, otherwise skip to Section G.

Section D-EMPLOYMENT DATA

Employment at this establishment - Report all permanent full- and part-time employees including apprentices and on-the-job trainees unless specifically excluded as set forth in the instructions. Enter the appropriate figures on all lines and in all columns. Blank spaces will be considered as zeros.

Job Categories	Number of Employees (Report employees in only one category)															Total Col A - N
	Race/Ethnicity															
	Hispanic or Latino		Not-Hispanic or Latino													
	Male	Female	Male						Female							
White			Black or African American	Native Hawaiian or Other Pacific Islander	Asian	American Indian or Alaska Native	Two or more races	White	Black or African American	Native Hawaiian or Other Pacific Islander	Asian	American Indian or Alaska Native	Two or more races			
	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	
Executive/Senior Level Officials and Managers 1.1																
First/Mid-Level Officials and Managers 1.2																
Professionals 2																
Technicians 3																
Sales Workers 4																
Administrative Support Workers 5																
Craft Workers 6																
Operatives 7																
Laborers and Helpers 8																
Service Workers 9																
TOTAL 10																
PREVIOUS YEAR TOTAL 11																

1. Date(s) of payroll period used: _____ (Omit on the Consolidated Report.)

Section E - ESTABLISHMENT INFORMATION (Omit on the Consolidated Report.)

1. What is the major activity of this establishment? (Be specific, i.e., manufacturing steel castings, retail grocer, wholesale plumbing supplies, title insurance, etc. Include the specific type of product or type of service provided, as well as the principal business or industrial activity.)

Section F - REMARKS

Use this item to give any identification data appearing on the last EEO-1 report which differs from that given above, explain major changes in composition of reporting units and other pertinent information.

Section G - CERTIFICATION

Check 1 All reports are accurate and were prepared in accordance with the instructions. (Check on Consolidated Report only.)
 one 2 This report is accurate and was prepared in accordance with the instructions.

Name of Certifying Official	Title	Signature	Date
Name of person to contact regarding this report	Title	Address (Number and Street)	
City and State	Zip Code	Telephone No. (including Area Code and Extension)	Email Address

All reports and information obtained from individual reports will be kept confidential as required by Section 709(e) of Title VII. WILLFULLY FALSE STATEMENTS ON THIS REPORT ARE PUNISHABLE BY LAW, U.S. CODE, TITLE 18, SECTION 1001

**STATE OF ALASKA
DEPARTMENT OF ENVIRONMENTAL CONSERVATION
DISADVANTAGE BUSINESS ENTERPRISES
(MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES)
CONTACT DOCUMENTATION**

Project Name _____ **RFP/Contract No.** _____

Company Name _____ **Authorized Signature/Title** _____

This form is provided for your convenience to document your efforts in meeting DBE (MBE/WBE) utilization goals. You may use additional sheets if needed. If you do not meet the MBE/WBE goal, you may return this form, or other supporting documentation (explanations, advertising notices, solicitations, etc.) with your MBE/WBE Report of Participation.

Firm _____ **MBE** _____ **WBE** _____
Address _____

Type of Work _____ **Bid Amount \$** _____
Dates of Contact _____
Method of Contact _____
Contact's Name _____
Results of Contact _____
If rejected, why _____

Firm _____ **MBE** _____ **WBE** _____
Address _____

Type of Work _____ **Bid Amount \$** _____
Dates of Contact _____
Method of Contact _____
Contact's Name _____
Results of Contact _____
If rejected, why _____

Firm _____ **MBE** _____ **WBE** _____
Address _____

Type of Work _____ **Bid Amount \$** _____
Dates of Contact _____
Method of Contact _____
Contact's Name _____
Results of Contact _____
If rejected, why _____

**STATE OF ALASKA
DEPARTMENT OF ENVIRONMENTAL CONSERVATION**

**DISADVANTAGE BUSINESS ENTERPRISES
(MINORITY AND WOMEN-OWNED BUSINESS ENTERPRISES)
REPORT OF PARTICIPATION**

Project Name _____ RFP/Contract No. _____

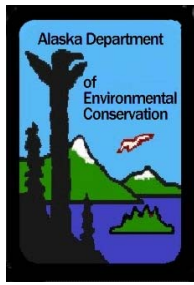
Company Name _____ Prepared By _____

The successful bidder/proposer must complete and submit this form after bid time, but prior to contract award. Please list below the name and address of each DBE (MBE/ WBE) subcontractor who will perform work under this contract, along with the contracted amount that will be applicable to the goal. Indicate whether the firm is MBE or WBE, and include your own firm if MBE/WBE eligible. A proposal submitted without adequate MBE/WBE participation or showing of good faith efforts to achieve such participation can render the bid proposal non-responsive. One copy of each executed MBE/WBE subcontract must be provided to the City by the successful prime contractor. Any changes to the list below must have prior approval by the City. Please note, if the MBE/WBE is only certified as a DBE, such as through the Alaska Department of Transportation, and the bidder has exhausted all efforts to determine the subcontractor MBE/WBE status, the bidder may document either category of certification to meet goal objectives.

Firm Name	AK Contractor's License No.	Contact Name & Phone No.	Type of Work	Contract Amount	MBE/WBE
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____
_____	_____	_____	_____	\$ _____	_____

Contract(s) Total: \$ _____ MBE/WBE Goal: _____ % Achieved: _____ % = \$ _____

Authorized Representative's Signature _____ Date _____



Disadvantage Business Enterprise Program

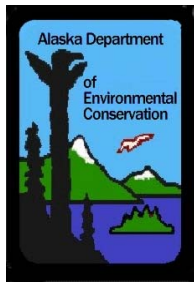
DBE Subcontractor Participation Form

NAME OF SUBCONTRACTOR*:	PROJECT NAME:
ADDRESS:	CONTRACT NO:
TELEPHONE NO:	E-MAIL ADDRESS:
PRIME CONTRACTOR NAME:	

Please use the space below to report any concerns regarding the above State-funded project (e.g., reason for termination by prime contractor, late payment, etc.).

CONTRACT ITEM NO.	ITEM OF WORK OR DESCRIPTION OF SERVICES RECEIVED FROM THE PRIME CONTRACTOR	AMOUNT SUBCONTRACTOR WAS PAID BY PRIME CONTRACTOR
<hr style="width: 80%; margin-left: 0;"/> Subcontractor Signature		<hr style="width: 80%; margin-left: 0;"/> Title/Date

*Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an ADED award of financial assistance.

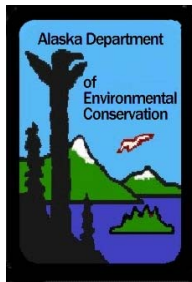


Disadvantage Business Enterprise Program

DBE Subcontractor Performance Form

NAME OF SUBCONTRACTOR:		PROJECT NAME:	
ADDRESS:		BID/PROPOSAL NO.:	
TELEPHONE NO.:		E-MAIL ADDRESS:	
PRIME CONTRACTOR NAME:			
CONTRACT ITEM NO.	ITEM OF WORK OR DESCRIPTION OF SERVICES BID TO PRIME	PRICE OF WORK SUBMITTED TO PRIME CONTRACTOR	
<p>Currently certified as an MBE or WBE under EPA's DBE Program? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Signature of Prime Contractor Date Print Name Title _____</p> <p>_____ Signature of Subcontractor Date _____ Print</p> <p>Name Title</p>			

*Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an ADED award of financial assistance.



Disadvantage Business Enterprise Program DBE Subcontractor Utilization Form

BID/PROPOSAL NO.:	PROJECT NAME:
NAME OF PRIME BIDDER/PROPOSER:	E-MAIL ADDRESS:
ADDRESS:	
TELEPHONE NO.:	FAX NO.:

The following subcontractors will be used on this project:			
COMPANY NAME, ADDRESS, PHONE NUMBER, AND E-MAIL ADDRESS	TYPE OF WORK TO BE PERFORMED	ESTIMATED DOLLAR AMOUNT	CURRENTLY CERTIFIED AS AN MBE OR WBE?
<p>I certify under penalty of perjury that the forgoing statements are true and correct. In the event of a Replacement of a subcontractor, I will adhere to the replacements set forth in 40 CFR Part 33 Section 33.302(c).</p>			
_____ Signature of Prime Contractor		_____ Date	
_____ Print Name		_____ Title	

*Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an ADED award of financial assistance.

U.S. ENVIRONMENTAL PROTECTION AGENCY MBE/WBE UTILIZATION UNDER FEDERAL GRANTS AND COOPERATIVE AGREEMENTS

PART I. (Reports are required even if no procurements are made during the reporting period.)

1A. FEDERAL FISCAL YEAR (Oct. 1-Sep 30) 20_____	1B. REPORTING PERIOD (Check ALL appropriate boxes) <input type="checkbox"/> 1 st (Oct-Dec) <input type="checkbox"/> 2 nd (Jan-Mar) <input type="checkbox"/> 3 rd (Apr-Jun) <input type="checkbox"/> 4 th (Jul-Sep) <input type="checkbox"/> Semi-Annual (Oct-Mar) <input type="checkbox"/> Semi-Annual (Apr-Sep) <input type="checkbox"/> Annual <input type="checkbox"/> Check if this is the last report for the project (Project completed).																				
1C. REVISION OF A PRIOR REPORT? Y or N Year: _____ Quarter: _____	BRIEFLY DESCRIBE THE REVISIONS YOU ARE MAKING:																				
2A. EPA FINANCIAL ASSISTANCE OFFICE ADDRESS (ATTN: DBE Coordinator)	3A. RECIPIENT NAME AND ADDRESS																				
2B. EPA DBE COORDINATOR Name: E-mail:	2C. PHONE: Fax:	3B. RECIPIENT REPORTING CONTACT: Name: E-mail:	3C. PHONE: Fax:																		
4A. FINANCIAL ASSISTANCE AGREEMENT ID NUMBER (SRF State Recipients, refer to Instructions for Completion of blocks 4A, 5A and 5C.)	4B. FEDERAL FINANCIAL ASSISTANCE PROGRAM TITLE or CFDA NUMBER:																				
5A. TOTAL ASSISTANCE AGREEMENT AMOUNT (SRF State Recipients, refer to Instructions for Completion of blocks 4A, 5A and 5C.) EPA Share: \$ _____ Recipient Share: \$ _____	5B. If NO procurement and NO accomplishments were made this reporting period (by the recipients, sub-recipients, loan recipients, and prime contractors), CHECK and SKIP to Block No. 7. (<u>Procurements</u> are all expenditures through contract, order, purchase, lease or barter of supplies, equipment, construction, or services needed to complete Federal assistance programs. <u>Accomplishments</u> , in this context, are procurements made with MBEs and/or WBEs. <div style="text-align: right;"><input type="checkbox"/></div>																				
5C. Total Procurements This Reporting Period (Only include amount not reported in any prior reporting period) Total Procurement Amount \$ _____ (Include total dollar values awarded by recipient, sub-recipients and SRF loan recipients, including MBE/WBE expenditures.)																					
5D. Were sub-awards issued under this assistance agreement? Yes ___ No ___ Were contracts issued under this assistance agreement ? Yes ___ No ___																					
5E. MBE/WBE Accomplishments This Reporting Period Actual MBE/WBE Procurement Accomplished: (Include total dollar values awarded by recipient, sub-recipients, SRF loan recipients and Prime Contractors.) <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;"></th> <th style="width: 20%; text-align: center;"><u>Construction</u></th> <th style="width: 20%; text-align: center;"><u>Equipment</u></th> <th style="width: 20%; text-align: center;"><u>Services</u></th> <th style="width: 20%; text-align: center;"><u>Supplies</u></th> <th style="width: 5%; text-align: center;"><u>Total</u></th> </tr> </thead> <tbody> <tr> <td>\$MBE:</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> <tr> <td>\$WBE:</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> <td>_____</td> </tr> </tbody> </table>					<u>Construction</u>	<u>Equipment</u>	<u>Services</u>	<u>Supplies</u>	<u>Total</u>	\$MBE:	_____	_____	_____	_____	_____	\$WBE:	_____	_____	_____	_____	_____
	<u>Construction</u>	<u>Equipment</u>	<u>Services</u>	<u>Supplies</u>	<u>Total</u>																
\$MBE:	_____	_____	_____	_____	_____																
\$WBE:	_____	_____	_____	_____	_____																
6. COMMENTS: (If no MBE/WBE procurements were accomplished during the reporting period, please explain what steps you are taking to achieve the MBE/WBE Program requirements specified in the terms and conditions of the Assistance Agreement.)																					
7. NAME OF RECIPIENT'S AUTHORIZED REPRESENTATIVE	TITLE																				
8. SIGNATURE OF RECIPIENT'S AUTHORIZED REPRESENTATIVE	DATE																				

PART II.

MBE/WBE PROCUREMENTS MADE DURING REPORTING PERIOD

EPA Financial Assistance Agreement Number: _____

1. Procurement Made By			2. Business Enterprise		3. \$ Value of Procurement	4. Date of Procurement MM/DD/YY	5. Type of Product or Services _A (Enter Code)	6. Name/Address/Phone Number of MBE/WBE Contractor or Vendor
Recipient	Sub-Recipient and/or SRF Loan Recipient	Prime	Minority	Women				

Type of product or service codes:

1 = Construction

2 = Supplies

3 = Services

4 = Equipment

Note: Refer to Terms and conditions of your Assistance Agreement to determine the frequency of reporting. Recipients are required to submit MBE/WBE reports to EPA beginning with the Federal fiscal year quarter the recipients receive the award, continuing until the project is completed.

EPA FORM 5700-52A - (Approval Expires 12/22/13)

Instructions:

A. General Instructions:

MBE/WBE utilization is based on 40 CFR Part 33. EPA Form 5700-52A must be completed by recipients of Federal grants, cooperative agreements, or other Federal financial assistance which involve procurement of supplies, equipment, construction or services to accomplish Federal assistance programs.

Recipients are required to report 30 days after the end of each federal fiscal quarter, semiannually, or annually, per the terms and conditions of the financial assistance agreement.

	Quarterly Reporting Due Date	Semiannual Reporting Due Date	Annual Reporting Due Date
Agreements awarded prior to May 27, 2008	January 30, April 30, July 30, October 30	N/A	October 30
Agreements awarded on or after May 27, 2008	N/A	April 30, October 30	October 30

MBE/WBE program requirements, including reporting, are material terms and conditions of the financial assistance agreement.

B. Definitions:

Procurement is the acquisition through contract, order, purchase, lease or barter of supplies, equipment, construction or services needed to accomplish Federal assistance programs.

A **contract** is a written agreement between an EPA recipient and another party (also considered "prime contracts") and any lower tier agreement (also considered "subcontracts") for equipment, services, supplies, or construction necessary to complete the project. This definition excludes written agreements with another public agency. This definition includes personal and professional services, agreements with consultants, and purchase orders.

A **minority business enterprise (MBE)** is a business concern that is (1) at least 51 percent owned by one or more minority individuals, or, in the case of a publicly owned business, at least 51 percent of the stock is owned by one or more minority individuals; and (2) whose daily business operations are managed and directed by one or more of the minority owners. In order to qualify and participate as an MBE prime or subcontractor for EPA

recipients under EPA's DBE Program, an entity must be properly certified as required by 40 CFR Part 33, Subpart B.

U.S. citizenship is required. Recipients shall presume that minority individuals include Black Americans, Hispanic Americans, Native Americans, Asian Pacific Americans, or other groups whose members are found to be disadvantaged by the Small Business Act or by the Secretary of Commerce under section 5 of Executive order 11625. The reporting contact at EPA can provide additional information.

A **woman business enterprise (WBE)** is a business concern that is, (1) at least 51 percent owned by one or more women, or, in the case of a publicly owned business, at least 51 percent of the stock is owned by one or more women and (2) whose daily business operations are managed and directed by one or more of the women owners. In order to qualify and participate as a WBE prime or subcontractor for EPA recipients under EPA's DBE Program, an entity must be properly certified as required by 40 CFR Part 33, Subpart B.

Business firms which are 51 percent owned by minorities or women, but are in fact managed and operated by non-minority individuals do not qualify for meeting MBE/WBE procurement goals. U.S. Citizenship is required.

Good Faith Efforts

A recipient is required to make the following good faith efforts whenever procuring construction, equipment, services, and supplies under an EPA financial assistance agreement. These good faith efforts for utilizing MBEs and WBEs must be documented. Such documentation is subject to EPA review upon request:

1. Include of MBEs/WBEs on solicitation lists.
2. Assure that MBEs/WBEs are solicited once they are identified.
3. Divide total requirements into smaller tasks to permit maximum MBE/WBE participation, where feasible.
4. Establish delivery schedules which will encourage MBE/WBE participation, where feasible.
5. Encourage use of the services of the U.S. Department of Commerce's Minority Business Development Agency (MBDA) and the U.S. Small Business Administration to identify MBEs/WBEs.

6. Require that each party to a subgrant, subagreement, or contract award take the good faith efforts outlined here.

C. Instructions for Part I:

1a. Specify Federal fiscal year this report covers. The Federal fiscal year runs from October 1st through September 30th (e.g. **November 29, 2010 falls within Federal fiscal year 2011**)

1b. Check applicable reporting box, quarterly, semiannually, or annually. Also indicate if this is the last report for the project.

1c. Indicate if this is a revision to a previous year, half-year, or quarter, and provide a brief description of the revision you are making.

2a-c. Please refer to your financial assistance agreement for the mailing address of the EPA financial assistance office for your agreement.

The "EPA DBE Reporting Contact" is the DBE Coordinator for the EPA Region from which your financial assistance agreement was originated. For a list of DBE Coordinators please refer to the EPA OSBP website at www.epa.gov/osbp. Click on "Regional Contacts" for the name of your coordinator.

3a-c. Identify the agency, state authority, university or other organization which is the recipient of the Federal financial assistance and the person to contact concerning this report.

4a. Provide the Assistance Agreement number assigned by EPA. A separate report must be submitted for each Assistance Agreement.

***For SRF recipients:** In box 4a list numbers for ALL OPEN Assistance Agreements being reported on this form. Please note that although the New DBE Rule (which took effect May 27, 2008) revised the reporting frequency requirements from quarterly to semiannually, that change only applies to agreements awarded AFTER the New DBE Rule took effect. Therefore, SRF recipients may either continue to report activity for all Agreements on one form on a quarterly basis until the last award that was made prior to the New DBE Rule has been closed out; OR, the recipient may split the submission of SRF reports into quarterly reports for Agreements awarded prior the New DBE Rule, and semiannually for the awards made after the New DBE Rule.

4b. Refer back to Assistance Agreement document for this information.

5a. Provide the total amount of the Assistance Agreement which includes Federal funds plus recipient matching funds and funds from other sources.

***For SRF recipients only:** SRF recipients will not enter an amount in 5a. Please leave 5a blank.

5b. Self-explanatory.

5c. Provide the total dollar amount of **ALL** procurements awarded this reporting period by the recipient, sub-recipients, and SRF loan recipients, **including** MBE/WBE expenditures. For example: Actual dollars for procurement from the procuring office; actual contracts let from the contracts office; actual goods, services, supplies, etc., from other sources including the central purchasing/ procurement centers).

***NOTE:** To prevent double counting on line 5C, if any amount on 5E is for a subcontract and the prime contract has already been included on Line 5C in a prior reporting period, then report the amount going to MBE or WBE subcontractor on line 5E, but exclude the amount from Line 5C. To include the amount on 5C again would result in double counting because the prime contract, which includes the subcontract, would have already been reported.

5d. State whether or not sub-awards and/or subcontracts have been issued under the assistance agreement by indicating "yes" or "no".

5e. Where requested, also provide the total dollar amount of all MBE/WBE procurement awarded during this reporting period by the recipient, sub-recipients, SRF loan recipients, and prime contractors in the categories of construction, equipment, services and supplies. These amounts include Federal funds plus recipient matching funds and funds from other sources.

***For SRF recipients only:** In 5c please enter the total procurement amount for the quarter, or semiannual period, under all of your SRF Assistance Agreements. The figure reported in this section is **not** directly tied to an individual Assistance Agreement identification number. **(SRF state recipients report state procurements in this section)**

6. If there were no MBE/WBE accomplishments this reporting period, please briefly explain what

specific steps you are taking to achieve the MBE/WBE requirements specified in the terms and conditions of the Assistance Agreement.

7. Name and title of official administrator or designated reporting official.
8. Signature, month, day, and year report submitted.

D. Instructions for Part II:

For each MBE/WBE procurement made under this assistance agreement during the reporting period, provide the following information:

1. Check whether this procurement was made by the recipient, sub-recipient/SRF loan recipient, or the prime contractor.
2. Check either the MBE or WBE column. If a firm is both an MBE and WBE, the recipient may choose to count the entire procurement towards EITHER its MBE or WBE accomplishments. The recipient may also divide the total amount of the procurement (using any ratio it so chooses) and count those divided amounts toward its MBE and WBE accomplishments. If the recipient chooses to divide the procurement amount and count portions toward its MBE and WBE accomplishments, please state the appropriate amounts under the MBE and WBE columns on the form. **The combined MBE and WBE amounts for that MBE/WBE contractor must not exceed the "Value of the Procurement" reported in column #3**
3. Dollar value of procurement.
4. Date of procurement, shown as month, day, year. Date of procurement is defined as the date the contract or purchase order was awarded. **LAWSON CREEK LIFT STATION REPLACEMENT PROJECT**
Contract No. E13-243
awarded contract or procurement, unless payment occurred on the date of award. **(Where direct purchasing is the procurement method, the date of procurement is the date the purchase was made)**
5. Using codes at the bottom of the form, identify type of product or service acquired through this procurement (e.g., enter 1 if construction, 2 if supplies, etc).
6. Name, address, and telephone number of MBE/WBE firm.

**This data is requested to comply with provisions mandated by: statute or regulations (40 CFR Part 30, 31,

and 33); OMB Circulars; or added by EPA to ensure sound and effective assistance management. Accurate, complete data are required to obtain funding, while no pledge of confidentiality is provided.

The public reporting and recording burden for this collection of information is estimated to average 1 hour per response annually. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclosure or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, OPPE Regulatory Information Division, U.S. Environmental Protection Agency (2136), 1200 Pennsylvania Avenue, NW, Washington, D.C. 20460. Include the OMB Control number in any correspondence. Do not send the completed form to this address.

SECTION 00500 - AGREEMENT

THIS AGREEMENT is between THE CITY AND BOROUGH OF JUNEAU (hereinafter called OWNER) and _____ (hereinafter called CONTRACTOR) OWNER and CONTRACTOR, in consideration of the mutual covenants hereinafter set forth, agree as follows:

ARTICLE 1. WORK.

CONTRACTOR shall complete the WORK as specified or as indicated under the Bid Schedule of the OWNER's Bid Documents entitled **Contract No. E13-243, named Lawson Creek Lift Station Replacement Project.**

The WORK is generally described as follows: installing approximately 1,100 linear feet of 10-inch diameter high density polyethylene pressure sewer; demolition of an existing sewage lift station; installation of a new sewage pump station with submersible pumps along with associated electrical work and controls and other miscellaneous related WORK.

The WORK to be paid under this contract shall include the following: Total Bid as shown in Section 00310 - Bid Schedule.

ARTICLE 2. CONTRACT COMPLETION TIME.

The WORK must be completed by December 1, 2013.

ARTICLE 3. DATE OF AGREEMENT

The date of this Agreement will be the date of the last signature on page three of this section.

ARTICLE 4. LIQUIDATED DAMAGES.

OWNER and the CONTRACTOR recognize that time is of the essence of this Agreement and that the OWNER will suffer financial loss if the WORK is not completed within the time specified in Article 2 herein, plus any extensions thereof allowed in accordance with Article 12 of the General Conditions. They also recognize the delays, expense, and difficulties involved in proving in a legal proceeding the actual damages suffered by the OWNER if the WORK is not completed on time. Accordingly, instead of requiring any such proof, the OWNER and the CONTRACTOR agree that as liquidated damages for delay (but not as a penalty) the CONTRACTOR shall pay the OWNER **\$500.00** for each Day that expires after the completion time specified in Article 2 herein. The amount of liquidated damages specified above is agreed to be a reasonable estimate based on all facts known as of the date of this Agreement.

ARTICLE 5. CONTRACT PRICE.

OWNER shall pay CONTRACTOR for completion of the WORK in accordance with the Contract Documents in the amount set forth in the Bid Schedule. The CONTRACTOR agrees to accept as full and complete payment for all WORK to be done in this contract for: **Contract No. E13-243, named Lawson Creek Lift Station Replacement Project,** those Unit Price amounts as set forth in the Bid Schedule in the Contract Documents for this Project.

The total amount of this contract shall be _____ (\$ _____), except as adjusted in accordance with the provisions of the Bid Documents.

SECTION 00500 - AGREEMENT

ARTICLE 6. PAYMENT PROCEDURES.

CONTRACTOR shall submit Applications for Payment in accordance with Article 14 of the General Conditions. Applications for Payment will be processed by the ENGINEER as provided in the General Conditions.

Progress payments will be paid in full in accordance with Article 14 of the General Conditions until ninety (90) percent of the Contract Price has been paid. The remaining ten (10) percent of the Contract Price may be retained, in accordance with applicable Alaska State Statutes, until final inspection, completion, and acceptance of the Project by the OWNER.

ARTICLE 7. CONTRACT DOCUMENTS.

The Contract Documents which comprise the entire Agreement between OWNER and CONTRACTOR concerning the WORK consist of this Agreement (pages 00500-1 to 00500-6, inclusive) and the following sections of the Contract Documents:

- Table of Contents (pages 00005-1 to 00005-2, inclusive)
- Notice Inviting Bids (pages 00030-1 to 00030-2, inclusive).
- Instructions to Bidders (pages 00100-1 to 00100-9, inclusive).
- Bid (pages 00300-1 to 00300-2, inclusive).
- Bid Schedule (pages 00310-1, inclusive).
- Bid Bond (page 00320-1, inclusive) or Bid Security.
- Subcontractor Report (pages 00360-1 to 00360-2, inclusive).
- DBE and EEO Documents (Section 00400)
- Performance Bond (pages 00610-1 to 00610-2, inclusive).
- Payment Bond (pages 00620-1 to 00620-2, inclusive).
- Insurance Certificate(s).
- General Conditions (pages 00700-1 to 00700-48, inclusive).
- Supplementary General Conditions (pages 00800-1 to 00800-5, inclusive).
- Alaska Labor Standards, Reporting, and Prevailing Wage Determination (page 00830-1).
- Permits, (page 00852-1).
- Standard Details (page 00853-1).
- Technical Specifications as listed in the Table of Contents.
- Special Provisions (pages 1 to 21 inclusive)
- Standard Specifications for Civil Engineering Projects and Subdivision Improvements
December 2003 with current Errata Sheets.
- Drawings consisting of 18_ sheets, as listed in the Table of Contents.
- Addenda numbers ____ to ____, inclusive.
- Change Orders which may be delivered or issued after the Date of the Agreement and which are not attached hereto.

There are no Contract Documents other than those listed in this Article 7. The Contract Documents may only be amended by Change Order as provided in Paragraph 3.3 of the General Conditions.

ARTICLE 8. MISCELLANEOUS.

Terms used in this Agreement which are defined in Article 1 of the General Conditions will have the meanings indicated in the General Conditions.

SECTION 00500 - AGREEMENT

ARTICLE 8. MISCELLANEOUS. (Cont'd.)

No assignment by a party hereto of any rights under or interests in the Contract Documents will be binding on another party hereto without the written consent of the party sought to be bound; and specifically but without limitation monies that may become due and monies that are due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract Documents.

OWNER and CONTRACTOR each binds itself, its partners, successors, assigns and legal representatives to the other party hereto, its partners, successors, assigns and legal representatives in respect of all covenants, agreements and obligations contained in the Contract Documents. This Agreement shall be governed by the laws of the State of Alaska. Jurisdiction shall be in the State of Alaska, First Judicial District.

IN WITNESS WHEREOF, OWNER and CONTRACTOR have caused this Agreement to be executed on the date listed below by OWNER.

OWNER:

CONTRACTOR:

City and Borough of Juneau

(Company Name)

(Signature)

(Signature)

By: Kimberly A. Kiefer, City & Borough Manager
(Printed Name)

By: _____
(Printed Name, Authority or Title)

Date: _____

CONTRACTOR Signature Date: _____

OWNER's address for giving notices:

CONTRACTOR's address for giving notices:

155 South Seward Street

Juneau, Alaska 99801

907-586-0873 907-586-4530
(Telephone) (Fax)

(Telephone) (Fax)

(E-mail address)

Contractor License No. _____

SECTION 00500 - AGREEMENT

**CERTIFICATE
(if Corporation)**

STATE OF)
) SS:
COUNTY OF)

I HEREBY CERTIFY that a meeting of the Board of Directors of the
_____ a corporation existing under the laws of
the State of _____, held on _____, 20____, the following resolution
was duly passed and adopted:

“RESOLVED, that _____, as _____ President
of the Corporation, be and is hereby authorized to **execute the Agreement** with the CITY AND
BOROUGH OF JUNEAU and this corporation and that the execution thereof, attested by the
Secretary of the Corporation, and with the Corporate Seal affixed, shall be the official act and deed
of this Corporation.”

I further certify that said resolution is now in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the official seal of the
corporation this _____ day of _____, 20_____.

Secretary

(SEAL)

SECTION 00500 - AGREEMENT

CERTIFICATE
(if Partnership)

STATE OF)
) SS:
COUNTY OF)

I HEREBY CERTIFY that a meeting of the Partners of the _____ a partnership existing under the laws of the State of _____, held on _____, 20____, the following resolution was duly passed and adopted:

"RESOLVED, that _____, as _____ of the Partnership, be and is hereby authorized to **execute the Agreement** with the CITY AND BOROUGH OF JUNEAU and this partnership and that the execution thereof, attested by the _____ shall be the official act and deed of this Partnership."

I further certify that said resolution is now in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand this _____, day of _____, 20_____.

Secretary

(SEAL)

SECTION 00500 - AGREEMENT

**CERTIFICATE
(if Joint Venture)**

STATE OF)
) SS:
COUNTY OF)

I HEREBY CERTIFY that a meeting of the Principals of the
_____ a joint venture existing under the laws of the
State of _____, held on _____, 20____, the following resolution was duly passed and
adopted:

"RESOLVED, that _____, as _____ of the
Joint Venture, be and is hereby authorized to **execute the Agreement** with the CITY AND
BOROUGH OF JUNEAU and this joint venture and that the execution thereof, attested by the
_____ shall be the official act and deed of this Joint Venture."

I further certify that said resolution is now in full force and effect.

IN WITNESS WHEREOF, I have hereunto set my hand this _____, day of
_____, 20____.

Secretary

(SEAL)

END OF SECTION

SECTION 00610 - PERFORMANCE BOND

KNOW ALL PERSONS BY THESE PRESENTS: That we _____
(Name of Contractor)

_____ a _____
(Corporation, Partnership, Individual)

hereinafter called "Principal" and _____
(Surety)

of _____, State of _____ hereinafter called the "Surety," are held and
firmly bound to the CITY AND BOROUGH of JUNEAU, ALASKA hereinafter called "OWNER,"
(Owner) (City and State)

for the penal sum of _____

_____ dollars (\$ _____) in lawful money of the
United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors,
administrators and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION is such that whereas, the CONTRACTOR has entered
into a certain contract with the OWNER, the effective date of which is (CBJ Contracts Office to fill in effective
date) _____, a copy of which is hereto attached and made a part hereof for the
construction of:

Lawson Creek Lift Station Replacement Project

Contract No. E13-243

NOW, THEREFORE, if the Principal shall truly and faithfully perform its duties, all the undertakings,
covenants, terms, conditions, and agreements of said contract during the original term thereof, and any
extensions thereof, which may be granted by the OWNER, with or without notice to the Surety, and if it shall
satisfy all claims and demands incurred under such contract, and shall fully indemnify and save harmless the
OWNER from all costs and damages which it may suffer by reason of failure to do so, and shall reimburse and
repay the OWNER all outlay and expense which the OWNER may incur in making good any default, then this
obligation shall be void; otherwise to remain in full force and effect.

PROVIDED, FURTHER, that the said Surety, for value received hereby stipulates and agrees that no
change, extension of time, alteration or addition to the terms of the contract or to the WORK to be performed
thereunder or the specifications accompanying the same shall in any wise affect its obligation on this bond, and
it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the
contract or to the WORK or to the Specifications.

PROVIDED, FURTHER, that no final settlement between the OWNER and the Principal shall abridge
the right of any beneficiary hereunder, whose claim may be unsatisfied.

SECTION 00610 - PERFORMANCE BOND

Lawson Creek Lift Station Replacement Project

Contract No. E13-243

IN WITNESS WHEREOF, this instrument is issued in two (2) identical counterparts, each one of which shall be deemed an original.

CONTRACTOR:

By: _____

(Signature)

(Printed Name)

(Company Name)

(Street or P.O. Box)

(City, State, Zip Code)

SURETY:

By: _____

(Signature of Attorney-in-Fact)

Date Issued: _____

(Printed Name)

(Company Name)

(Street or P.O. Box)

(City, State, Zip Code)

(Affix SURETY'S SEAL)

NOTE: If CONTRACTOR is Partnership, all Partners must execute bond.

END OF SECTION

SECTION 00620 - PAYMENT BOND

KNOW ALL PERSONS BY THESE PRESENTS: That we _____
(Name of Contractor)

_____ a _____
(Corporation, Partnership, Individual)

hereinafter called "Principal" and _____
(Surety)

of _____, State of _____ hereinafter called the "Surety," are held and
firmly bound to the CITY AND BOROUGH of JUNEAU, ALASKA hereinafter called "OWNER,"
(Owner) (City and State)

for the penal sum of _____

_____ dollars (\$ _____) in lawful money of the
United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors,
administrators and successors, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION is such that whereas, the CONTRACTOR has entered
into a certain contract with the OWNER, the effective date of which is (CBJ Contracts Office to fill in effective
date) _____, a copy of which is hereto attached and made a part hereof for the
construction of:

Lawson Creek Lift Station Replacement Project

Contract No. E13-243

NOW, THEREFORE, if the Principal shall promptly make payment to all persons, firms,
Subcontractors, and corporations furnishing materials for, or performing labor in the prosecution of the WORK
provided for in such contract, and any authorized extension or modification thereof, including all amounts due
for materials, lubricants, oil, gasoline, coal and coke, repairs on machinery, equipment and tools, consumed or
used in connection with the construction of such WORK, and all insurance premiums on said work, and for all
labor performed in such WORK, whether by Subcontractor or otherwise, then this obligation shall be void;
otherwise to remain in full force and effect.

PROVIDED, FURTHER, that the said Surety, for value received hereby stipulates and agrees that no
change, extension of time, alteration or addition to the terms of the contract or to the work to be performed
thereunder or the specifications accompanying the same shall in any wise affect its obligation on this bond, and
it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the
contract or to the WORK or to the Specifications.

PROVIDED, FURTHER, that no final settlement between the OWNER and the Principal shall abridge
the right of any beneficiary hereunder, whose claim may be unsatisfied.

SECTION 00620 - PAYMENT BOND

Lawson Creek Lift Station Replacement Project

Contract No. E13-243

IN WITNESS WHEREOF, this instrument is issued in two (2) identical counterparts, each one of which shall be deemed an original.

CONTRACTOR:

By: _____
(Signature)

(Printed Name)

(Company Name)

(Street or P.O. Box)

(City, State, Zip Code)

SURETY:

By: _____
(Signature of Attorney-in-Fact)

Date Issued: _____

(Printed Name)

(Company Name)

(Street or P.O. Box)

(City, State, Zip Code)

(Affix SURETY'S SEAL)

NOTE: If CONTRACTOR is Partnership, all Partners must execute bond.

END OF SECTION

SECTION 00700 - GENERAL CONDITIONS

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SECTION 00700 - GENERAL CONDITIONS

ARTICLE 1 DEFINITIONS

Wherever used in these General Conditions or in the Contract Documents the following terms have the meanings indicated which are applicable to both the singular and plural thereof. Where an entire word is capitalized in the definitions and is found not capitalized in the Contract Documents it has the ordinary dictionary definition.

Addenda - Written or graphic instruments issued prior to the opening of Bids which make additions, deletions, or revisions to the Contract Documents.

Agreement - The written contract between the OWNER and the CONTRACTOR covering the WORK to be performed; other documents are attached to the Agreement and made a part thereof as provided therein.

Application for Payment - The form furnished by the ENGINEER which is to be used by the CONTRACTOR to request progress or final payment and which is to be accompanied by such supporting documentation as is required by the Contract Documents.

Asbestos - Any material that contains more than one percent asbestos and is friable or is releasing asbestos fibers into the air above current action levels established by the United States Occupational Safety and Health Administration.

Bid - The offer or proposal of the Bidder submitted on the prescribed form setting forth the price or prices for the WORK.

Bonds - Bid, Performance, and Payment Bonds and other instruments which protect against loss due to inability or refusal of the CONTRACTOR to perform its contract.

CBJ Project Manager - The authorized representative of the City and Borough of Juneau Engineering Department, as OWNER, who is responsible for administration of the contract.

Change Order - A document recommended by the ENGINEER, which is signed by the CONTRACTOR and the OWNER and authorizes an addition, deletion, or revision in the WORK, or an adjustment in the Contract Price or the Contract Time, issued on or after the Effective Date of the Agreement.

Contract Documents - The Table of Contents, Notice Inviting Bids, Instructions to Bidders, Bid Forms (including the Bid, Bid Schedule(s), Information Required of Bidder, Bid Bond, and all required certificates and affidavits), Agreement, Performance Bond, Payment Bond, General Conditions, Supplementary General Conditions, Technical Specifications, Drawings, Permits, and all Addenda, and Change Orders executed pursuant to the provisions of the Contract Documents.

Contract Price - The total monies payable by the OWNER to the CONTRACTOR under the terms and conditions of the Contract Documents.

Contract Time - The number of successive calendar Days stated in the Contract Documents for the completion of the WORK.

CONTRACTOR - The individual, partnership, corporation, joint-venture or other legal entity with whom the OWNER has executed the Agreement.

Day - A calendar day of 24 hours measured from midnight to the next midnight.

SECTION 00700 - GENERAL CONDITIONS

Defective WORK - WORK that is unsatisfactory, faulty, or deficient; or that does not conform to the Contract Documents; or that does not meet the requirements of any inspection, reference standard, test, or approval referred to in the Contract Documents; or WORK that has been damaged prior to the ENGINEER's recommendation of final payment.

Drawings - The Drawings, plans, maps, profiles, diagrams, and other graphic representations which indicate the character, location, nature, extent, and scope of the WORK and which have been prepared by the ENGINEER and are referred to in the Contract Documents. Shop Drawings are not within the meaning of this paragraph.

Effective Date of the Agreement - The date indicated in the Agreement on which it becomes effective, but if no such date is indicated it means the date on which the Agreement is signed and delivered by the last of the two parties to sign and deliver.

Engineer of Record - The individual, partnership, corporation, joint-venture or other legal entity named as such in the Contract Documents.

ENGINEER - The ENGINEER is the firm or person(s) selected by the City and Borough of Juneau (CBJ) to perform the duties of project inspection and management. CBJ will inform the CONTRACTOR of the identity of the ENGINEER at or before the Notice to Proceed.

Field Order - A written order issued by the ENGINEER which may or may not involve a change in the WORK.

General Requirements - Division 1 of the Technical Specifications.

Hazardous Waste - The term Hazardous Waste shall have the meaning provided in Section 1004 of the Solid Waste Disposal Act (42 USC Section 9603) as amended from time to time.

Holidays - The CBJ legal holidays occur on:

1. New Year's Day - January 1
2. Martin Luther King's Birthday - Third Monday in January
3. President's Day - Third Monday in February
4. Seward's Day - Last Monday in March
5. Memorial Day - Last Monday in May
6. Independence Day - July 4
7. Labor Day - First Monday in September
8. Alaska Day - October 18
9. Veteran's Day - November 11
10. Thanksgiving Day - Fourth Thursday and the following Friday in November
11. Christmas Day - December 25

If any holiday listed above falls on a Saturday, Saturday and the preceding Friday are both legal holidays. If the holiday should fall on a Sunday, Sunday and the following Monday are both legal holidays.

Inspector - The authorized representative of the ENGINEER assigned to make detailed inspections for conformance to the Contract Documents. Any reference to the Resident Project Representative in this document shall mean the Inspector.

SECTION 00700 - GENERAL CONDITIONS

Laws and Regulations; Laws or Regulations - Any and all applicable laws, rules, regulations, ordinances, codes, and/or orders of any and all governmental bodies, agencies, authorities and courts having jurisdiction.

Mechanic's Lien - A form of security, an interest in real property, which is held to secure the payment of an obligation. When referred to in these Contract Documents, "Mechanic's Lien" or "lien" means "Stop Notice".

Milestone - A principal event specified in the Contract Documents relating to an intermediate completion date of a portion of the WORK, or a period of time within which the portion of the WORK should be performed prior to Substantial Completion of all the WORK.

Notice of Award - The written notice by the OWNER to the apparent successful bidder stating that the apparent successful bidder has complied with all conditions for award of the contract.

Notice of Completion - A form signed by the ENGINEER and the CONTRACTOR recommending to the OWNER that the WORK is Substantially Complete and fixing the date of Substantial Completion. After acceptance of the WORK by the OWNER's governing body, the form is signed by the OWNER and filed with the County Recorder. This filing starts the 30-day lien filing period on the WORK.

Notice to Proceed - The written notice issued by the OWNER to the CONTRACTOR authorizing the CONTRACTOR to proceed with the WORK and establishing the date of commencement of the Contract Time.

Notice of Intent to Award - The written notice by the OWNER to the apparent successful bidder stating that upon compliance by the apparent successful bidder with the requirements listed therein, within the time specified, the OWNER will enter into an Agreement.

OWNER - The City and Borough of Juneau (CBJ), acting through its legally designated officials, officers, or employees.

Partial Utilization - Use by the OWNER or a substantially completed part of the WORK for the purpose for which it is intended prior to Substantial Completion of all the WORK.

PCB's - Polychlorinated biphenyls.

PERMITTEE – See definition for CONTRACTOR.

Petroleum - Petroleum, including crude oil or any fraction thereof which is liquid at standard conditions of temperature and pressure (60 degrees Fahrenheit and 14.7 pounds per square inch absolute), such as oil, petroleum, fuel oil, oil sludge, oil refuse, gasoline, kerosene, and oil mixed with other non-Hazardous Wastes and crude oils.

Project - The total construction of which the WORK to be provided under the Contract Documents may be the whole, or a part as indicated elsewhere in the Contract Documents.

Radioactive Material - Source, special nuclear, or byproduct material as defined by the Atomic Energy Act of 1954 (42 USC Section 2011 et seq.) as amended from time to time.

Shop Drawings - All Drawings, diagrams, illustrations, schedules and other data which are specifically prepared by or for the CONTRACTOR and submitted by the CONTRACTOR, to the ENGINEER, to illustrate some portion of WORK.

SECTION 00700 - GENERAL CONDITIONS

Specifications - Same definition as "Technical Specifications" hereinafter.

Stop Notice - A legal remedy for Subcontractors and suppliers who contribute to public works, but who are not paid for their WORK, which secures payment from construction funds possessed by the OWNER. For public property, the Stop Notice remedy is designed to substitute for mechanic's lien rights.

Sub-Consultant - The individual, partnership, corporation, joint-venture or other legal entity having a direct contract with ENGINEER, or with any of its Consultants to furnish services with respect to the Project.

Subcontractor - An individual, partnership, corporation, joint-venture or other legal entity having a direct contract with the CONTRACTOR, or with any of its Subcontractors, for the performance of a part of the WORK at the site.

Substantial Completion - Refers to when the WORK has progressed to the point where, in the opinion of the ENGINEER as evidenced by Notice of Completion as applicable, it is sufficiently complete, in accordance with the Contract Documents, so that the WORK can be utilized for the purposes for which it is intended; or if no such notice is issued, when final payment is due in accordance with Paragraph 14.8. The terms "substantially complete" and "substantially completed" as applied to any WORK refer to substantial completion thereof.

Supplementary General Conditions (SGC) - The part of the Contract Documents which make additions, deletions, or revisions to these General Conditions.

Supplier - A manufacturer, fabricator, supplier, distributor, materialman, or vendor.

Technical Specifications - Divisions 1 through 16 of the Contract Documents consisting of the General Requirements and written technical descriptions of products and execution of the WORK.

Underground Utilities - All pipelines, conduits, ducts, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or attachments, and any encasements containing such facilities which have been installed underground to furnish any of the following services or materials: water, sewage and drainage removal, electricity, gases, steam, liquid petroleum products, telephone or other communications, cable television, traffic, or other control systems.

WORK, Work - The entire completed construction or the various separately identifiable parts thereof required to be furnished under the Contract Documents. WORK is the result of performing, or furnishing labor and furnishing and incorporating materials and equipment into the construction, and performing or furnishing services and furnishing documents, all as required by the Contract Documents.

ARTICLE 2 PRELIMINARY MATTERS

- 2.1 DELIVERY OF BONDS/INSURANCE CERTIFICATES. When the CONTRACTOR delivers the signed Agreements to the OWNER, the CONTRACTOR shall also deliver to the OWNER such Bonds and Insurance Policies and Certificates as the CONTRACTOR may be required to furnish in accordance with the Contract Documents.
- 2.2 COPIES OF DOCUMENTS. The OWNER shall furnish to the CONTRACTOR the required number of copies of the Contract Documents specified in the Supplementary General Conditions.

SECTION 00700 - GENERAL CONDITIONS

- 2.3 COMMENCEMENT OF CONTRACT TIME; NOTICE TO PROCEED. The Contract Time will start to run on the commencement date stated in the Notice to Proceed.
- 2.4 STARTING THE WORK
- A. The CONTRACTOR shall begin to perform the WORK within 10 days after the commencement date stated in the Notice to Proceed, but no WORK shall be done at the site prior to said commencement date.
- B. Before undertaking each part of the WORK, the CONTRACTOR shall carefully study and compare the Contract Documents and check and verify pertinent figures shown thereon and all applicable field measurements. The CONTRACTOR shall promptly report in writing to the ENGINEER any conflict, error, or discrepancy which the CONTRACTOR may discover and shall obtain a written interpretation or clarification from the ENGINEER before proceeding with any WORK affected thereby.
- C. The CONTRACTOR shall submit to the ENGINEER for review those documents called for under Section 01300 - CONTRACTOR Submittals in the General Requirements.
- 2.5 PRE-CONSTRUCTION CONFERENCE. The CONTRACTOR is required to attend a Pre-Construction Conference. This conference will be attended by the ENGINEER and others as appropriate in order to discuss the WORK in accordance with the applicable procedures specified in the General Requirements, Section 01010 - Summary of WORK in the General Requirements.
- 2.6 FINALIZING CONTRACTOR SUBMITTALS. At least 7 days before submittal of the first Application for Payment a conference attended by the CONTRACTOR, the ENGINEER and others as appropriate will be held to finalize the initial CONTRACTOR submittals in accordance with the General Requirements. As a minimum the CONTRACTOR's representatives should include the project manager and schedule expert. The CONTRACTOR should plan on this meeting taking no less than 8 hours. If the submittals are not finalized at the end of the meeting, additional meetings will be held so that the submittals can be finalized prior to the submittal of the first application for payment. No application for payment will be processed until CONTRACTOR submittals are finalized.

ARTICLE 3 CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

- 3.1 INTENT
- A. The Contract Documents comprise the entire Agreement between the OWNER and the CONTRACTOR concerning the WORK. The Contract Documents shall be construed as a whole in accordance with Alaska Law.
- B. It is the intent of the Contract Documents to describe the WORK, functionally complete, to be constructed in accordance with the Contract Documents. Any work, materials, or equipment that may reasonably be inferred from the Contract Documents as being required to produce the intended result shall be supplied whether or not specifically called for. When words or phrases which have a well-known technical or construction industry or trade meaning are used to describe work, materials, or equipment such words or phrases shall be interpreted in accordance with that meaning, unless a definition has been provided in Article 1 of the General Conditions. Reference to standard specifications, manuals, or codes of any technical society, organization, or association, or to the Laws or Regulations of any governmental

SECTION 00700 - GENERAL CONDITIONS

authority, whether such reference be specific or by implication, shall mean the latest standard specification, manual, code, or Laws or Regulations in effect at the time of opening of Bids, except as may be otherwise specifically stated. However, no provision of any referenced standard specification, manual, or code (whether or not specifically incorporated by reference in the Contract Documents) shall be effective to change the duties and responsibilities of the OWNER, the CONTRACTOR, or the ENGINEER or any of their consultants, agents, or employees from those set forth in the Contract Documents.

- C. If, during the performance of the WORK, CONTRACTOR discovers any conflict, error, ambiguity or discrepancy within the Contract Documents or between the Contract Documents and any provision of any such Law or Regulation applicable to the performance of the WORK or of any such standard, specification, manual or code or of any instruction of any Supplier referred to in paragraph 6.5, the CONTRACTOR shall report it to the ENGINEER in writing at once, and the CONTRACTOR shall not proceed with the WORK affected thereby (except in an emergency as authorized by the ENGINEER) until a clarification field order, or Change Order to the Contract Documents has been issued.

3.2 ORDER OF PRECEDENCE OF CONTRACT DOCUMENTS

- A. In resolving conflicts resulting from, errors, or discrepancies in any of the Contract Documents, the order of precedence shall be as follows:

1. Permits from other agencies as may be required by law, excepting the definition of "PERMITEE" in these permits.
2. Field Orders
3. Change Orders
4. ENGINEER's written interpretations and clarifications.
5. Agreement
6. Addenda
7. CONTRACTOR's Bid (Bid Form)
8. Supplementary General Conditions
9. Notice Inviting Bids
10. Instructions to Bidders
11. General Conditions
12. Technical Specifications
13. Drawings

- B. With reference to the Drawings the order of precedence is as follows:

1. Figures govern over scaled dimensions
2. Detail Drawings govern over general Drawings
3. Addenda/ Change Order drawings govern over Contract Drawings
4. Contract Drawings govern over standard drawings

3.3 AMENDING AND SUPPLEMENTING CONTRACT DOCUMENTS. The Contract Documents may be amended to provide for additions, deletions, and revisions in the WORK or to modify the terms and conditions thereof by a Change Order (pursuant to Article 10 CHANGES IN THE WORK).

3.4 REUSE OF DOCUMENTS. Neither the CONTRACTOR, nor any Subcontractor or Supplier, nor any other person or organization performing any of the WORK under a contract with the OWNER shall

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have or acquire any title to or ownership rights in any of the Drawings, Technical Specifications, or other documents used on the WORK, and they shall not reuse any of them on the extensions of the Project or any other project without written consent of the OWNER.

ARTICLE 4 AVAILABILITY OF LANDS; PHYSICAL CONDITIONS; REFERENCE POINTS

4.1 AVAILABILITY OF LANDS. The OWNER shall furnish, as indicated in the Contract Documents, the lands upon which the WORK is to be performed, rights-of-way and easements for access thereto, and such other lands which are designated for the use of the CONTRACTOR. Easements for permanent structures or permanent changes in existing facilities will be obtained and paid for by the OWNER, unless otherwise provided in the Contract Documents. Nothing contained in the Contract Documents shall be interpreted as giving the CONTRACTOR exclusive occupancy of the lands or rights-of-way provided. The CONTRACTOR shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment; provided, that the CONTRACTOR shall not enter upon nor use any property not under the control of the OWNER until a written temporary construction easement, lease or other appropriate agreement has been executed by the CONTRACTOR and the property owner, and a copy of said agreement furnished to the ENGINEER prior to said use; and, neither the OWNER nor the ENGINEER shall be liable for any claims or damages resulting from the CONTRACTOR's unauthorized trespass or use of any such properties.

4.2 PHYSICAL CONDITIONS - SUBSURFACE AND EXISTING STRUCTURES

A. Explorations and Reports. Reference is made to SGC 4.2 Physical Conditions of the Supplementary General Conditions for identification of those reports of explorations and tests of sub-surface conditions at the site that have been utilized by the ENGINEER in the preparation of the Contract Documents. The CONTRACTOR may rely upon the accuracy of the technical data contained in such reports, however, reports are not to be considered complete or comprehensive and nontechnical data, interpretations, and opinions contained in such reports are not to be relied on by the CONTRACTOR. The CONTRACTOR is responsible for any further explorations or tests that may be necessary and any interpretation, interpolation, or extrapolation that it makes of any information shown in such reports.

B. Existing Structures. Reference is made to SGC 4.2 Physical Conditions of the Supplementary General Conditions for identification of those drawings of physical conditions in or relating to existing surface and subsurface structures (except Underground Utilities referred to in Paragraph 4.4 herein) which are at or contiguous to the site that have been utilized by the ENGINEER in the preparation of the Contract Documents. The CONTRACTOR may rely upon the accuracy of the technical data contained in such drawings, however, nontechnical data, interpretations, and opinions contained in such drawings are not to be relied on by the CONTRACTOR. The CONTRACTOR is also responsible for any interpretation, interpolation, or extrapolation that it makes of any information shown in such drawings.

4.3 DIFFERING SITE CONDITIONS

A. The CONTRACTOR shall promptly upon discovery (but in no event later than 14 days thereafter) and before the following conditions are disturbed, notify the ENGINEER, in writing of any:

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1. Material that the CONTRACTOR believes may be material that is hazardous waste, as defined in Article 1 of these General Conditions, or asbestos, PCB's, petroleum or any other substance or material posing a threat to human or to the environment.
 2. Subsurface or latent physical conditions at the site differing from those indicated.
 3. Unknown physical conditions at the site of any unusual nature, different materially from those ordinarily encountered and generally recognized as inherent in WORK of the character provided for in the contract.
- B. The OWNER shall promptly investigate the conditions, and if it finds that the conditions do materially so differ, or do involve hazardous waste, and cause a decrease or increase in the CONTRACTOR's cost of, or the time required for, performance of any part of the WORK shall issue a Change Order under the procedures described in the contract.
- C. In the event that a dispute arises between the OWNER and the CONTRACTOR whether the conditions materially differ, or involved hazardous waste or other materials listed above, or cause a decrease or increase in the CONTRACTOR's cost of, or time required for, performance of any part of the WORK, the CONTRACTOR shall not be excused from any scheduled completion date provided for by the contract, but shall proceed with all WORK to be performed under the contract. The CONTRACTOR shall retain any and all rights provided either by contract or by Law which pertain to the resolution of disputes and protests between the contracting parties.

4.4 PHYSICAL CONDITIONS - UNDERGROUND UTILITIES

- A. Indicated. The information and data indicated in the Contract Documents with respect to existing Underground Utilities at or contiguous to the site are based on information and data furnished to the OWNER or the ENGINEER by the owners of such Underground Utilities or by others. Unless it is expressly provided in the Supplementary General Conditions and/or Section 01530 - Protection and Restoration of Existing Facilities of the General Requirements, the OWNER and the ENGINEER shall not be responsible for the accuracy or completeness of any such information or data, and the CONTRACTOR shall have full responsibility for reviewing and checking all such information and data, for locating all Underground Utilities indicated in the Contract Documents, for coordination of the WORK with the owners of such Underground Utilities during construction, for the safety and protection thereof and repairing any damage thereto resulting from the WORK, the cost of which will be considered as having been included in the Contract Price.
- B. Not Indicated. If an Underground Utility is uncovered or revealed at or contiguous to the site which was not indicated in the Contract Documents and which the CONTRACTOR could not reasonably have been expected to be aware of, the CONTRACTOR shall identify the owner of such Underground Utility and give written notice thereof to that owner and shall notify the ENGINEER in accordance with the requirements of the Supplementary General Conditions and Section 01530 - Protection and Restoration of Existing Facilities of the General Requirements.

4.5 REFERENCE POINTS

- A. The ENGINEER will provide one bench mark, near or on the site of the WORK, and will provide two points near or on the site to establish a base line for use by the CONTRACTOR for alignment control. Unless otherwise specified in the General Requirements, the

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CONTRACTOR shall furnish all other lines, grades, and bench marks required for proper execution of the WORK.

- B. The CONTRACTOR shall preserve all bench marks, stakes, and other survey marks, and in case of their removal or destruction by its own employees or by its Subcontractor's employees, the CONTRACTOR shall be responsible for the accurate replacement of such reference points by personnel qualified under the Alaska Statute governing the licensing of Architects, Engineers, and Land Surveyors.

4.6 USE OF THE CBJ/STATE LEMON CREEK GRAVEL PIT

- A. On City and Borough of Juneau (CBJ) construction projects, the CBJ may make unclassified material available to CONTRACTORS, from the CBJ/State Lemon Creek gravel pit, at a rate less than charged other customers. CONTRACTORS are not required to use material from the CBJ/State pit and the CBJ makes no guarantee as to the quantity or quality of the available material. For this Project, the price shall be \$1.90 per ton.
- B. CONTRACTORS proposing to use gravel from the CBJ/State pit are required to be in good standing for all amounts owed to the CBJ, for previous gravel operations, prior to submitting a mining plan for approval. CONTRACTORS using the pit must comply with Allowable Use Permit USE 98-00047. Failure to meet these requirements, if so subject, shall be sufficient reason to deny use of the CBJ/State pit as a gravel source. To determine if your company is subject to these requirements, contact the CBJ Engineering Department, Gravel Pit Management, at (907) 586-0800.
- C. CONTRACTORS deciding to use material from the CBJ/State pit shall provide an Individual Mining Plan prepared by a professional engineer registered in the State of Alaska. The Individual Mining Plan must be reviewed and approved by the CBJ, prior to commencing operations within the pit. CONTRACTORS shall also secure a Performance Bond to ensure compliance with contract provisions, including any Individual Mining Plan stipulations. The bond shall remain in full force and effect until a release is obtained from the CBJ.
- D. If CONTRACTOR operations for a project do not exceed 500 tons of material, the CONTRACTOR will not be required to provide an Individual Mining Plan prepared by an engineer. However, the CONTRACTOR must submit an Individual Mining Plan that is in compliance with Allowable Use Permit USE 98-00047 for gravel extraction within the CBJ/State pit. The CONTRACTOR must contact the CBJ Engineering Department for conditions for the extraction.
- E. CONTRACTORS using the CBJ material may do primary dry separation (screening) of materials within the pit. Crushing and washing of material will not be allowed. CONTRACTORS shall account for placement of materials removed from the pit. The CBJ may require CONTRACTORS to cross-check weight tickets, submit to an audit, or participate in other measures required by the CBJ to ensure accountability. Unprocessed overburden removed from the pit will not be weighed. All other material mined will be weighed at the CBJ scale. CONTRACTORS will be responsible for loading and/or screening their own material. If asphalt pavement is removed as part of the WORK, CONTRACTORS shall dispose of the material at a to-be-specified location within the pit area, as directed by the CBJ Project Manager.

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- F. The gravel pit overhead charge shall be paid to the CBJ by the CONTRACTOR within 60 days after removal of all materials from the pit and prior to requesting and/or receiving final payment. Upon completion of each excavation CONTRACTORS shall notify the CBJ, in writing, in sufficient time to perform a field-compliance examination prior to vacating the pit. Any significant deviation from the stipulations of the Individual Mining Plan identified during the field inspection shall be corrected by the CONTRACTOR prior to release of the bond. A signed release from CBJ will be required prior to releasing the CONTRACTOR's bond.
- G. If asphalt pavement is removed as part of this WORK, the CONTRACTOR shall dispose of the material at the location designated as the Asphalt Storage Facility, or as directed by the ENGINEER.
- H. The CBJ/State pit is a seasonal operation. The hours of operation are from 7:00 a.m. to 6:00 p.m., Monday through Friday, from April 1 through October 15 of the year. CONTRACTORS may obtain gravel on weekends, or during the off-season, by applying for a separate agreement with the City and Borough of Juneau Engineering Department. The CONTRACTOR will be responsible for any additional costs incurred during weekend or off-season operations at the gravel pit.
- I. All Contractors/Equipment Operators using the CBJ/State Pit shall be in compliance with Federal Mine Safety and Health Administration regulations for quarry and gravel operations.

ARTICLE 5 BONDS AND INSURANCE

5.1 PERFORMANCE, PAYMENT, AND OTHER BONDS

- A. The CONTRACTOR shall furnish, when required, Performance and Payment Bonds on forms provided by the CBJ for the penal sums of 100% of the amount of the Bid award. The surety on each bond may be any corporation or partnership authorized to do business in the State of Alaska as an insurer under AS 21.09. These bonds shall remain in effect for 12 months after the date of final payment and until all obligations and liens under this contract have been satisfied. The CONTRACTOR shall also furnish such other Bonds as are required by the Supplementary General Conditions. All Bonds shall be in the form prescribed by the Contract Documents except as provided otherwise by Laws or Regulations, and shall be executed by such sureties as are named in the current list of "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Circular 570 (amended) by the Audit Staff, Bureau of Government Financial Operations, U.S. Treasury Department. All Bonds signed by an agent must be accompanied by a certified copy of such agent's authority to act.
- B. If the surety on any Bond furnished by the CONTRACTOR is declared bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the WORK is located, the CONTRACTOR shall within 7 days thereafter substitute another Bond and Surety, which must be acceptable to the OWNER.
- C. All Bonds required by the Contract Documents to be purchased and maintained by CONTRACTOR shall be obtained from surety companies that are duly licensed or authorized in the State of Alaska to issue Bonds for the limits so required. Such surety companies shall also meet such additional requirements and qualifications as may be provided in the

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Supplementary General Conditions. The City Engineer may, on behalf of the OWNER, notify the surety of any potential default or liability.

5.2 INSURANCE

- A. The CONTRACTOR shall purchase and maintain the insurance required under this paragraph. Such insurance shall include the specific coverages set out herein and be written for not less than the limits of liability and coverages provided in the Supplementary General Conditions, or required by law, whichever are greater. All insurance shall be maintained continuously during the life of the Agreement up to the date of Final Completion and at all times thereafter when the CONTRACTOR may be correcting, removing, or replacing Defective WORK in accordance with Paragraph 13.6, but the CONTRACTOR's liabilities under this Agreement shall not be deemed limited in any way to the insurance coverage required.
- B. All insurance required by the Contract Documents to be purchased and maintained by the CONTRACTOR shall be obtained from insurance companies that are duly licensed or authorized in the State of Alaska to issue insurance policies for the limits and coverages so required. Such insurance companies shall have a current Best's Rating of at least an "A" (Excellent) general policy holder's rating and a Class VII financial size category and shall also meet such additional requirements and qualifications as may be provided in the Supplementary General Conditions.
- C. The CONTRACTOR shall furnish the OWNER with certificates showing the type, amount, class of operations covered, effective dates and dates of expiration of policies. All of the policies of insurance so required to be purchased and maintained (or the certificates or other evidence thereof) shall contain a provision or endorsement that the coverage afforded will not be cancelled, reduced in coverage, or renewal refused until at least 30 days' prior written notice has been given to the OWNER by certified mail. All such insurance required herein (except for Workers' Compensation and Employer's Liability) shall name the OWNER, its Consultants and subconsultants and their officers, directors, agents, and employees as "additional insureds" under the policies. The CONTRACTOR shall purchase and maintain the following insurance:
1. Workers' Compensation and Employer's Liability. This insurance shall protect the CONTRACTOR against all claims under applicable state workers' compensation laws. The CONTRACTOR shall also be protected against claims for injury, disease, or death of employees which, for any reason, may not fall within the provisions of a Workers' Compensation law. This policy shall include an "all states" endorsement. The CONTRACTOR shall require each Subcontractor similarly to provide Workers' Compensation Insurance for all of the latter's employees to be engaged in such WORK unless such employees are covered by the protection afforded by the CONTRACTOR's Workers' Compensation Insurance. In case any class of employees is not protected, under the Workers' Compensation Statute, the CONTRACTOR shall provide and shall cause each Subcontractor to provide adequate employer's liability insurance for the protection of such of its employees as are not otherwise protected.
 2. Commercial General Liability. This insurance shall be written in comprehensive form and shall protect the CONTRACTOR against all claims arising from injuries to persons other than its employees or damage to property of the OWNER or others arising out of

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any act or omission of the CONTRACTOR or its agents, employees, or Subcontractors. The policy shall contain no exclusions for any operations within the scope of this contract.

3. Comprehensive Automobile Liability. This insurance shall be written in comprehensive form and shall protect the CONTRACTOR against all claims for injuries to members of the public and damage to property of others arising from the use of motor vehicles, and shall cover operation on or off the site of all motor vehicles licensed for highway use, whether they are owned, non-owned, or hired. Coverage for hired motor vehicles should include endorsement covering liability assumed under this Agreement.
4. Subcontractor's Commercial General Liability Insurance and Commercial Automobile Liability Insurance. The CONTRACTOR shall either require each of its Subcontractors to procure and to maintain Subcontractor's Commercial General Liability and Property Damage Insurance and Vehicle Liability Insurance of the type and in the amounts specified in the Supplementary General Conditions or insure the activities of its Subcontractors in the CONTRACTOR's own policy, in like amount.
5. Builder's Risk. This insurance shall be of the "all risks" type, shall be written in completed value form, and shall protect the CONTRACTOR, the OWNER, and the ENGINEER, against risks of damage to buildings, structures, and materials and equipment. The amount of such insurance shall be not less than the insurable value of the WORK at completion. Builder's risk insurance shall provide for losses to be payable to the CONTRACTOR and the OWNER, as their interests may appear. The policy shall contain a provision that in the event of payment for any loss under the coverage provided, the insurance company shall have no rights of recovery against the CONTRACTOR, the OWNER, and the ENGINEER. The Builder's Risk policy shall insure against all risks of direct physical loss or damage to property from any external cause including flood and earthquake. Allowable exclusions, if any, shall be as specified in the Supplementary General Conditions.

ARTICLE 6 CONTRACTOR'S RESPONSIBILITIES

6.1 SUPERVISION AND SUPERINTENDENCE

- A. The CONTRACTOR shall supervise, inspect, and direct the WORK competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the WORK in accordance with the Contract Documents. The CONTRACTOR shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction and safety precautions and programs incidental thereto. The CONTRACTOR shall be responsible to see that the completed WORK complies accurately with the Contract Documents.
- B. The CONTRACTOR shall designate in writing and keep on the WORK site at all times during its progress a technically qualified, English-speaking superintendent, who is an employee of the CONTRACTOR and who shall not be replaced without written notice to the OWNER and the ENGINEER. The superintendent will be the CONTRACTOR's representative at the site and shall have authority to act on behalf of the CONTRACTOR. All communications given to the superintendent shall be as binding as if given to the CONTRACTOR. The CONTRACTOR shall issue all its communications to the OWNER through the ENGINEER and the ENGINEER only.

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- C. The CONTRACTOR's superintendent shall be present at the site of the WORK at all times while WORK is in progress. Failure to observe this requirement shall be considered suspension of the WORK by the CONTRACTOR until such time as such superintendent is again present at the site.

6.2 LABOR, MATERIALS, AND EQUIPMENT

- A. The CONTRACTOR shall provide competent, suitably qualified personnel to survey and lay out the WORK and perform construction as required by the Contract Documents. The CONTRACTOR shall furnish, erect, maintain, and remove the construction plant and any temporary works as may be required. The CONTRACTOR shall at all times maintain good discipline and order at the site. Except in connection with the safety or protection of persons or the WORK or property at the site or adjacent thereto, and except as otherwise indicated in the Contract Documents, all WORK at the site shall be performed during regular working hours, and the CONTRACTOR will not permit overtime work or the performance of work on Saturday, Sunday, or any legal holiday without the OWNER's written consent. The CONTRACTOR shall apply for this consent through the ENGINEER.
- B. Except as otherwise provided in this Paragraph, the CONTRACTOR shall receive no additional compensation for overtime work, i.e., work in excess of 8 hours in any one calendar day or 40 hours in any one calendar week, even though such overtime work may be required under emergency conditions and may be ordered by the ENGINEER in writing. Additional compensation will be paid the CONTRACTOR for overtime work only in the event extra work is ordered by the ENGINEER and the Change Order specifically authorizes the use of overtime work and then only to such extent as overtime wages are regularly being paid by the CONTRACTOR for overtime work of a similar nature in the same locality.
- C. All costs of inspection and testing performed during overtime work by the CONTRACTOR which is allowed solely for the convenience of the CONTRACTOR shall be borne by the CONTRACTOR. The OWNER shall have the authority to deduct the cost of all such inspection and testing from any partial payments otherwise due to the CONTRACTOR.
- D. Unless otherwise specified in the Contract Documents, the CONTRACTOR shall furnish and assume full responsibility for all materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, and all other facilities and incidentals necessary for the furnishing, performance, testing, start-up, and completion of the WORK.
- E. All materials and equipment to be incorporated into the WORK shall be of good quality and new, except as otherwise provided in the Contract Documents. All warranties and guarantees specifically called for by the Specifications shall expressly run to the benefit of the OWNER. If required by the ENGINEER, the CONTRACTOR shall furnish satisfactory evidence (including reports of required tests) as to the kind and quality of materials and equipment. All materials and equipment shall be applied, installed, connected, erected, used, cleaned, and conditioned in accordance with the instructions of the applicable Supplier except as otherwise provided in the Contract Documents; but no provisions of any such instructions will be effective to assign to the ENGINEER, or any of the ENGINEER consultants, agents, or employees, any duty or authority to supervise or direct the furnishing or performance of the

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WORK or any duty or authority to undertake responsibility contrary to the provisions of Paragraphs 9.9C and 9.9D.

- F. The CONTRACTOR shall at all times employ sufficient labor and equipment for prosecuting the several classes of WORK to full completion in the manner and time set forth in and required by these specifications. All workers shall have sufficient skill and experience to perform properly the WORK assigned to them. Workers engaged in special WORK, or skilled WORK, shall have sufficient experience in such WORK and in the operation of the equipment required to perform all WORK, properly and satisfactorily.
 - G. Any person employed by the CONTRACTOR or by any Subcontractor who, in the opinion of the ENGINEER, does not perform the WORK in a proper and skillful manner, or is intemperate or disorderly shall, at the written request of the ENGINEER, be removed forthwith by the CONTRACTOR or Subcontractor employing such person, and shall not be employed again in any portion of the WORK without the approval of the ENGINEER. Should the CONTRACTOR fail to remove such person or persons as required above, or fail to furnish suitable and sufficient personnel for the proper prosecution of the WORK, the ENGINEER may suspend the WORK by written notice until such orders are complied with.
- 6.3 ADJUSTING PROGRESS SCHEDULE. The CONTRACTOR shall submit monthly updates of the progress schedule to the ENGINEER for acceptance in accordance with the provisions in Section 01300 - CONTRACTOR Submittals in the General Requirements.
- 6.4 SUBSTITUTES OR "OR-EQUAL" ITEMS. The CONTRACTOR shall submit proposed substitutes or "or-equal" items in accordance with the provisions in Section 01300 - CONTRACTOR Submittals in the General Requirements.
- 6.5 CONCERNING SUBCONTRACTORS, SUPPLIERS, AND OTHERS.
- A. The CONTRACTOR shall be responsible to the OWNER and the ENGINEER for the acts and omissions of its Subcontractors and their employees to the same extent as CONTRACTOR is responsible for the acts and omissions of its own employees. Nothing contained in this Paragraph shall create any contractual relationship between any Subcontractor and the OWNER or the ENGINEER nor relieve the CONTRACTOR of any liability or obligation under the prime contract.
 - B. The CONTRACTOR shall perform not less than 40% of the WORK with its own forces (i.e., without subcontracting). The 40% requirement shall be understood to mean that the CONTRACTOR shall perform, with its own organization, WORK amounting to at least 40% of the awarded contract amount. The 40% requirement will be calculated based upon the total of the subcontract amounts submitted for contract award, and any other information requested by the OWNER from the apparent low bidder.
- 6.6 PERMITS
- A. Unless otherwise provided in the Supplementary General Conditions, the CONTRACTOR shall obtain and pay for all construction permits and licenses from the agencies having jurisdiction, including the furnishing of insurance and bonds if required by such agencies. The enforcement of such requirements under this contract shall not be made the basis for claims for additional compensation. The OWNER shall assist the CONTRACTOR, when necessary,

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in obtaining such permits and licenses. The CONTRACTOR shall pay all governmental charges and inspection fees necessary for the prosecution of the WORK, which are applicable at the time of opening of Bids. The CONTRACTOR shall pay all charges of utility owners for connections to the WORK.

- B. These Contract Documents may require that the WORK be performed within the conditions and/or requirements of local, state and/or federal permits. These permits may be bound within the Contract Documents, included within the Contract Documents by reference, or included as part of the WORK, as designated in this Section. The CONTRACTOR is responsible for completing the WORK required for compliance with all permit requirements; this WORK is incidental to other items in the Contract Documents. Any reference to the PERMITTEE in the permits shall mean the CONTRACTOR. If any permits were acquired by the OWNER, this action was done to expedite the start of construction. If the CONTRACTOR does not complete the WORK within the specified permit window, the CONTRACTOR shall be responsible for the permit extension, and for completing any additional requirements placed upon the permit.
- C. The OWNER shall apply for, and obtain, the necessary building permit for this Project, however, the CONTRACTOR is responsible for scheduling and coordinating all necessary inspections. The CBJ Inspection number is 586-1703. All other provisions of this Section remain in effect.

6.7 PATENT FEES AND ROYALTIES. The CONTRACTOR shall pay all license fees and royalties and assume all costs incident to the use in the performance of the WORK or the incorporation in the WORK of any invention, design, process, product, software or device which is the subject of patent rights or copyrights held by others. If a particular invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the WORK and if to the actual knowledge of the OWNER or the ENGINEER its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights shall be disclosed by the OWNER in the Contract Documents. The CONTRACTOR shall indemnify, defend and hold harmless the OWNER and the ENGINEER and anyone directly or indirectly employed by either of them from and against all claims, damages, losses, and expenses (including attorneys' fees and court costs) arising out of any infringement of patent rights or copyrights incident to the use in the performance of the WORK or resulting from the incorporation in the WORK of any invention, design, process, product, or device not specified in the Contract Documents, and shall defend all such claims in connection with any alleged infringement of such rights.

6.8 LAWS AND REGULATIONS. The CONTRACTOR shall observe and comply with all federal, state, and local laws, ordinances, codes, orders, and regulations which in any manner affect those engaged or employed on the WORK, the materials used in the WORK, or the conduct of the WORK. If any discrepancy or inconsistency should be discovered in this contract in relation to any such law, ordinance, code, order, or regulation, the CONTRACTOR shall report the same in writing to the ENGINEER. The CONTRACTOR shall indemnify, defend, and hold harmless the OWNER, the ENGINEER, and their officers, agents, and employees against all claims or liability arising from violation of any such law, ordinance, code, order, or regulation, whether by CONTRACTOR or by its employees, Subcontractors, or third parties. Any particular law or regulation specified or referred to elsewhere in the Contract Documents shall not in any way limit the obligation of the CONTRACTOR to comply with all other provisions of federal, state, and local laws and regulations.

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The OWNER may, per AS 36.30, audit the CONTRACTOR's or Subcontractor(s) records that are related to the cost or pricing data for this contract, all related Change Orders, and/or contract modifications.

- 6.9 TAXES. The CONTRACTOR shall pay all sales, consumer, use, and other similar taxes required to be paid by the CONTRACTOR in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the WORK.
- 6.10 USE OF PREMISES. The CONTRACTOR shall confine construction equipment, the storage of materials and equipment, and the operations of workers to (1) the Project site, (2) the land and areas identified in and permitted by the Contract Documents, and (3) the other land and areas permitted by Laws and Regulations, rights-of-way, permits, leases and easements. The CONTRACTOR shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereof or of any land or areas contiguous thereto, resulting from the performance of the WORK. Should any claim be made against the OWNER or the ENGINEER by any such owner or occupant because of the performance of the WORK, the CONTRACTOR shall promptly attempt to settle with such other party by agreement or otherwise resolve the claim through litigation. The CONTRACTOR shall, to the fullest extent permitted by Laws and Regulations, indemnify, defend, and hold the OWNER and the ENGINEER harmless from and against all claims, damages, losses, and expenses (including, but not limited to, fees of engineers attorneys, and other professionals and court costs) arising directly, indirectly, or consequentially out of any action, legal or equitable, brought by any such owner or occupant against the OWNER, the ENGINEER, their Consultants, Sub-consultants, and the officers, directors, employees and agents of each and any of them to the extent caused by or based upon the CONTRACTOR's performance of the WORK.
- 6.11 SAFETY AND PROTECTION
- A. The CONTRACTOR shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the WORK. The CONTRACTOR shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to:
1. all employees on the WORK and other persons and organizations who may be affected thereby;
 2. all the WORK and materials and equipment to be incorporated therein, whether in storage on or off the site; and
 3. other property at the site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.
- B. The CONTRACTOR shall comply with all applicable Laws and Regulations whether referred to herein or not) of any public body having jurisdiction for the safety of persons or property or to protect them from damage, injury, or loss and shall erect and maintain all necessary safeguards for such safety and protection. The CONTRACTOR shall notify owners of adjacent property and utilities when prosecution of the WORK may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property.
- C. The CONTRACTOR shall designate a qualified and experienced safety representative at the site whose duties and responsibilities shall be the prevention of accidents and the maintaining and supervising of safety precautions and program.

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- D. Materials that contain hazardous substances or mixtures may be required on the WORK. A Material Safety Data Sheet shall be requested by the CONTRACTOR from the manufacturer of any hazardous product used.
- E. Material usage shall be accomplished with strict adherence to all safety requirements and all manufacturer's warnings and application instructions listed on the Material Safety Data Sheet and on the product container label.
- F. The CONTRACTOR shall be responsible for coordinating communications on any exchange of Material Safety Data Sheets or other hazardous material information that is required to be made available to, or exchanged between, or among, employers at the site in accordance with Laws or Regulations.
- G. The CONTRACTOR shall notify the ENGINEER if it considers a specified product or its intended usage to be unsafe. This notification must be given to the ENGINEER prior to the product being ordered, or if provided by some other party, prior to the product being incorporated in the WORK.

6.12 SHOP DRAWINGS AND SAMPLES

- A. After checking and verifying all field measurements and after complying with applicable procedures specified in the General Requirements, the CONTRACTOR shall submit to the ENGINEER for review, all Shop Drawings in accordance with Section 01300 - CONTRACTOR Submittals in the General Requirements.
- B. The CONTRACTOR shall also submit to the ENGINEER for review all samples in accordance with Section 01300 - CONTRACTOR Submittals in the General Requirements.
- C. Before submittal of each shop drawing or sample, the CONTRACTOR shall have determined and verified all quantities, dimensions, specified performance criteria, installation requirements, materials, catalog numbers, and similar data with respect thereto and reviewed or coordinated each Shop Drawing or sample with other Shop Drawings and samples and with the requirements of the WORK and the Contract Documents.

6.13 CONTINUING THE WORK. The CONTRACTOR shall carry on the WORK and adhere to the progress schedule during all disputes or disagreements with the OWNER. No work shall be delayed or postponed pending resolution of any disputes or disagreements, except as the CONTRACTOR and the OWNER may otherwise agree in writing.

6.14 INDEMNIFICATION

- A. To the fullest extent permitted by Laws and Regulations, the CONTRACTOR shall indemnify, defend, and hold harmless the OWNER, the ENGINEER, their Consultants, Sub-consultants and the officers, directors, employees, and agents of each and any of them, against and from all claims and liability arising under, by reason of or incidentally to the contract or any performance of the WORK, but not from the sole negligence or willful misconduct of the OWNER, and the ENGINEER. Such indemnification by the CONTRACTOR shall include but not be limited to the following:

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1. Liability or claims resulting directly or indirectly from the negligence or carelessness of the CONTRACTOR, its employees, or agents in the performance of the WORK, or in guarding or maintaining the same, or from any improper materials, implements, or appliances used in its construction, or by or on account of any act or omission of the CONTRACTOR, its employees, agents, or third parties;
 2. Liability or claims arising directly or indirectly from bodily injury, occupational sickness or disease, or death of the CONTRACTOR's or Subcontractor's own employees engaged in the WORK resulting in actions brought by or on behalf of such employees against the OWNER, and the ENGINEER;
 3. Liability or claims arising directly or indirectly from or based on the violation of any law, ordinance, regulation, order, or decree, whether by the CONTRACTOR, its employees, or agents;
 4. Liability or claims arising directly or indirectly from the use or manufacture by the CONTRACTOR, its employees, or agents in the performance of this contract of any copyrighted or non-copyrighted composition, secret process, patented or non-patented invention, computer software, article, or appliance, unless otherwise specifically stipulated in this contract.
 5. Liability or claims arising directly or indirectly from the breach of any warranties, whether express or implied, made to the OWNER or any other parties by the CONTRACTOR, its employees, or agents;
 6. Liabilities or claims arising directly or indirectly from the willful or criminal misconduct of the CONTRACTOR, its employees, or agents; and,
 7. Liabilities or claims arising directly or indirectly from any breach of the obligations assumed herein by the CONTRACTOR.
- B. The CONTRACTOR shall reimburse the ENGINEER and the OWNER for all costs and expenses, (including but not limited to fees and charges of engineers, attorneys, and other professionals and court costs including all costs of appeals) incurred by said OWNER, and the ENGINEER in enforcing the provisions of this Paragraph 6.14.
- C. The indemnification obligation under this Paragraph 6.14 shall not be limited in any way by any limitation of the amount or type of damages, compensation, or benefits payable by or for the CONTRACTOR or any such Subcontractor or other person or organization under workers' compensation acts, disability benefit acts, or other employee benefit acts.
- 6.15 CONTRACTOR'S DAILY REPORTS. The CONTRACTOR shall complete a daily report indicating total manpower for each construction trade, major equipment on site, each Subcontractor's manpower, weather conditions, etc., involved in the performance of the WORK. The daily report shall be completed on forms provided by the ENGINEER and shall be submitted to the ENGINEER at the conclusion of each workday. The report should comment on the daily progress and status of the WORK within each major component of the WORK. These components will be decided by the ENGINEER. CONTRACTOR shall record the name, affiliation, time of arrival and departure, and reason for visit for all visitors to the location of the WORK.
- 6.16 ASSIGNMENT OF CONTRACT. The CONTRACTOR shall not assign, sublet, sell, transfer, or otherwise dispose of the contract or any portion thereof, or its right, title, or interest therein, or obligations thereunder, without the written consent of the OWNER except as imposed by law. If the CONTRACTOR violates this provision, the contract may be terminated at the option of the OWNER. In such event, the OWNER shall be relieved of all liability and obligations to the CONTRACTOR and to its assignee or transferee, growing out of such termination.

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- 6.17 CONTRACTOR'S RESPONSIBILITY FOR UTILITY PROPERTY AND SERVICES. It is understood that any turn-on or turn-off, line locates and any other work or assistance necessary by the CBJ Water Utilities Division, will be at the CONTRACTOR's expense unless otherwise stated in the bid documents. All cost must be agreed to prior to any related actions, and will be considered incidental to the project cost. Billing to the CONTRACTOR will be direct from the CBJ Water Utilities Division.
- 6.18 OPERATING WATER SYSTEM VALVES
- A. The CONTRACTOR shall submit a written request, to the ENGINEER, for approval to operate any valve on any in-service section of the CBJ water system. The request must be submitted at least 24-hours prior to operating any valves. The CBJ Water Utilities Division reserves the right to approve or deny the request. The request shall specifically identify each valve to be operated, the time of operation, and the operation to be performed. The CONTRACTOR shall obtain the written approval of the ENGINEER for any scheduled operation before operating any valve.
- B. The CONTRACTOR shall be responsible for all damages, both direct and consequential, to the City or any other party, caused by unauthorized operation of any valve of the CBJ water system.
- 6.19 CONTRACTOR'S WORK SCHEDULE LIMITATIONS. Construction of Buildings and Projects. It is unlawful to operate any pile driver, power shovel, pneumatic hammer, derrick, power hoist, or similar heavy construction equipment before 7:00 a.m. or after 10:00 p.m., Monday through Friday, or before 9:00 a.m. or after 10:00 p.m., Saturday and Sunday, unless a permit shall first be obtained from the City and Borough Building Official. Such permit shall be issued by the Building Official only upon a determination that such operation during hours not otherwise permitted hereunder is necessary and will not result in unreasonable disturbance to surrounding residents.

ARTICLE 7 OTHER WORK

7.1 RELATED WORK AT SITE

- A. The OWNER may perform other work related to the Project at the site by the OWNER's own forces, have other work performed by utility owners, or let other direct contracts therefor which may contain General Conditions similar to these. If the fact that such other work is to be performed was not noted in the Contract Documents, written notice thereof will be given to the CONTRACTOR prior to starting any such other work.
- B. The CONTRACTOR shall afford each other contractor who is a party to such a direct contract and each utility owner (or the OWNER, if the OWNER is performing the additional work with the OWNER's employees) proper and safe access to the site and a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such work, and shall properly connect and coordinate the WORK with theirs. The CONTRACTOR shall do all cutting, fitting, and patching of the WORK that may be required to make its several parts come together properly and integrate with such other work. The CONTRACTOR shall not endanger any work of others by cutting, excavating, or otherwise altering their work and will only cut or alter their work with the written consent of the ENGINEER and the others whose work will be affected.

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C. If the proper execution or results of any part of the CONTRACTOR's work depends upon the work of any such other contractor or utility owner (or OWNER), the CONTRACTOR shall inspect and report to the ENGINEER in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for such proper execution and results. The CONTRACTOR's failure to report such delays, defects, or deficiencies will constitute an acceptance of the other work as fit and proper for integration with the CONTRACTOR's work except for latent or nonapparent defects and deficiencies in the other work.

7.2 COORDINATION. If the OWNER contracts with others for the performance of other work on the Project at the site, the person or organization who will have authority and responsibility for coordination of the activities among the various prime contractors will be identified in the Supplementary General Conditions, and the specific matters to be covered by such authority and responsibility will be itemized and the extent of such authority and responsibilities will be provided in the Supplementary General Conditions.

ARTICLE 8 OWNER'S RESPONSIBILITIES

8.1 COMMUNICATIONS

A. The OWNER shall issue all its communications to the CONTRACTOR through the ENGINEER.

B. The CONTRACTOR shall issue all its communications to the OWNER through the ENGINEER.

8.2 PAYMENTS. The OWNER shall make payments to the CONTRACTOR as provided in Paragraphs 14.5, 14.8, 14.9 and 14.10.

8.3 LANDS, EASEMENTS, AND SURVEYS. The OWNER's duties in respect of providing lands and easements and providing surveys to establish reference points are set forth in Paragraphs 4.1 and 4.5.

8.4 CHANGE ORDERS. The OWNER shall execute Change Orders as indicated in Paragraph 10.1F.

8.5 INSPECTIONS AND TESTS. The OWNER's responsibility in respect of inspections, tests, and approvals is set forth in Paragraph 13.3.

8.6 SUSPENSION OF WORK. In connection with the OWNER's right to stop WORK or suspend WORK, see Paragraphs 13.4 and 15.1.

8.7 TERMINATION OF AGREEMENT. Paragraphs 15.2 and 15.3 deal with the OWNER's right to terminate services of the CONTRACTOR.

ARTICLE 9 ENGINEER'S STATUS DURING CONSTRUCTION

9.1 OWNER'S REPRESENTATIVE. The ENGINEER will be the OWNER's representative during the construction period. The duties and responsibilities and the limitations of authority of the ENGINEER as the OWNER's representative during construction are set forth in the Contract Documents.

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- 9.2 VISITS TO SITE. The ENGINEER will make visits to the site during construction to observe the progress and quality of the WORK and to determine, in general, if the WORK is proceeding in accordance with the Contract Documents. Exhaustive or continuous on-site inspections to check the quality or quantity of the WORK will not be required of the ENGINEER. The ENGINEER will not, during such visits, or as a result of such observations of the CONTRACTOR's WORK in progress, supervise, direct, or have control over the CONTRACTOR's WORK.
- 9.3 PROJECT REPRESENTATION. The ENGINEER may furnish an Inspector to assist in observing the performance of the WORK. The duties, responsibilities, and limitations of authority are as follows:

A. Duties, Responsibilities and Limitations of Authority of Inspector

General. The Inspector, who is the ENGINEER's Agent, will act as directed by and under the supervision of the ENGINEER and will confer with the ENGINEER regarding its actions. The Inspector's dealings in matters pertaining to the on-site WORK shall, in general, be only with the ENGINEER and the CONTRACTOR, and dealings with Subcontractors shall only be through or with the full knowledge of the CONTRACTOR. Written communication with the OWNER will be only through or as directed by the ENGINEER.

Duties and Responsibilities. The Inspector may:

1. Review the progress schedule, list of Shop Drawing submittals and schedule of values prepared by the CONTRACTOR and consult with the ENGINEER concerning their acceptability.
2. Attend pre-construction conferences. Arrange a schedule of progress meetings and other job conferences as required in consultation with the ENGINEER and notify those expected to attend in advance. Attend meetings and maintain and circulate copies of minutes thereof.
3. Serve as the ENGINEER's liaison with the CONTRACTOR, working principally through the CONTRACTOR's superintendent and assist said superintendent in understanding the intent of the Contract Documents. Assist the ENGINEER in serving as the OWNER's liaison with the CONTRACTOR when the CONTRACTOR's operations affect the OWNER's on-site operations.
4. As requested by the ENGINEER, assist in obtaining from the OWNER additional details or information, when required at the site for proper execution of the WORK.
5. Receive and record date of receipt of Shop Drawings and samples, receive samples which are furnished at the site by the CONTRACTOR and notify the ENGINEER of their availability for examination.
6. Conduct on-site observations of the WORK in progress to assist the ENGINEER in determining if the WORK is proceeding in accordance with the Contract Documents.
7. Report to the ENGINEER whenever the Inspector believes that any WORK is unsatisfactory, faulty, or defective or does not conform to the Contract Documents, or does not meet the requirements of any inspection, tests or approval required to be made or has been damaged prior to final payment; and advise the ENGINEER when the Inspector believes WORK should be corrected or rejected or should be uncovered for observation, or requires special testing, inspection, or approval.
8. Verify that the tests, equipment, and systems startups and operating and maintenance instruction are conducted as required by the Contract Documents and in presence of the required personnel, and that the CONTRACTOR maintains adequate records thereof;

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observe, record and report to the ENGINEER appropriate details relative to the test procedures and start-ups.

9. Accompany visiting inspectors representing public or other agencies having jurisdiction over the WORK, record the outcome of these inspections, and report to the ENGINEER.
10. Transmit to the CONTRACTOR the ENGINEER's clarifications and interpretations of the Contract Documents.
11. Consider and evaluate the CONTRACTOR's suggestions for modifications in the Contract Documents and report them with recommendations to the ENGINEER.
12. Maintain at the job site orderly files for correspondence, reports of job conferences, Shop Drawings and sample submittals, reproductions of original Contract Documents including all addenda, Change Orders, field orders, additional Drawings issued subsequent to the execution of the contract, the ENGINEER's clarifications and interpretations of the Contract Documents, progress reports, and other related documents.
13. Keep a diary or log book, recording hours on the job site, weather conditions, data relative to questions of extras or deductions, list all project visitors, daily activities, decisions, observations in general, and specific observations in more detail as in the case of performing and observing test procedures. Send copies to the ENGINEER.
14. Record names, addresses, and telephone numbers of the CONTRACTOR, Subcontractors, and major suppliers of materials and equipment.
15. Furnish the ENGINEER with periodic reports as required of progress of the WORK and the CONTRACTOR's compliance with the accepted progress schedule and schedule of CONTRACTOR submittals.
16. Consult with the ENGINEER in advance of scheduled major tests, inspections, or start of important phases of the WORK.
17. Report immediately to the ENGINEER upon the occurrence of any accident.
18. Review applications for payment with the CONTRACTOR for compliance with the established procedure for their submittal and forward them with recommendations to the ENGINEER, noting particularly their relation to the schedule of values, WORK completed, and materials and equipment delivered at the site but not incorporated in the WORK.
19. During the course of the WORK, verify that certificates, maintenance and operation manuals, and other data required to be assembled and furnished by the CONTRACTOR are applicable to the items actually installed; and deliver this material to the ENGINEER for its review and forwarding to the OWNER prior to final acceptance of the WORK.
20. Before the ENGINEER prepares a Certificate of Substantial Completion/Notice of Completion, as applicable, review the CONTRACTOR's punch list items requiring completion or correction and add any items that CONTRACTOR has omitted.
21. Conduct final inspection in the company of the ENGINEER, the OWNER, and the CONTRACTOR, and prepare a final punch list of items to be completed or corrected.
22. Verify that all items on the punch list have been completed or corrected and make recommendations to the ENGINEER concerning acceptance.

Limitations of Authority. Except upon written instruction of the ENGINEER, the Inspector:

1. Shall not authorize any deviation from the Contract Documents or approve any substitute material or equipment.

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2. Shall not exceed limitations on the ENGINEER's authority as set forth in the Contract Documents.
3. Shall not undertake any of the responsibilities of the CONTRACTOR, Subcontractors or CONTRACTOR's superintendent, or expedite the WORK.
4. Shall not advise on or issue directions relative to any aspect of the means, methods, techniques, sequences, or procedures of construction unless such is specifically called for in the Contract Documents.
5. Shall not advise on or issue directions as to safety precautions and programs in connection with the WORK.

9.4 CLARIFICATIONS AND INTERPRETATIONS. The ENGINEER will issue with reasonable promptness such written clarifications or interpretations of the requirements of the Contract Documents (in the form of Drawings or otherwise) as the ENGINEER may determine necessary, which shall be consistent with, or reasonably inferred from, the overall intent of the Contract Documents.

9.5 AUTHORIZED VARIATIONS IN WORK. The ENGINEER may authorize variations in the WORK from the requirements of the Contract Documents. These may be accomplished by a Field Order and will require the CONTRACTOR to perform the WORK involved in a manner that minimizes the impact to the WORK and the contract completion date. If the CONTRACTOR believes that a Field Order justifies an increase in the Contract Price or an extension of the Contract Time, the CONTRACTOR may make a claim therefor as provided in Article 11 or 12.

9.6 REJECTING DEFECTIVE WORK. The ENGINEER will have authority to reject WORK which the ENGINEER believes to be defective and will also have authority to require special inspection or testing of the WORK as provided in Paragraph 13.3G, whether or not the WORK is fabricated, installed, or completed.

9.7 CONTRACTOR SUBMITTALS, CHANGE ORDERS, AND PAYMENTS

- A. In accordance with the procedures set forth in the General Requirements, the ENGINEER will review all CONTRACTOR submittals, including Shop Drawings, samples, substitutes, or "or equal" items, etc., in order to determine if the items covered by the submittals will, after installation or incorporation in the WORK, conform to the requirements of the Contract Documents and be compatible with the design concept of the completed project as a functioning whole as indicated by the Contract Documents. The ENGINEER's review will not extend to means, methods, techniques, sequences or procedures of construction or to safety precautions or programs incident thereto.
- B. In connection with the ENGINEER's responsibilities as to Change Orders, see Articles 10, 11, and 12.
- C. In connection with the ENGINEER's responsibilities in respect of Applications for Payment, see Article 14.

9.8 DECISIONS ON DISPUTES

- A. The ENGINEER will be the initial interpreter of the requirements of the Contract Documents and judge of the acceptability of the WORK thereunder. Claims, disputes, and other matters relating to the acceptability of the WORK; the interpretation of the requirements of the

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Contract Documents pertaining to the performance of the WORK; and those claims under Articles 11 and 12 in respect to changes in the Contract Price or Contract Time will be referred initially to the ENGINEER in writing with a request for formal decision in accordance with this paragraph, which the ENGINEER will render in writing within 30 days of receipt of the request. Written notice of each such claim, dispute, and other matter will be delivered by the CONTRACTOR to the ENGINEER promptly (but in no event later than 30 days) after the occurrence of the event giving rise thereto. Written supporting data will be submitted to the ENGINEER within 60 days after such occurrence unless the ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim.

- B. The rendering of a decision by the ENGINEER with respect to any such claim, dispute, or other matter (except any which have been waived by the making or acceptance of final payment as provided in Paragraph 14.12) will be a condition precedent to any exercise by the OWNER or the CONTRACTOR of such rights or remedies as either may otherwise have under the Contract Documents or by Law or Regulations in respect of any such claim, dispute, or other matter.

9.9 LIMITATION ON ENGINEER'S RESPONSIBILITIES

- A. Neither the ENGINEER's authority to act under this Article or other provisions of the Contract Documents nor any decision made by the ENGINEER in good faith either to exercise or not exercise such authority shall give rise to any duty or responsibility of the ENGINEER to the CONTRACTOR, any Subcontractor, any Supplier, any surety for any of them, or any other person or organization performing any of the WORK.
- B. Whenever in the Contract Documents the terms "as ordered," "as directed," "as required," "as allowed," "as reviewed," "as approved," or terms of like effect or import are used, or the adjectives "reasonable," "suitable," "acceptable," "proper," or "satisfactory" or adjectives of like effect or import are used to describe a requirement, direction, review, or judgment of the ENGINEER as to the WORK, it is intended that such requirement, direction, review, or judgment will be solely to evaluate the WORK for compliance with the requirements of the Contract Documents, and conformance with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents, unless there is a specific statement indicating otherwise. The use of any such term or adjective shall not be effective to assign to the ENGINEER any duty or authority to supervise or direct the performance of the WORK or any duty or authority to undertake responsibility contrary to the provisions of Paragraph 9.9C or 9.9D.
- C. The ENGINEER will not supervise, direct, control, or have authority over or be responsible for the CONTRACTOR's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of the CONTRACTOR to comply with Laws and Regulations, applicable to the performance of the WORK. The ENGINEER will not be responsible for the CONTRACTOR's failure to perform the WORK in accordance with the Contract Documents.
- D. The ENGINEER will not be responsible for the acts or omissions of the CONTRACTOR nor of any Subcontractor, supplier, or any other person or organization performing any of the WORK.

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ARTICLE 10 CHANGES IN THE WORK

10.1 GENERAL

- A. Without invalidating the Agreement and without notice to any surety, the OWNER may at any time or from time to time, order additions, deletions, or revisions in the WORK; these will be authorized by a written Field Order and/or a Change Order issued by the ENGINEER.
- B. If the CONTRACTOR believes that it is entitled to an increase or decrease in the Contract Price, or an extension or shortening in the Contract Time as the result of a Field Order, a claim may be made as provided in Articles 11 and 12.
- C. If the OWNER and CONTRACTOR agree on the value of any work, or the amount of Contract Time that should be allowed as a result of a Field Order, upon receiving written notice from the ENGINEER, the CONTRACTOR shall proceed so as to minimize the impact on and delays to the work pending the issuance of a Change Order.
- D. If the OWNER and the CONTRACTOR are unable to agree as to the extent, if any, of an increase or decrease in the Contract Price or an extension or shortening of the Contract Time that should be allowed as a result of a Field Order, the ENGINEER can direct the CONTRACTOR to proceed on the basis of Time and Materials so as to minimize the impact on and delays to WORK, and a claim may be made therefor as provided in Articles 11 and 12.
- E. The CONTRACTOR shall not be entitled to an increase in the Contract Price nor an extension of the Contract Time with respect to any work performed that is not required by the Contract Documents as amended, modified, supplemented by Change Order, except in the case of an emergency and except in the case of uncovering work as provided in Paragraph 13.3G.
- F. The OWNER and the CONTRACTOR shall execute appropriate Change Orders covering:
 - 1. changes in the WORK which are ordered by the OWNER pursuant to Paragraph 10.1A;
 - 2. changes required because of acceptance of Defective WORK under Paragraph 13.7;
 - 3. changes in the Contract Price or Contract Time which are agreed to by the parties; or
 - 4. changes in the Contract Price or Contract Times which embody the substance of any written decision rendered by the ENGINEER pursuant to Paragraph 9.8.
- G. If notice of any change is required by the provisions of any Bond to be given to a surety, the giving of any such notice will be the CONTRACTOR's responsibility, and the amount of each applicable Bond shall be adjusted accordingly.

10.2 ALLOWABLE QUANTITY VARIATIONS

- A. In the event of an increase or decrease in Bid item quantity of a unit price contract, the total amount of WORK actually done or materials or equipment furnished shall be paid for according to the unit price established for such WORK under the Contract Documents, wherever such unit price has been established; provided, that an adjustment in the Contract Price may be made for changes which result in an increase or decrease in excess of 25% of

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the estimated quantity of any major item of the WORK. Major Item is defined as any bid item amount that is ten percent (10%) or more of the total contract amount.

- B. In the event a part of the WORK is to be entirely eliminated and no lump sum or unit price is named in the Contract Documents to cover such eliminated work, the price of the eliminated work shall be agreed upon in writing by the OWNER and the CONTRACTOR. If the OWNER and the CONTRACTOR fail to agree upon the price of the eliminated work, said price shall be determined in accordance with the provisions of Article 11.

ARTICLE 11 CHANGE OF CONTRACT PRICE

11.1 GENERAL

- A. The Contract Price constitutes the total compensation payable to the CONTRACTOR for performing the WORK. All duties, responsibilities, and obligations assigned to or undertaken by the CONTRACTOR to complete the WORK shall be at its expense without change in the Contract Price.
- B. The Contract Price may only be changed by a Change Order. Any claim for an increase in the Contract Price shall be based on written notice delivered by the CONTRACTOR to the ENGINEER promptly (but in no event later than 7 days) after the start of the occurrence or the event giving rise to the claim and stating the general nature of the claim. Notice of the amount of the claim with supporting data shall be delivered within 14 days after such occurrence (unless the ENGINEER allows an additional period of time to ascertain more accurate data in support of the claim) and shall be accompanied by the CONTRACTOR's written statement that the amount claimed covers all known amounts (direct, indirect, and consequential) to which the CONTRACTOR is entitled as a result of said occurrence or event. All claims for adjustment in the Contract Price shall be determined by the ENGINEER in accordance with Paragraph 9.8A if the OWNER and the CONTRACTOR cannot otherwise agree on the amount involved. No claim for an adjustment in the Contract Price will be valid if not submitted in accordance with this Paragraph 11.1B.
- C. The value of any WORK covered by a Change Order or of any claim for an increase or decrease in the Contract Price shall be determined in one of the following ways:
 - 1. Where the WORK involved is covered by unit prices contained in the Contract Documents, by application of unit prices to the quantities of the items involved.
 - 2. By mutual acceptance of a lump sum, which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.4.
 - 3. On the basis of the cost of WORK (determined as provided in Paragraphs 11.3) plus a CONTRACTOR's fee for overhead and profit (determined as provided in Paragraph 11.4).

- 11.2 COSTS RELATING TO WEATHER. The CONTRACTOR shall have no claims against the OWNER for damages for any injury to WORK, materials, or equipment, resulting from the action of the elements. If, however, in the opinion of the ENGINEER, the CONTRACTOR has made all reasonable efforts to protect the materials, equipment and work, the CONTRACTOR may be granted a reasonable extension of Contract Time to make proper repairs, renewals, and replacements of the work, materials, or equipment.

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11.3 COST OF WORK (BASED ON TIME AND MATERIALS)

- A. General. The term "cost of work" means the sum of all costs necessarily incurred and paid by the CONTRACTOR for labor, materials, and equipment in the proper performance of extra work. Except as otherwise may be agreed to in writing by the OWNER, such costs shall be in amounts no higher than those prevailing in the locality of the Project; shall include only the following items, and shall not include any of the costs itemized in Paragraph 11.5 EXCLUDED COSTS.
- B. Labor. The costs of labor will be the actual cost for wages prevailing for each craft or type of workers performing the extra work at the time the extra work is done, plus employer payments of payroll taxes, worker's compensation insurance, liability insurance, health and welfare, pension, vacation, apprenticeship funds, and other direct costs resulting from Federal, State or local laws, as well as assessments or benefits required by lawful collective bargaining agreements. Labor costs for equipment operators and helpers shall be paid only when such costs are not included in the invoice for equipment rental. The labor costs for forepersons shall be proportioned to all of their assigned work and only that applicable to extra work shall be paid. Non-direct labor costs including superintendence shall be considered part of the mark-up set out in paragraph 11.4.
- C. Materials. The cost of materials reported shall be at invoice or lowest current price at which materials are locally available and delivered to the job in the quantities involved, plus the cost of freight, delivery and storage, subject to the following:
1. Trade discounts available to the purchaser shall be credited to the OWNER notwithstanding the fact that such discounts may not have been taken by the CONTRACTOR.
 2. For materials secured by other than a direct purchase and direct billing to the purchaser, the cost shall be deemed to be the price paid to the actual supplier as determined by the ENGINEER. Mark-up except for actual costs incurred in the handling of such materials will not be allowed.
 3. Payment for materials from sources owned wholly or in part by the purchaser shall not exceed the price paid by the purchaser for similar materials from said sources on extra work items or the current wholesale price for such materials delivered to the work site, whichever price is lower.
 4. If in the opinion of the ENGINEER the cost of material is excessive, or the CONTRACTOR does not furnish satisfactory evidence of the cost of such material, then the cost shall be deemed to be the lowest current wholesale price for the quantity concerned delivered to the work site less trade discount. The OWNER reserves the right to furnish materials for the extra work and no claim shall be allowed by the CONTRACTOR for costs and profit on such materials.
- D. Equipment. The CONTRACTOR will be paid for the use of equipment at the rental rate listed for such equipment specified in the Supplementary General Conditions. Such rental rate will be used to compute payments for equipment whether the equipment is under the CONTRACTOR's control through direct ownership, leasing, renting, or another method of acquisition. The rental rate to be applied for use of each item of equipment shall be the rate resulting in the least total cost to the OWNER for the total period of use. If it is deemed necessary by the CONTRACTOR to use equipment not listed in the publication specified in the Supplementary General Conditions, an equitable rental rate for the equipment will be

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established by the ENGINEER. The CONTRACTOR may furnish cost data which might assist the ENGINEER in the establishment of the rental rate.

1. All equipment shall, in the opinion of the ENGINEER, be in good working condition and suitable for the purpose for which the equipment is to be used.
 2. Before construction equipment is used on the extra work, the CONTRACTOR shall plainly stencil or stamp an identifying number thereon at a conspicuous location, and shall furnish to the ENGINEER, in duplicate, a description of the equipment and its identifying number.
 3. Unless otherwise specified, manufacturer's ratings and manufacturer approved modifications shall be used to classify equipment for the determination of applicable rental rates. Equipment which has no direct power unit shall be powered by a unit of at least the minimum rating recommended by the manufacturer.
 4. Individual pieces of equipment or tools having a replacement value of \$200 or less, whether or not consumed by use, shall be considered to be small tools and no payment will be made therefor.
 5. Rental time will not be allowed while equipment is inoperative due to breakdowns.
 6. Equipment Rental Rates. Unless otherwise agreed in writing, the CONTRACTOR will be paid for the use of equipment at the rental rate listed for such equipment specified in the current edition of the following reference publication: "Rental Rate Blue Book" as published by Dataquest (a company of the Dunn and Bradstreet Corporation), 1290 Ridder Park Drive, San Jose, CA 95131, telephone number (800) 227-8444.
- E. Equipment on the Work Site. The rental time to be paid for equipment on the work site shall be the time the equipment is in productive operation on the extra work being performed and, in addition, shall include the time required to move the equipment to the location of the extra work and return it to the original location or to another location requiring no more time than that required to return it to its original location; except, that moving time will not be paid if the equipment is used on other than the extra work, even though located at the site of the extra work. Loading and transporting costs will be allowed, in lieu of moving time, when the equipment is moved by means other than its own power, except that no payment will be made for loading and transporting costs when the equipment is used at the site of the extra work on other than the extra work. The following shall be used in computing the rental time of equipment on the work site.
1. When hourly rates are listed, any part of an hour less than 30 minutes of operation shall be considered to be 1/2-hour of operation, and any part of an hour in excess of 30 minutes will be considered one hour of operation.
 2. When daily rates are listed, any part of a day less than 4 hours operation shall be considered to be 1/2-day of operation. When owner-operated equipment is used to perform extra work to be paid for on a time and materials basis, the CONTRACTOR will be paid for the equipment and operator, as set forth in Paragraphs (3), (4), and (5), following.
 3. Payment for the equipment will be made in accordance with the provisions in Paragraph 11.3D, herein.
 4. Payment for the cost of labor and subsistence or travel allowance will be made at the rates paid by the CONTRACTOR to other workers operating similar equipment already on the work site, or in the absence of such labor, established by collective bargaining agreements for the type of worker and location of the extra work, whether or not the operator is actually covered by such an agreement. A labor surcharge will be added to

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the cost of labor described herein in accordance with the provisions of Paragraph 11.3B, herein, which surcharge shall constitute full compensation for payments imposed by state and federal laws and all other payments made to or on behalf of workers other than actual wages.

5. To the direct cost of equipment rental and labor, computed as provided herein, will be added the allowances for equipment rental and labor as provided in Paragraph 11.4, herein.

F. Specialty Work. Specialty work is defined as that work characterized by extraordinary complexity, sophistication, or innovation or a combination of the foregoing attributes which are unique to the construction industry. The following shall apply in making estimates for payment for specialty work:

1. Any bid item of WORK to be classified as Specialty Work shall be listed as such in the Supplementary General Conditions. Specialty work shall be performed by an entity especially skilled in the work to be performed. After validation of invoices and determination of market values by the ENGINEER, invoices for specialty work based upon the current fair market value thereof may be accepted without complete itemization of labor, material, and equipment rental costs.
2. When the CONTRACTOR is required to perform work necessitating special fabrication or machining process in a fabrication or a machine shop facility away from the job site, the charges for that portion of the work performed at the off-site facility may, by agreement, be accepted as specialty work and accordingly, the invoices for the work may be accepted without detailed itemization.
3. All invoices for specialty work will be adjusted by deducting all trade discounts offered or available, whether the discounts were taken or not. In lieu of the allowances for overhead and profit specified in Paragraph 11.4, herein, an allowance of 5 percent will be added to invoices for specialty work.

G. Sureties. All work performed hereunder shall be subject to all of the provisions of the Contract Documents and the CONTRACTOR's sureties shall be bound with reference thereto as under the original Agreement. Copies of all amendments to surety bonds or supplemental surety bonds shall be submitted to the OWNER for review prior to the performance of any work hereunder.

11.4 CONTRACTOR'S FEE

A. Extra work ordered on the basis of time and materials will be paid for at the actual necessary cost as determined by the ENGINEER, plus allowances for overhead and profit. The allowance for overhead and profit shall include full compensation for superintendence, bond and insurance premiums, taxes, field office expense, extended overhead, home office overhead, and all other items of expense or cost not included in the cost of labor, materials, or equipment provided for under Paragraph 11.3. The allowance for overhead and profit will be made in accordance with the following schedule:

Actual Overhead and Profit Allowance	
Labor.....	15 percent
Materials	10 percent
Equipment.....	10 percent

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To the sum of the costs and mark-ups provided for in this Article, one percent shall be added as compensation for bonding.

- B. It is understood that labor, materials, and equipment may be furnished by the CONTRACTOR or by the Subcontractor on behalf of the CONTRACTOR. When all or any part of the extra work is performed by a Subcontractor, the allowance specified herein shall be applied to the labor, materials, and equipment costs of the Subcontractor, to which the CONTRACTOR may add 5 percent of the Subcontractor's total cost for the extra work. Regardless of the number of hierarchical tiers of Subcontractors, the 5 percent increase above the Subcontractor's total cost which includes the allowances for overhead and profit specified herein may be applied one time only.

11.5 EXCLUDED COSTS. The term "Cost of the Work" shall not include any of the following:

- A. Payroll costs and other compensation of CONTRACTOR's officers, executives, principals (of partnership and sole proprietorships), general managers, engineers, estimators, attorneys' auditors, accountants, purchasing and contracting agents, expenditures, timekeepers, clerks and other personnel employed by CONTRACTOR whether at the site or in CONTRACTOR's principal or a branch office for general administration of the work, or not specifically covered by paragraph 11.3, all of which are to be considered administrative costs covered by the CONTRACTOR's fee.
- B. Expenses of CONTRACTOR's principal and branch offices other than CONTRACTOR's office at the site.
- C. Any part of CONTRACTOR's capital expenses, including interest on CONTRACTOR's capital employed for the WORK and charges against CONTRACTOR for delinquent payments.
- D. Cost of premiums for all bonds and for all insurance whether or not CONTRACTOR is required by the Contract Documents to purchase and maintain the same (except for the cost of premiums covered by paragraph 11.4 above).
- E. Costs due to the negligence of CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of Defective WORK, disposal of materials or equipment wrongly supplied and making good any damage to property.
- F. Other overhead or general expense costs of any kind and the cost of any item not specifically and expressly included in paragraph 11.4.

ARTICLE 12 CHANGE OF CONTRACT TIME

12.1 GENERAL

- A. The Contract Time may only be changed by a Change Order. Any claim for an extension of the Contract Time (or Milestones) shall be based on written notice delivered by the CONTRACTOR to the ENGINEER promptly (but in no event later than 30 days) after the occurrence of the event giving rise to the claim and stating the general nature of the claim. Notice of the extent of the claim with supporting data shall be delivered within 60 days after such occurrence (unless the ENGINEER allows an additional period of time to ascertain more

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accurate data in support of the claim) and shall be accompanied by the CONTRACTOR's written statement that the adjustment claimed is the entire adjustment to which the CONTRACTOR has reason to believe it is entitled as a result of the occurrence of said event. All claims for adjustment in the Contract Time shall be determined by the ENGINEER in accordance with Paragraph 9.8 if the OWNER and the CONTRACTOR cannot otherwise agree. No claim for an adjustment in the Contract Time will be valid if not submitted in accordance with the requirements of this Paragraph 12.1A. An increase in Contract Time does not mean that the Contractor is due an increase in Contract Price. Only compensable time extensions will result in an increase in Contract Price.

- B. All time limits stated in the Contract Documents are of the essence of the Agreement.
- C. Where CONTRACTOR is prevented from completing any part of the WORK within the Contract Times (or Milestones) due to delay beyond the control of CONTRACTOR, the Contract Times (or Milestones) will be extended in an amount equal to the time lost on the critical path of the project due to such delay if a claim is made therefor as provided in paragraph 12.1. Delays beyond the control of CONTRACTOR shall include, but not be limited to, acts or neglect by OWNER, acts or neglect of utility owners or other contractors performing other work as contemplated by Article 7, fires, floods, epidemics, unprecedented weather conditions or acts of God. Delays attributable to and within the control of a Subcontractor or Supplier shall be deemed to be delays within the control of CONTRACTOR.
- D. Where CONTRACTOR is prevented from completing any part of the WORK within the Contract Times (or Milestones) due to delay beyond the control of both OWNER and CONTRACTOR, an extension of the Contract Times (or Milestones) in an amount equal to the time lost on the critical path of the project due to such delay shall be CONTRACTOR's sole and exclusive remedy for such delay. In no event shall the OWNER be liable to CONTRACTOR, any Subcontractor, any Supplier, or any other person or organization, or to any surety for or employee or agent of any of them, for damages arising out of or resulting from (i) delays caused by or within the control of CONTRACTOR, or (ii) delays beyond the control of both parties including but not limited to fires, floods, epidemics abnormal weather conditions, acts of God or acts or neglect by utility owners or other contractors performing other work as contemplated by Article 7.

- 12.2 EXTENSIONS OF TIME FOR DELAY DUE TO WEATHER. Contract Time may be extended by the ENGINEER because of delays in completion of the WORK due to unusually severe weather, provided that the CONTRACTOR shall, within 10 days of the beginning of any such delay, notify the ENGINEER in writing of the cause of delay and request an extension of Contract Time. The ENGINEER will ascertain the facts and the extent of the delay and extend the time for completing the work when, in the ENGINEER's judgment, the findings of fact justify such an extension. Unprecedented, abnormal, or unusually severe weather will be defined as an event, or events, with a greater than 50-year recurrence interval, as determined by the National Weather Service, or equivalent State or Federal agency

ARTICLE 13 WARRANTY AND GUARANTEE; TESTS AND INSPECTIONS; CORRECTION, REMOVAL, OR ACCEPTANCE OF DEFECTIVE WORK

- 13.1 WARRANTY AND GUARANTEE. The CONTRACTOR warrants and guarantees to the OWNER and the ENGINEER that all work will be in accordance with the Contract Documents and will not be

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defective. Prompt notice of defects known to the OWNER or ENGINEER shall be given to the CONTRACTOR. All defective work, whether or not in place, may be rejected, corrected, or accepted as provided in this Article 13.

13.2 ACCESS TO WORK. OWNER, ENGINEER, their Consultants, sub-consultants, other representatives and personnel of OWNER, independent testing laboratories and governmental agencies with jurisdictional interests will have access to the WORK at reasonable times for their observation, inspecting and testing. CONTRACTOR shall provide them proper and safe conditions for such access and advise them of CONTRACTOR's site safety procedures and programs so that they may comply therewith as applicable.

13.3 TESTS AND INSPECTIONS

- A. The CONTRACTOR shall give the ENGINEER timely notice of readiness of the WORK for all required inspections, tests, or approvals, and shall cooperate with inspection and testing personnel to facilitate required inspections or tests.
- B. If Laws or Regulations of any public body having jurisdiction other than the OWNER require any WORK to specifically be inspected, tested, or approved, the CONTRACTOR shall pay all costs in connection therewith. The CONTRACTOR shall also be responsible for and shall pay all costs in connection with any inspection or testing required in connection with the OWNER's or the ENGINEER's acceptance of a Supplier of materials or equipment proposed as a substitution or (or-equal) to be incorporated in the WORK, or of materials or equipment submitted for review prior to the CONTRACTOR's purchase thereof for incorporation in the WORK. The cost of all inspections, tests, and approvals in addition to the above which are required by the Contract Documents shall be paid by the OWNER (unless otherwise specified).
- C. The ENGINEER will make, or have made, such inspections and tests as the ENGINEER deems necessary to see that the WORK is being accomplished in accordance with the requirements of the Contract Documents. Unless otherwise specified in the Supplementary General Conditions, the cost of such inspection and testing will be borne by the OWNER. In the event such inspections or tests reveal non-compliance with the requirements of the Contract Documents, the CONTRACTOR shall bear the cost of corrective measures deemed necessary by the ENGINEER, as well as the cost of subsequent reinspection and retesting. Neither observations by the ENGINEER nor inspections, tests, or approvals by others shall relieve the CONTRACTOR from the CONTRACTOR's obligation to perform the WORK in accordance with the Contract Documents.
- D. All inspections, tests, or approvals other than those required by Laws or Regulations of any public body having jurisdiction shall be performed by organizations acceptable to the ENGINEER and the CONTRACTOR.
- E. If any WORK (including the work of others) that is to be inspected, tested, or approved is covered without written concurrence of the ENGINEER, it must, if requested by the ENGINEER, be uncovered for observation. Such uncovering shall be at the CONTRACTOR's expense unless the CONTRACTOR has given the ENGINEER timely notice of the CONTRACTOR's intention to perform such test or to cover the same and the ENGINEER has not acted with reasonable promptness in response to such notice.

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- F. If any WORK is covered contrary to the written request of the ENGINEER, it must, if requested by the ENGINEER, be uncovered for the ENGINEER's observation and recovered at the CONTRACTOR's expense.
- G. If the ENGINEER considers it necessary or advisable that covered WORK be observed by the ENGINEER or inspected or tested by others, the CONTRACTOR, at the ENGINEER's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as the ENGINEER may require, that portion of the WORK in question, furnishing all necessary labor, material, and equipment. If it is found that such WORK is defective, the CONTRACTOR shall bear all direct, indirect, and consequential costs and damages of such uncovering, exposure, observation, inspection, and testing and of satisfactory reconstruction, including but not limited to fees and charges of engineers, attorneys, and other professionals. However, if such WORK is not found to be defective, the CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, directly attributable to such uncovering, exposure, observation, inspection, testing, and reconstruction; and, if the parties are unable to agree as to the amount or extent thereof, the CONTRACTOR may make a claim therefor as provided in Articles 11 and 12.
- 13.4 OWNER MAY STOP THE WORK. If the WORK is defective, or the CONTRACTOR fails to perform work in such a way that the completed WORK will conform to the Contract Documents, the OWNER may order the CONTRACTOR to stop the WORK, or any portion thereof, until the cause for such order has been eliminated; however, this right of the OWNER to stop the WORK shall not give rise to any duty on the part of the OWNER to exercise this right for the benefit of the CONTRACTOR or any other party.
- 13.5 CORRECTION OR REMOVAL OF DEFECTIVE WORK. If required by the ENGINEER, the CONTRACTOR shall promptly, either correct all defective work, whether or not fabricated, installed, or completed, or, if the WORK has been rejected by the ENGINEER, remove it from the site and replace it with non-defective work. The CONTRACTOR shall bear all direct, indirect and consequential costs and damages of such correction or removal, including but not limited to fees and charges of engineers, attorneys, and other professionals made necessary thereby.
- 13.6 ONE YEAR CORRECTION PERIOD
- A. If within one year after the date of Substantial Completion or such longer period of time as may be prescribed by Laws or Regulations or by the terms of any applicable special guarantee required by the Contract Documents or by any specific provision of the Contract Documents, any work is found to be defective, the CONTRACTOR shall promptly, without cost to the OWNER and in accordance with OWNER's written notification, (i) correct such Defective WORK, or, if it has been rejected by the OWNER, remove it from the site and replace it with non-defective work, and (ii) satisfactorily correct or remove and replace any damage to other work of others resulting therefrom. If the CONTRACTOR does not promptly comply with such notification, or in an emergency where delay would cause serious risk of loss or damage, the OWNER may have the Defective WORK corrected or the rejected WORK removed and replaced, and all direct, indirect, and consequential costs and damages of such removal and replacement including but not limited to fees and charges of engineers, attorneys and other professionals will be paid by the CONTRACTOR.
- B. Where Defective WORK (and damage to other WORK resulting therefrom) has been corrected, removed or replaced under this paragraph 13.6, the correction period hereunder

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with respect to such WORK will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

- 13.7 ACCEPTANCE OF DEFECTIVE WORK. If, instead of requiring correction or removal and replacement of defective work, the OWNER prefers to accept the WORK, the OWNER may do so. The CONTRACTOR shall bear all direct, indirect, and consequential costs attributable to the OWNER's evaluation of and determination to accept such defective work. If any such acceptance occurs prior to final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the WORK, and the OWNER shall be entitled to an appropriate decrease in the Contract Price.

ARTICLE 14 PAYMENTS TO CONTRACTOR AND COMPLETION

- 14.1 SCHEDULE OF VALUES (LUMP SUM PRICE BREAKDOWN). The schedule of values or lump sum price breakdown established as provided in the General Requirements shall serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to the ENGINEER.

- 14.2 UNIT PRICE BID SCHEDULE. Progress payments on account of Unit Price work will be based on the number of units completed.

14.3 APPLICATION FOR PROGRESS PAYMENT

- A. Unless otherwise prescribed by law, on the 25th of each month, the CONTRACTOR shall submit to the ENGINEER for review, an Application for Payment filled out and signed by the CONTRACTOR covering the WORK completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents.
- B. The Application for Payment shall identify, as a sub-total, the amount of the CONTRACTOR'S Total Earnings to Date, plus the Value of Materials Stored at the Site which have not yet been incorporated in the WORK, and less a deductive adjustment for materials installed which were not previously incorporated in the WORK, but for which payment was allowed under the provisions for payment for Materials Stored at the Site, but not yet incorporated in the WORK.
- C. The Net Payment Due the CONTRACTOR shall be the above-mentioned subtotal from which shall be deducted the total amount of all previous payments made to the CONTRACTOR. Progress payments will be paid in full in accordance with Article 14 of the General Conditions until 90% of the Contract Price has been paid. The remaining 10% of the Contract Price amount may be withheld until:
1. final inspection has been made;
 2. completion of the Project; and
 3. acceptance of the Project by the OWNER.
- D. The Value of Materials Stored at the Site shall be an amount equal to the specified percent of the value of such materials as set forth in the Supplementary General Conditions. Said amount shall be based upon the value of all acceptable materials and equipment not incorporated in the WORK but delivered and suitably stored at the site or at another location agreed to in writing; provided, each such individual item has a value of more than \$5,000.00

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and will become a permanent part of the WORK. The Application for Payment shall also be accompanied by an invoice (including shipping), a certification that the materials meet the applicable contract specifications, and any evidence required by the OWNER that the materials and equipment are covered by appropriate property insurance and other arrangements to protect the OWNER's interest therein, all of which will be satisfactory to the OWNER. Payment for materials will not constitute final acceptance. It shall be the CONTRACTOR's responsibility to protect the material from damage, theft, loss, or peril while in storage. Unless otherwise prescribed by law, the Value of Materials Stored at the Site shall be paid at the invoice amount up to a maximum of 85% of the Contract Price for those items.

14.4 CONTRACTOR'S WARRANTY OF TITLE. The CONTRACTOR warrants and guarantees that title to all work, materials, and equipment covered by an Application for Payment, whether incorporated in the WORK or not, will pass to the OWNER no later than the time of payment free and clear of all liens.

14.5 REVIEW OF APPLICATIONS FOR PROGRESS PAYMENT

- A. The ENGINEER will, within 7 days after receipt of each Application for Payment, either indicate in writing a recommendation of payment and present the Application to the OWNER, or return the Application to the CONTRACTOR indicating in writing the ENGINEER's reasons for refusing to recommend payment. In the later case, the CONTRACTOR may make the necessary corrections and resubmit the Application. If the ENGINEER still disagrees with a portion of the Application, it will submit the Application recommending the undisputed portion of the Application to the OWNER for payment and provide reasons for recommending non-payment of the disputed amount. Thirty days after presentation of the Application for Payment with the ENGINEER's recommendation, the amount recommended will (subject to the provisions of Paragraph 14.5B) become due and when due will be paid by the OWNER to the CONTRACTOR.
- B. The OWNER may refuse to make payment of the full amount recommended by the ENGINEER because claims have been made against the OWNER on account of the CONTRACTOR's performance of the WORK or Liens have been filed in connection with the WORK or there are other items entitling the OWNER to a credit against the amount recommended, but the OWNER must give the CONTRACTOR written notice within 7 days (with a copy to the ENGINEER) stating the reasons for such action.

14.6 PARTIAL UTILIZATION

- A. The OWNER shall have the right to utilize or place into service any item of equipment or other usable portion of the WORK prior to completion of the WORK. Whenever the OWNER plans to exercise said right, the CONTRACTOR will be notified in writing by the OWNER, identifying the specific portion or portions of the WORK to be so utilized or otherwise placed into service.
- B. It shall be understood by the CONTRACTOR that until such written notification is issued, all responsibility for care and maintenance of all of the WORK shall be borne by the CONTRACTOR. Upon issuance of said written notice of partial utilization, the OWNER will accept responsibility for the protection and maintenance of all such items or portions of the WORK described in the written notice.

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- C. The CONTRACTOR shall retain full responsibility for satisfactory completion of the WORK, regardless of whether a portion thereof has been partially utilized by the OWNER and the CONTRACTOR's one year correction period shall commence only after the date of Substantial Completion for the WORK.
- 14.7 SUBSTANTIAL COMPLETION. When the CONTRACTOR considers the WORK ready for its intended use the CONTRACTOR shall notify the OWNER and the ENGINEER in writing that the WORK is substantially complete. The CONTRACTOR will attach to this request a list of all work items that remain to be completed and a request that the ENGINEER prepare a Notice of Completion. Within a reasonable time thereafter, the OWNER, the CONTRACTOR, and the ENGINEER shall make an inspection of the WORK to determine the status of completion. If the ENGINEER does not consider the WORK substantially complete, or the list of remaining work items to be comprehensive, the ENGINEER will notify the CONTRACTOR in writing giving the reasons therefor. If the ENGINEER considers the WORK substantially complete, the ENGINEER will prepare and deliver to the OWNER, for its execution and recording, the Notice of Completion signed by the ENGINEER and CONTRACTOR, which shall fix the date of Substantial Completion.
- 14.8 FINAL APPLICATION FOR PAYMENT. After the CONTRACTOR has completed all of the remaining work items referred to in Paragraph 14.7 and delivered all maintenance and operating instructions, schedules, guarantees, Bonds, certificates of inspection, record as-built documents (as provided in the General Requirements) and other documents, all as required by the Contract Documents, and after the ENGINEER has indicated that the WORK is acceptable, the CONTRACTOR may make application for final payment following the procedure for progress payments. The final Application for Payment shall be accompanied by all documentation called for in the Contract Documents, together with complete and legally effective releases or waivers (satisfactory to the OWNER) of all liens arising out of or filed in connection with the WORK.
- 14.9 FINAL PAYMENT AND ACCEPTANCE
- A. If, on the basis of the ENGINEER's observation of the WORK during construction and final inspection, and the ENGINEER's review of the final Application for Payment and accompanying documentation, all as required by the Contract Documents, the ENGINEER is satisfied that the WORK has been completed and the CONTRACTOR's other obligations under the Contract Documents have been fulfilled, the ENGINEER will, within 14 days after receipt of the final Application for Payment, indicate in writing the ENGINEER's recommendation of payment and present the Application to the OWNER for payment.
- B. After acceptance of the WORK by the OWNER's governing body, the OWNER will make final payment to the CONTRACTOR of the amount remaining after deducting all prior payments and all amounts to be kept or retained under the provisions of the Contract Documents, including the following items:
1. Liquidated damages, as applicable.
 2. Two times the value of outstanding items of correction work or punch list items yet uncompleted or uncorrected, as applicable. All such work shall be completed or corrected to the satisfaction of the OWNER within the time stated on the Notice of Completion, otherwise the CONTRACTOR does hereby waive any and all claims to all monies withheld by the OWNER to cover the value of all such uncompleted or uncorrected items.

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14.10 RELEASE OF RETAINAGE AND OTHER DEDUCTIONS

- A. After executing the necessary documents to initiate the lien period, and not more than 45 days thereafter (based on a 30-day lien filing period and 15-day processing time), the OWNER will release to the CONTRACTOR the retainage funds withheld pursuant to the Agreement, less any deductions to cover pending claims against the OWNER pursuant to Paragraph 14.5B.
- B. After filing of the necessary documents to initiate the lien period, the CONTRACTOR shall have 30 days to complete any outstanding items of correction work remaining to be completed or corrected as listed on a final punch list made a part of the Notice of Completion. Upon expiration of the 45 days, referred to in Paragraph 14.10A, the amounts withheld pursuant to the provisions of Paragraph 14.9B herein, for all remaining work items will be returned to the CONTRACTOR; provided, that said work has been completed or corrected to the satisfaction of the OWNER within said 30 days. Otherwise, the CONTRACTOR does hereby waive any and all claims for all monies withheld by the OWNER under the Contract to cover 2 times the value of such remaining uncompleted or uncorrected items.

14.11 CONTRACTOR'S CONTINUING OBLIGATION. The CONTRACTOR's obligation to perform and complete the WORK in accordance with the Contract Documents shall be absolute. Neither recommendation of any progress or final payment by the ENGINEER, nor the issuance of a Notice of Completion, nor any payment by the OWNER to the CONTRACTOR under the Contract Documents, nor any use or occupancy of the WORK or any part thereof by the OWNER, nor any act of acceptance by the OWNER nor any failure to do so, nor any review of a Shop Drawing or sample submittal, will constitute an acceptance of work not in accordance with the Contract Documents or a release of the CONTRACTOR's obligation to perform the WORK in accordance with the Contract Documents.

14.12 FINAL PAYMENT TERMINATES LIABILITY OF OWNER. Final payment is defined as the last progress payment made to the CONTRACTOR for earned funds, less monies withheld as applicable, pursuant to Paragraph 14.10A. The acceptance by the CONTRACTOR of the final payment referred to in Paragraph 14.9 herein, shall be a release of the OWNER and its agents from all claims of liability to the CONTRACTOR for anything done or furnished for, or relating to, the WORK or for any act of neglect of the OWNER or of any person relating to or affecting the WORK, except demands against the OWNER for the remainder, if any, of the amounts kept or retained under the provisions of Paragraph 14.9 herein; and excepting pending, unresolved claims filed prior to the date of the Notice of Completion.

ARTICLE 15 SUSPENSION OF WORK AND TERMINATION

15.1 SUSPENSION OF WORK BY OWNER. The OWNER, acting through the ENGINEER, may, at any time and without cause, suspend the WORK or any portion thereof for a period of not more than 90 days by notice in writing to the CONTRACTOR. The CONTRACTOR shall resume the WORK on receipt from the ENGINEER of a notice of resumption of work. The CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, directly attributable to any suspension if the CONTRACTOR makes an approved claim therefor as provided in Articles 11 and 12.

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15.2 TERMINATION OF AGREEMENT BY OWNER (CONTRACTOR DEFAULT)

- A. In the event of default by the CONTRACTOR, the OWNER may give 10 days written notice to the CONTRACTOR of OWNER's intent to terminate the Agreement and provide the CONTRACTOR an opportunity to remedy the conditions constituting the default. It shall be considered a default by the CONTRACTOR whenever CONTRACTOR shall: (1) declare bankruptcy, become insolvent, or assign its assets for the benefit of its creditors; (2) fail to provide materials or quality of work meeting the requirements of the Contract Documents; (3) disregard or violate provisions of the Contract Documents or ENGINEER's instructions; (4) fail to prosecute the WORK according to the approved progress schedule; or, (5) fail to provide a qualified superintendent, competent workers, or materials or equipment meeting the requirements of the Contract Documents. If the CONTRACTOR fails to remedy the conditions constituting default within the time allowed, the OWNER may then issue the Notice of Termination.
- B. In the event the Agreement is terminated in accordance with Paragraph 15.2A, herein, the OWNER may take possession of the WORK and may complete the WORK by whatever method or means the OWNER may select. The cost of completing the WORK shall be deducted from the balance which would have been due the CONTRACTOR had the Agreement not been terminated and the WORK completed in accordance with the Contract Documents. If such cost exceeds the balance which would have been due, the CONTRACTOR shall pay the excess amount to the OWNER. If such cost is less than the balance which would have been due, the CONTRACTOR shall not have claim to the difference.

15.3 TERMINATION OF AGREEMENT BY OWNER (FOR CONVENIENCE). The OWNER may terminate the Agreement at any time if it is found that reasons beyond the control of either the OWNER or CONTRACTOR make it impossible or against the OWNER's interests to complete the WORK. In such a case, the CONTRACTOR shall have no claims against the OWNER except: (1) for the value of work performed up to the date the Agreement is terminated; and, (2) for the cost of materials and equipment on hand, in transit, or on definite commitment, as of the date the Agreement is terminated which would be needed in the WORK and which meet the requirements of the Contract Documents. The value of work performed and the cost of materials and equipment delivered to the site, as mentioned above, shall be determined by the ENGINEER in accordance with the procedure prescribed for the making of the final application for payment and payment under Paragraphs 14.8 and 14.9.

15.4 TERMINATION OF AGREEMENT BY CONTRACTOR. The CONTRACTOR may terminate the Agreement upon 10 days written notice to the OWNER, whenever: 1) the WORK has been suspended under the provisions of Paragraph 15.1, herein, for more than 90 consecutive days through no fault or negligence of the CONTRACTOR, and notice to resume work or to terminate the Agreement has not been received from the OWNER within this time period; or, 2) the OWNER should fail to pay the CONTRACTOR any monies due him in accordance with the terms of the Contract Documents and within 60 days after presentation to the OWNER by the CONTRACTOR of a request therefor, unless within said 10-day period the OWNER shall have remedied the condition upon which the payment delay was based. In the event of such termination, the CONTRACTOR shall have no claims against the OWNER except for those claims specifically enumerated in Paragraph 15.3, herein, and as determined in accordance with the requirements of said paragraph.

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ARTICLE 16 MISCELLANEOUS

- 16.1 GIVING NOTICE. Whenever any provision of the Contract Documents requires the giving of written notice, it will be deemed to have been validly given if delivered in person to the individual or to a member of the firm or to an officer of the corporation for whom it is intended, or if delivered at or sent by registered or certified mail, postage prepaid, to the last business address known to the giver of the notice.
- 16.2 RIGHTS IN AND USE OF MATERIALS FOUND ON THE WORK
- A. The CONTRACTOR may use on the Project, with ENGINEER's approval, such stone, gravel, sand, or other material determined suitable by the ENGINEER, as may be found in the excavation. The CONTRACTOR will be paid for the excavation of such material at the corresponding contract unit price. No additional payment will be made for utilizing the material from excavation as borrow, or select borrow.
 - B. The CONTRACTOR shall replace, at its own expense, with other acceptable material, all of that portion of the excavated material so removed and used which was needed for use on the project. No charge for the materials so used will be made against the CONTRACTOR except that the CONTRACTOR shall be responsible for payment of any royalties required.
 - C. The CONTRACTOR shall not excavate or remove any material from within the Project location which is not within the grading limits, as indicated by the slope and grade lines, without written authorization from the ENGINEER.
 - D. In the event the CONTRACTOR has processed materials from OWNER-furnished sources in excess of the quantities required for performance of this contract, including any waste material produced as a by-product, the CBJ may retain possession of such materials without obligation to reimburse the CONTRACTOR for the cost of their production. When such materials are in a stockpile, the ENGINEER may require: That it remain in stockpile; the CONTRACTOR level such stockpile(s); or that the CONTRACTOR remove such materials and restore the premises to a satisfactory condition at the CONTRACTOR's expense. This provision shall not preclude the CBJ from arranging with the CONTRACTOR to produce material over and above the contract needs, payment for which shall be by written agreement between the CBJ and the CONTRACTOR.
 - E. Unless otherwise provided, the material from any existing old structure may be used temporarily by the CONTRACTOR in the erection of the new structure. Such material shall not be cut or otherwise damaged except with the approval of the ENGINEER.
- 16.3 RIGHT TO AUDIT. If the CONTRACTOR submits a claim to the OWNER for additional compensation, the OWNER shall have the right, as a condition to considering the claim, and as a basis for evaluation of the claim, and until the claim has been settled, to audit the CONTRACTOR's books to the extent they are relevant. This right shall include the right to examine books, records, documents, and other evidence and accounting procedures and practices, sufficient to discover and verify all direct and indirect costs of whatever nature claimed to have been incurred or anticipated to be incurred and for which the claim has been submitted. The right to audit shall include the right to inspect the CONTRACTOR's plants, or such parts thereof, as may be or have been engaged in the performance of the WORK. The CONTRACTOR further agrees that the right to audit encompasses all subcontracts and is binding upon Subcontractors. The rights to examine and inspect herein provided for shall be

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exercisable through such representatives as the OWNER deems desirable during the CONTRACTOR's normal business hours at the office of the CONTRACTOR. The CONTRACTOR shall make available to the OWNER for auditing, all relevant accounting records and documents, and other financial data, and upon request, shall submit true copies of requested records to the OWNER.

- 16.4 ARCHEOLOGICAL OR HISTORICAL DISCOVERIES. When the CONTRACTOR's operation encounters prehistoric artifacts, burials, remains of dwelling sites, paleontological remains, such as shell heaps, land or sea mammal bones or tusks, or other items of historical significance, the CONTRACTOR shall cease operations immediately and notify the ENGINEER. No artifacts or specimens shall be further disturbed or removed from the ground and no further operations shall be performed at the site until so directed. Should the ENGINEER order suspension of the CONTRACTOR's operations in order to protect an archaeological or historical finding, or order the CONTRACTOR to perform extra work, such order(s) shall be covered by an appropriate contract change document.
- 16.5 CONSTRUCTION OVER OR ADJACENT TO NAVIGABLE WATERS. All work over, on, or adjacent to navigable waters shall be so conducted that free navigation of the waterways will not be interfered with and the existing navigable depths will not be impaired, except as allowed by permit issued the U.S. Coast Guard and/or the U.S. Army Corps of Engineers, as applicable.
- 16.6 GRATUITY AND CONFLICT OF INTEREST. The CONTRACTOR agrees to not extend any loan, gratuity or gift of money of any form whatsoever to any employee or elected official of the OWNER, nor will the CONTRACTOR rent or purchase any equipment or materials from any employee or elected official of the OWNER, or to the best of the CONTRACTOR's knowledge, from any agent of any employee or elected official of the OWNER. Before final payment, the CONTRACTOR shall execute and furnish the OWNER an affidavit certifying that the CONTRACTOR has complied with the above provisions of the contract.
- 16.7 SUITS OF LAW CONCERNING THE WORK
- A. Should a suit of law be entered into, either by the CONTRACTOR (or the CONTRACTOR's surety) against the OWNER, or by the OWNER against the CONTRACTOR (or the CONTRACTOR's surety), the suit of law shall be tried in the First Judicial District of Alaska.
 - B. If one of the questions at issue is the satisfactory performance of the work by the CONTRACTOR and should the appropriate court of law judge the work of the CONTRACTOR to be unsatisfactory, then the CONTRACTOR (or the CONTRACTOR's surety) shall reimburse the OWNER for all legal and all other expenses (as may be allowed and set by the court) incurred by the OWNER because of the suit of the law and, further, it is agreed that the OWNER may deduct such expense from any sum or sums then, or any that become due the CONTRACTOR under the contract.
- 16.8 CERTIFIED PAYROLLS
- A. All CONTRACTORs or Subcontractor who perform work on a public construction contract for the OWNER shall file a certified payroll with the Alaska Department of Labor before Friday of each week that covers the preceding week (Section 14-2-4 ACLA 1949; am Section 4 ch 142 SLA 1972).

SECTION 00700 - GENERAL CONDITIONS

- B. In lieu of submitting the State payroll form, the CONTRACTOR's standard payroll form may be submitted, provided it contains the information required by AS 36.05.040 and a statement that the CONTRACTOR is complying with AS 36.10.010.
- C. A contractor or subcontractor, who performs work on public construction in the State, as defined by AS 36.95.010(3), shall pay not less than the current prevailing rate of wages as issued by the Alaska Department of Labor before the end of the pay period. (AS 36.05.010).

16.9 PREVAILING WAGE RATES

- A. Wage rates for Laborers and Mechanics on Public Contracts, AS 36.05.070. The CONTRACTOR, or Subcontractors, shall pay all employees unconditionally and not less than once a week. Wages may not be less than those stated in Paragraph 16.8C, regardless of the contractual relationship between the CONTRACTOR or Subcontractors and laborers, mechanics, or field surveyors. The scale of wages to be paid shall be posted by the CONTRACTOR in a prominent, easily accessible place at the site of the WORK.
- B. Failure to Pay Agreed Wages, AS 36.05.080. If it is found that a laborer, mechanic, or field surveyor employed by the CONTRACTOR or Subcontractor has been, or is being, paid a rate or wages less than the established rate, the OWNER may, by written notice, terminate the CONTRACTOR or Subcontractors right to proceed with the work. The OWNER may prosecute the work to completion by contract or otherwise, and the CONTRACTOR and sureties will be held liable to the OWNER for excess costs for completing the WORK. (Section 2 ch 52 SLA 1959).
- C. Listing Contractor's Who Violate Contracts, AS 36.05.090. In addition, a list giving the names of persons who have disregarded the rights of their employees shall be distributed to all departments of State government and all political subdivisions. No person appearing on this list, and no firm, corporation, partnership or association in which the person has an interest, may work as a CONTRACTOR or Subcontractor on a public construction contract for the State, or a political subdivision of the state, until three years after the date of publication of the list. (Section 3 ch 52 SLA 1959; am Section 9 ch 142 SLA).

16.10 EMPLOYMENT REFERENCE. Workers employed in the execution of the contract by the CONTRACTOR or by any Subcontractor under this contract shall not be required or permitted to labor more than 8 hours a day or 40 hours per week in violation of the provisions of the Alaska Wage and Hour Act, Section 23.10.060.

16.11 COST REDUCTION INCENTIVE

- A. At any time within 45 days after the date of the Notice of Award, the CONTRACTOR may submit to the ENGINEER in writing, proposals for modifying the plans, specifications, or other requirements of this contract for the sole purpose of reducing the total cost of construction. The cost reduction proposal shall not impair in any manner the essential functions or characteristics of the project, including but not limited to, service life, economy of operation, ease of maintenance, desired appearance or design and safety standards.
- B. The cost reduction proposal shall contain the following information:

SECTION 00700 - GENERAL CONDITIONS

1. Description of both the existing contract requirements for performing the WORK and the proposed changes.
 2. An itemization of the contract requirements that must be changed if the proposal is adopted.
 3. A detailed estimate of the time required and the cost of performing the WORK under both the existing contract and the proposed change.
 4. A statement of the date by which the CONTRACTOR must receive the decision from the OWNER on the cost reduction proposal.
 5. The contract items of WORK effected by the proposed changes including any quantity variations.
 6. A description and estimate of costs the OWNER may incur in implementing the proposed changes, such as test and evaluation and operating and support costs.
 7. A prediction of any effects the proposed change would have on future operations and maintenance costs to the OWNER.
- C. The provisions of this section shall not be construed to require the OWNER to consider any cost reduction proposal which may be submitted; nor will the OWNER be liable to the CONTRACTOR for failure to accept or act upon any cost reduction proposal submitted, or for delays to the work attributable to the consideration or implementation of any such proposal.
- D. If a cost reduction proposal is similar to a change in the plans or specifications for the project under consideration by the OWNER at the time the proposal is submitted, the OWNER will not accept such proposal and reserves the right to make such changes without compensation to the CONTRACTOR under the provisions of this section.
- E. The CONTRACTOR shall continue to perform the work in accordance with the requirements of the contract until an executed Change Order incorporating the cost reduction proposal has been issued. If any executed Change Order has not been issued by the date upon which the CONTRACTOR's cost reduction proposal specifies that a decision should be made by the OWNER, in writing, the cost reduction proposal shall be considered rejected.
- F. The OWNER, shall be the sole judge of the acceptability of a cost reduction proposal and of the estimated net savings in Contract Time and construction costs resulting from the adoption of all or any part of such proposal. Should the CONTRACTOR disagree with OWNER's decision on the cost reduction proposal, there is no further consideration. The OWNER reserves the right to make final determination.
- G. If the CONTRACTOR's cost reduction proposal is accepted in whole or in part, such acceptance will be made by a contract Change Order, which specifically states that the change is executed pursuant to this cost reduction proposal section. Such Change Order shall incorporate the changes in the plans and specifications which are necessary to permit the cost reduction proposal or such part of it as has been accepted to be put into effect and shall include any conditions upon which the OWNER's approval is based, if such approval is conditional. The Change Order shall also describe the estimated net savings in the cost of performing the work attributable to the cost reduction proposal, and shall further provide that the contract cost be adjusted by crediting the OWNER with the estimated net savings amount.
- H. Acceptance of the cost reduction proposal and performance of the work does not extend the time of completion of the contract, unless specifically provided in the Change Order

SECTION 00700 - GENERAL CONDITIONS

authorizing the use of the submitted proposal. Should the adoption of the cost reduction proposal result in a Contract Time savings, the total Contract Time shall be reduced by an amount equal to the time savings realized.

- I. The amount specified to the CONTRACTOR in the Change Order accepted in the cost reduction proposal shall constitute full compensation for the performance of WORK. No claims for additional costs as a result of the changes specified in the cost reduction proposal shall be allowed.
- J. The OWNER reserves the right to adopt and utilize any approved cost reduction proposal for general use on any contract administered when it is determined suitable for such application. Cost reduction proposals identical, similar, or previously submitted will not be accepted for consideration if acceptance and compensation has previously been approved. The OWNER reserves the right to use all or part of any cost reduction proposal without obligation or compensation of any kind to the CONTRACTOR.
- K. The CONTRACTOR shall bear the costs, if any, to revise all bonds and insurance requirements for the project, to include the cost reduction WORK.

END OF SECTION

SECTION 00800 - SUPPLEMENTARY GENERAL CONDITIONS

GENERAL. These Supplementary General Conditions make additions, deletions, or revisions to the General Conditions as indicated herein. All provisions which are not so added, deleted, or revised remain in full force and effect. Terms used in these Supplementary General Conditions which are defined in the General Conditions have the meanings assigned to them in the General Conditions.

SGC 1 DEFINITIONS. *Remove* the definition for Contract Documents and *replace* with the following:

Contract Documents – The Table of Contents, Notice Inviting Bids, Instructions to Bidders, Bid Forms (including the Bid, Bid Schedule(s), Subcontractor Report, Bid Bond, and all required certificates and affidavits), Agreement, Performance Bond, Payment Bond, General Conditions, Supplementary General Conditions, Alaska Labor Standards, Reporting, and Prevailing Wage Rate Determination, Special Provisions, Standard Specifications, Technical Specifications, Drawings, Permits, and all Addenda, and Change Orders executed pursuant to the provisions of the Contract Documents.

SGC 2.2 COPIES OF DOCUMENTS. *Add* the following:

The OWNER shall furnish to the CONTRACTOR up to ten (10) copies of the Contract Documents which will include bound reduced Drawings, together with up to five (5) sets of full-scale Drawings. The CBJ Contracts Office shall contact the CONTRACTOR after issuance of Notice of Intent to Award to determine how many copies are needed. Additional quantities of the Contract Documents and full-scale Drawings will be furnished at reproduction cost.

SGC 3.2 ORDER OF PRECEDENCE OF CONTRACT DOCUMENTS. *Remove* No. 12. Technical Specifications and No. 13. Drawings, and *add* the following:

12. Special Provisions Section
13. Standard Specifications for Civil Engineering Projects and Subdivision Improvements
December 2003 Edition with current Errata Sheets.
14. Drawings.

SGC 4.2 PHYSICAL CONDITIONS - SUBSURFACE AND EXISTING STRUCTURES. *Add* the following:

- C. In the preparation of the Contract Documents, the Engineer of Record has relied upon field measurements and visual inspection of the existing structures and surface conditions.

SGC - 4.6 USE OF THE CBJ/STATE LEMON CREEK GRAVEL PIT. *Add* the following.

The CBJ/State Lemon Creek Gravel Pit is available for this Project.

SGC - 4.6 USE OF THE CBJ/STATE LEMON CREEK GRAVEL PIT. *Delete* paragraph C., and *replace* with the following paragraph C.

Wherever the land use permits are referenced, *delete* and *replace with* the permit number USE2008-00061.

- C. CONTRACTORS deciding to use material from the CBJ/State pit shall provide an Individual Mining Plan prepared by a professional engineer registered in the State of Alaska. The Individual Mining Plan must be reviewed and approved by the CBJ, prior to commencing operations within

SECTION 00800 - SUPPLEMENTARY GENERAL CONDITIONS

the pit. CONTRACTORS shall also secure a Performance Bond to ensure compliance with contract provisions, including any Individual Mining Plan stipulations. The bond shall remain in full force and effect until a release is obtained from the CBJ. A \$10,000 cash processing restoration bond is required prior to screening or primary crushing operations.

Add the following paragraph:

- J. Contractors choosing to mine material from CBJ material sources are also subject to the conditions contained in each site’s Multi Sector General Permit for Stormwater Discharges associated with industrial activities (MSGP) and the Storm Water Pollution Prevention Plan (SWPPP).

SGC 5.1 PERFORMANCE, PAYMENT, AND OTHER BONDS. The Contractor shall furnish Performance and Payment Bonds in the amount of 100% of the Bid.

SGC 5.2 INSURANCE AMOUNTS. The limits of liability for the insurance required by Paragraph 5.2 of the General Conditions shall provide coverage for not less than the following amounts or greater where required by Laws and Regulations. All certificates of insurance supplied to the OWNER shall state that the OWNER is named as **“Additional Insured for any and all work performed for the City & Borough of Juneau.”** The Additional Insured requirement does not apply to Workers Compensation insurance. NOTE: This requirement has changed. The OWNER no longer requires certificates of insurance referencing project names and contract numbers.

- A. Workers’ Compensation: (under Paragraph 5.2C.1 of the General Conditions) as in accordance with AS 23.30.045: (Additional Insured requirements not necessary for Workers’ Compensation coverage.)
 - 1. State: Statutory
 - 2. Applicable Federal (e.g., Longshore): Statutory

Note: If the WORK called for in the Contract Documents involves work in or on any navigable waters, the CONTRACTOR shall provide Workers’ Compensation coverage which shall include coverage under the Longshore and Harbor Workers’ Compensation Act, the Jones Act, and any other coverage required under Federal or State laws pertaining to workers in or on navigable waters.

- 3. Employers Liability

Bodily Injury by Accident:	\$100,000.00	Each Accident
Bodily Injury by Disease:	\$100,000.00	Each Employee
Bodily Injury by Disease:	\$500,000.00	Policy Limit

 - a. CONTRACTOR agrees to waive all rights of subrogation against the OWNER for WORK performed under contract.
 - b. If CONTRACTOR directly utilizes labor outside of the State of Alaska in the prosecution of the WORK, “Other States” endorsement shall be required as a condition of the contract.

SECTION 00800 - SUPPLEMENTARY GENERAL CONDITIONS

B. Commercial General Liability: (under Paragraph 5.2C.2 of the General Conditions):

1.	General Policy	\$1,000,000.00	Each Occurrence
		\$2,000,000.00	Annual Aggregate
2.	Products/Completed Operations	\$1,000,000.00	Each Occurrence
		\$2,000,000.00	Annual Aggregate
3.	Personal Injury	\$1,000,000.00	Each Occurrence

C. Commercial Automobile Liability: (under Paragraph 5.2C.3 of the General Conditions) including Owned, Hired, and Non-Owned Vehicles:

Combined Single Limit, Bodily Injury and Property Damage \$1,000,000.00

The CONTRACTOR shall require each Subcontractor similarly to provide Commercial Automobile Liability Insurance for all of the latter's employees to be engaged in such WORK unless such employees are covered by the protection afforded by the CONTRACTOR's Commercial Automobile Liability Insurance.

D. BUILDERS RISK is not required for this project.

E. Policies shall also specify insurance provided by CONTRACTOR will be considered primary and not contributory to any other insurance available to the OWNER.

F. Should any of the policies described above be cancelled before the expiration date thereof, notice will be delivered in accordance with the policy provisions.

SGC 6.5 CONCERNING SUBCONTRACTORS, SUPPLIERS, AND OTHERS. *Add* the following:

The CONTRACTOR shall perform not less than 40% of the WORK with its own forces (i.e., without subcontracting). The 40% requirement shall be understood to mean that the CONTRACTOR shall perform, with its own organization, WORK amounting to at least 40% of the original contract amount. The 40% requirement will be calculated based upon the total of the subcontract amounts submitted for Contract Award, and any other information requested by the OWNER from the apparent low Bidder.

SGC 6.5 CONCERNING SUBCONTRACTORS, SUPPLIERS, AND OTHERS, *Add* the following paragraph:

C. CONTRACTOR must pay Subcontractors and/or Suppliers within 30 days of receiving payment from the OWNER, if that payment was made for Work performed by the Subcontractor and/or materials received. Failure to pay Subcontractors within 30 days of receiving payment from which Subcontractor and/or Supplier is to be paid may result in the OWNER initiating debarment proceedings as prescribed in the City and Borough of Juneau Purchasing Code.

SECTION 00800 - SUPPLEMENTARY GENERAL CONDITIONS

SGC 6.6 PERMITS *Add* the following paragraph:

- D. Contractor is responsible for obtaining a Hot Works permit from the CBJ Permit Center, if performing work which requires such a permit. Work requiring a Hot Works Permit includes but is not limited to the following: cutting, welding, Thermit welding, brazing, soldering, grinding, thermal spraying, thawing pipe, installation of torch-applied roof systems or any other similar activity.

SGC 14.3 APPLICATION FOR PROGRESS PAYMENT. Paragraph D.

- D. The Value of Materials Stored at the site shall be an amount equal to 85%.

SGC 14.9 FINAL PAYMENT AND ACCEPTANCE. *Add* the following paragraph:

- C. Prior to the final payment the CONTRACTOR shall contact the Alaska Department of Labor and Workforce Development (ADOL) and provide the OWNER with clearance from the ADOL for the CONTRACTOR and all Subcontractors that have worked on the Project. This clearance shall indicate that all Employment Security Taxes have been paid. A sample form for this purpose is at the end of this section. The CONTRACTOR shall also submit a "NOTICE OF COMPLETION OF PUBLIC WORKS" signed by ADOL.

SGC 16.8 CERTIFIED PAYROLLS. *Change* paragraph A. to read:

- A. All CONTRACTORS or Subcontractors who perform work on a public construction contract for the OWNER shall file a certified payroll with Alaska Department of Labor. See Section 00830 - Alaska Labor Standards, Reporting, and Prevailing Wage Rate Determination.

Add the following SGC 16.12.

SGC 16.12 EQUAL EMPLOYMENT OPPORTUNITY (EEO)

The CONTRACTOR may not discriminate against any employee or applicant for employment because of race, religion, color, national origin, age, disability, sex, marital status, changes in marital status, pregnancy or parenthood. The CONTRACTOR shall post a notice setting out the provisions of this paragraph in a conspicuous place available to employees and applicants for employment.

The CONTRACTOR and each Subcontractor shall state in all solicitations and advertisements for employees to work on this Project, that it is an Equal Opportunity Employer and that all qualified applicants will receive consideration for employment without regard to race, religion, color, national origin, age, disability, sex, marital status, changes in marital status, pregnancy or parenthood.

The CONTRACTOR shall include the provisions of this EEO article in every contract relating to this Project and shall require the inclusion of these provisions in every agreement entered into for this Project, so that those provisions will be binding upon the CONTRACTOR and each Subcontractor.

Add the following SGC 17:

SGC 17 GENERAL INFORMATION. This Project is currently funded by the City and Borough of Juneau, Alaska Wastewater Fund and Alaska State Department of Environmental Conservation Loan.

SECTION 00800 - SUPPLEMENTARY GENERAL CONDITIONS

Employment Security Tax Clearance

Date: _____

To: Alaska Department of Labor
Juneau Field Tax Office
PH 907-465-2787
FAX 907-465-2374

From: _____

**Subject: Lawson Creek Lift Station Replacement Project
Contract No. E13-243**

Timeframe of Contract _____

Please advise whether or not clearance is granted for the following CONTRACTOR or Subcontractor:
(List only one CONTRACTOR or Subcontractor per page.)

Name	Address

Per AS 23.20.265 of the Alaska Employment Security Act, this request is for tax liability clearance and release to make final payment for WORK performed under the subject contract. Please send your response to:

Jennifer Mannix, Contract Administrator
Engineering Department
155 S. Seward Street
Juneau, Alaska 99801
FAX 907-586-4530

- () Tax Clearance is granted.
- () Tax Clearance is NOT granted.

Remarks: _____

Signature

Date

Title

END OF SECTION

**SECTION 00830 - ALASKA LABOR STANDARDS, REPORTING, AND
PREVAILING WAGE RATE DETERMINATION**

State of Alaska, Department of Labor, Laborers' and Mechanics' Minimum Rates of Pay, AS 36.05.010 and AS 36.05.050, Wage and Hour Administration Pamphlet No. 600, the latest edition published by the State of Alaska, Department of Labor inclusive, are made a part of this contract by reference.

The CONTRACTOR is responsible for contacting the Alaska Department of Labor to determine compliance with current regulations.

Correspondence regarding Title 36 requirements may be submitted electronically or paper copies can be submitted by mail. To submit Title 36 documents electronically, go to <https://myalaska.state.ak.us/home/app>. If filing electronically, submit certified payrolls to ADOL at the website above and email a copy of all certified payrolls to Jennifer Mannix at the email address below. If Contractor elects to submit paper copies, they should be submitted to the physical addresses below.

Within 10 Days of "Notice of Award/Notice to Proceed" make a list of **all** Subcontractors. Include their name, address, phone, estimated subcontract amount, and estimated start and finish dates. Send this list to the Wage and Hour Section (contact information below).

Certified Payrolls must be submitted every two weeks. Before the second Friday, each CONTRACTOR and Subcontractor must file Certified Payrolls with Statements of Compliance for the previous two weeks. Indicate "**Start**" on your first payroll, and "**Final**" on your last payroll for this Project.

As part of the **final payment request package**, CONTRACTOR must submit a "NOTICE OF COMPLETION OF PUBLIC WORKS" form signed by ADOL personnel.

Contact Information:

Wage and Hour Section
State of Alaska
Department of Labor and Workforce Development
Labor Standards and Safety Division and
Wage and Hour Administration
P.O. Box 11149
Juneau, AK 99811-1149
907-465-4842
<http://labor.state.ak.us/lss/home.htm>

Jennifer Mannix, Contract Administrator
City and Borough of Juneau
155 S. Seward Street
Juneau, AK 99801
(907) 586-0873
jennifer_mannix@ci.juneau.ak.us

END OF SECTION

SECTION 00852 - PERMITS

PART 1 - GENERAL

1.1 INDEX OF PERMITS

- A. Alaska Department of Fish and Game Fish Habitat Permit FH12-I-0195.
- B. Alaska State Historic Preservation Officer finding of "No Historic Properties Affected".

END OF SECTION



THE STATE
of **ALASKA**
GOVERNOR SEAN PARNELL

**Department of
Fish and Game**

DIVISION OF HABITAT
Southeast Region Office

802 3rd Street
Douglas, AK 99824-5412
P.O. Box 110024
Juneau, Alaska 99811-0024
Main: 907.465.4105
Fax: 907.465.4759

FISH HABITAT PERMIT FH12-I-0195

ISSUED: August 16, 2012

City and Borough of Juneau
ATTN: Joe Castillo
155 South Seward Street
Juneau, Alaska 99801

RE: Lawson Creek Utility Line
Stream No. 111-40-10890
T 41 S, R 67 E, Sec 26, CRM (Juneau B2)
Location: N 58.2863 W 134.4113

Dear Mr. Castillo:

Pursuant to AS 16.05.871(b), the Alaska Department of Fish and Game (ADF&G) Division of Habitat reviewed your request to install a sewer line across the mouth of Lawson Creek, in Douglas.

Project Description

This project will replace the existing Lawson Creek sewage lift station and sewer force main buried along the beach below the 20.8' tide line. The existing 8" ductile iron pipe runs to the northwest of the station for 1100 feet, with about 30 feet buried under Lawson Creek. You will excavate a trench about 8' wide and 4' deep seaward of the existing pipe and install a 10" HDPE sewer pipe. You will backfill with excavated material and return the area to pre-existing contours. The existing iron pipe will remain in place. Heavy equipment will install the new pipe during low tide and work within the stream is expected to take one day. In-stream work will not be conducted when adult salmon are in the stream.

Anadromous Fish Act

Lawson Creek has been specified as being important for the spawning, rearing, or migration of anadromous fishes pursuant to AS 16.05.871(a). Stream No. 111-40-10890 provides habitat for pink salmon and Dolly Varden char. The majority of intertidal spawning occurs upstream of the new pipe location.

In accordance with AS 16.05.871(d), project approval is hereby given subject to the project description above and the terms of this permit.

You are responsible for the actions of contractors, agents, or other persons who perform work to accomplish the approved project. For any activity that significantly deviates from the approved plan, you shall notify the Division of Habitat and obtain written approval in the form of a permit amendment before beginning the activity. Any action that increases the project's overall scope or that negates, alters, or minimizes the intent or effectiveness of any stipulation contained in this permit will be deemed a significant deviation from the approved plan. The final determination as to the significance of any deviation and the need for a permit amendment is the responsibility of the Division of Habitat. Therefore, it is recommended you consult the Division of Habitat immediately when a deviation from the approved plan is being considered.

For the purpose of inspecting or monitoring compliance with any condition of this permit, you shall give an authorized representative of the state free and unobstructed access, at safe and reasonable times, to the permit site. You shall furnish whatever assistance and information as the authorized representative reasonably requires for monitoring and inspection purposes.

This letter constitutes a permit issued under the authority of AS 16.05.871 and must be retained on site during project activities. Please be advised that this determination applies only to activities regulated by the Division of Habitat; other agencies also may have jurisdiction under their respective authorities. This determination does not relieve you of your responsibility to secure other permits; state, federal, or local. You are still required to comply with all other applicable laws.

In addition to the penalties provided by law, this permit may be terminated or revoked for failure to comply with its provisions or failure to comply with applicable statutes and regulations. The department reserves the right to require mitigation measures to correct disruption to fish and game created by the project and which was a direct result of the failure to comply with this permit or any applicable law.


You shall indemnify, save harmless, and defend the department, its agents, and its employees from any and all claims, actions, or liabilities for injuries or damages sustained by any person or property arising directly or indirectly from permitted activities or your performance under this permit. However, this provision has no effect if, and only if, the sole proximate cause of the injury is the department's negligence.

This permit decision may be appealed in accordance with the provisions of AS 44.62.330-630.

Any questions or concerns about this permit may be directed to Habitat Biologist Johnny Zutz at (907) 465-6474 or emailed to johnny.zutz@alaska.gov.

Sincerely,
Cora Campbell
Commissioner



 By Jackie Timothy
Southeast Regional Supervisor

Email cc:

Al Ott, ADF&G Habitat, Fairbanks
All, ADF&G Habitat, Juneau
Brian Glynn, ADF&G/SF, Juneau
Kevin Monagle, ADF&G/CF, Juneau
Teri Camery, CBJ, Juneau
Mary Goode, NMFS, Juneau
Steve Brockmann, USFWS, Juneau
Victor Ross, USACE, Juneau
James Dorn, Carson Dorn, Juneau (Agent)

11-16-12

3130-2R DEC



Carson Dorn, Inc.

712 West 12th Street Juneau, Alaska 99801

November 2, 2012

Ms. Judith E. Bittner
Chief, Office of History and Archaeology
Department of Natural Resources
550 West 7th Ave., Suite 1310
Anchorage, Alaska 99501-3565

No Historic Properties Affected
Alaska State Historic Preservation Officer
Date: 11-16-12
File No.: 3130-2R DEC mwx

Re: City and Borough of Juneau
Lawson Creek Sewage Lift Station Replacement

SENT BY E-MAIL
DATE 11/16/12

Dear Ms. Bittner,

The City and Borough of Juneau has an existing sewage lift station, the Lawson Creek lift station, which was constructed in 1973, nearly 40 years ago. The lift station was built on the beach immediately adjacent to Lawson Creek in Douglas, Alaska. The buried/below grade lift station housing the sewage pumps was built using a painted steel manhole.

The existing sewer force main from the pump station is an 8" ductile iron pipe about 1,100' long that crosses under Lawson Creek and was buried on the beach below the 20.8' tide line. This force main line connects to a gravity sewer manhole. The gravity sewer line at this manhole conveys the sewage towards the Juneau Douglas Bridge and eventually to the City and Borough of Juneau's waste water treatment plant on the rock dump south of town.

In the 40 years since the pump station and force main were constructed salt water has aggressively attacked the steel of the pump station and there are rust holes in the pump station manhole. There are also significant concerns about the ductile iron sewer force main pipe buried below the high tide line. If this line were to develop a leak, raw sewage would be discharged to Gastineau Channel.

As part of the City and Borough of Juneau's sewer system maintenance, repair and replacement program, this project will replace the existing lift station with a new underground concrete wet well and valve vault with submersible pumps and will replace the existing ductile iron sewer force main pipe on the beach with a new high density polyethylene (HDPE) plastic sewer force main.

I have attached the following drawings showing the project:

G-2 Location Map and Drawing Index, which shows the location of the project

C-1 Site Plan, which shows the new lift station relative to the existing lift station and to Lawson Creek.

C-2 Force Main, which shows the location of the existing force main and the new force along the beach and as it enters the gravity sewer manhole that is part of the CBJ sewage collection system. It also shows the typical trench detail for the force main and the concrete anchors that will be attached to the buried force main pipe.



Carson Dorn, Inc.

712 West 12th Street Juneau, Alaska 99801

C-3 Force Main, which shows the location of the existing force main and the new force along the beach as it crosses Lawson Creek and enters the Lawson Creek Lift Station. It also shows the typical trench detail for the force main and the concrete anchors that will be attached to the buried force main pipe.

The Alaska Department of Environmental Conservation is overseeing an US Environmental Protection Agency loan program to assist with these needed improvements. As a result the ADEC is required to conduct a NEPA review of the project. There are no known sites of historic or archaeological in the areas that will be impacted by the project. If you have any questions or concerns regarding this project, please feel free to call me at (907) 586-4447.

Borough Contacts:

Mr. Joe Castillo
Project Manager
City and Borough of Juneau
155 South Seward Street
Juneau, Alaska 99801

Ms. Maggie Ford
Administrative Officer
Public Works Department
5433 Shaune Drive
Juneau, AK 99801

Borough's Authorized Agent

Mr. Jim Dorn P.E.
Carson Dorn, Inc.
712 West 12th Street
Juneau, Alaska 99801

Please let us know if you know of any historic or archaeological sites that will be impacted by the project. Otherwise we would appreciate acknowledgement that the proposed improvements are not taking place in areas of known historic or archaeological significance.

Sincerely,


James L. Dorn, P.E.
Carson Dorn, Inc.

Attachments

Cc: Joe Castillo, City and Borough of Juneau Project Manager
Maggie Ford, City and Borough of Juneau Public Works Administrative Officer
Adele Fetter, Alaska Dept. of Environmental Conservation

SECTION 00853 - STANDARD DRAWINGS

PART 1 -- GENERAL

1.1 GENERAL

- A. Whenever in these Specifications or in the Plans references are made to the Standard Drawings or Standard Details, the intent is to refer to the current City and Borough of Juneau Standard Drawings, copies of which may be purchased from the CBJ Engineering Department.
- B. City and Borough of Juneau Standard Details which specifically apply to this project include but are not limited to the following:

Standard 125	Pavement Resurfacing and Trench Detail
Standard 203	Sanitary Sewer Manholes Types I and II
Standard 206A	Sanitary Sewer Manhole Cover and Frame
Standard 209	Manhole Connection Details
Standard 220	Pump Station Plan, Elevations, Details and Cabinet Shields

PART 2 PRODUCTS (not used)

PART 3 EXECUTION (not used)

END OF SECTION

SPECIAL PROVISIONS

The Standard Specifications for Civil Engineering Projects and Subdivision Improvements December 2003 Edition, with eight Errata Sheets, as published by the City and Borough of Juneau, is part of these Contract Documents and shall pertain to all phases of the contract. The Standard Specifications for Civil Engineering Projects and Subdivision Improvements December 2003 Edition is available for a fee from the City and Borough of Juneau Engineering Contracts Office, (907) 586-0490, or you may view them online at: www.juneau.org/engineering.

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Add the following section:

SECTION 01010 – SUMMARY OF WORK

PART 1 - GENERAL

1.1 GENERAL

- A. The WORK to be performed under this contract shall consist of furnishing all plant, tools, equipment, materials, supplies, and manufactured articles and furnishing all labor, transportation and services, including fuel, power, water, and essential communications, and performing all WORK, or other operations required for the fulfillment of the contract in strict accordance with the Contract Documents. The WORK shall be complete, and all work, materials, and services not expressly indicated or called for in the Contract Documents, including work stipulated in the permits attached to this contract, which may be necessary for the complete and proper construction of the WORK in good faith shall be provided by the CONTRACTOR as though originally so indicated, at no increase in cost to the OWNER.

1.2 WORK COVERED BY CONTRACT DOCUMENTS

- A. The WORK covered in the Contract Documents includes installing approximately 1,100 linear feet of 10-inch diameter high density polyethylene pressure sewer; demolition of an existing sewage lift station; installation of a new sewage pump station with submersible pumps along with associated electrical work and controls and other miscellaneous items of work.

SPECIAL PROVISIONS

- B. The site of the majority of WORK is located near the mouth of Lawson Creek at the end of Old Lawson Creek in Douglas, Alaska.

1.3 CONTRACT METHOD

- A. The WORK, hereunder will be constructed under a unit-price contract.

1.4 WORK BY OTHERS

- A. The CONTRACTOR's attention is directed to the fact that work may be conducted at the site by other contractors during the performance of the WORK under this contract. The CONTRACTOR shall conduct its operations so as to cause a minimum of interference with the WORK of such other contractors, and shall cooperate fully with such contractors to provide continued safe access to their respective portions of the site, as required to perform work under their respective contracts.
- B. Interference With Work On Utilities: The CONTRACTOR shall cooperate fully with all utility forces of the OWNER or forces of other public or private agencies engaged in the relocation, altering, or otherwise rearranging of any facilities which interfere with the progress of the WORK, and shall schedule the WORK so as to minimize interference with said relocation, altering, or other rearranging of facilities.

1.5 CONTRACTOR USE OF PROJECT SITE

- A. The CONTRACTOR's use of the Project site shall be limited to its construction operations, including on-site storage of materials, on-site fabrication facilities, and field offices.

1.6 OWNER USE OF THE PROJECT SITE

- A. The OWNER may utilize all or part of the existing site during the entire period of construction for the conduct of the OWNER's normal operations. The CONTRACTOR shall cooperate and coordinate with the ENGINEER to facilitate the OWNER's operations and to minimize interference with the CONTRACTOR's operations at the same time. In any event, the OWNER shall be allowed access to the project site during the period of construction.

1.7 PROJECT MEETINGS

- A. Pre-Construction Conference
 - 1. Prior to the commencement of WORK at the site, a Pre-Construction Conference will be held at a mutually agreed time and place which shall be attended by the CONTRACTOR's Project Manager, its superintendent, and its subcontractors as the CONTRACTOR deems appropriate. Other attendees will be:

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- a. ENGINEER and the Inspector.
 - b. Representatives of OWNER.
 - c. Governmental representatives as appropriate.
 - d. Others as requested by CONTRACTOR, OWNER, or ENGINEER.
2. Unless previously submitted to the ENGINEER, the CONTRACTOR shall bring to the Pre-Construction Conference one copy each of the following:
- a. Plan of Operation.
 - b. Project Overview Bar Chart Schedule.
 - c. Procurement schedule of major equipment and materials and items requiring long lead time.
 - d. Shop Drawing/Sample/Substitute or "Or Equal" submittal schedule.
 - e. Name and telephone number of CONTRACTOR'S Project Supervisor.
3. The purpose of the Pre-Construction Conference is to designate responsible personnel and establish a working relationship. Matters requiring coordination will be discussed and procedures for handling such matters established. The complete agenda will be furnished to the CONTRACTOR prior to the meeting date.

The CONTRACTOR should be prepared to discuss all of the items listed below:

- a. Status of CONTRACTOR's insurance and bonds.
 - b. CONTRACTOR's tentative schedules.
 - c. Transmittal, review, and distribution of CONTRACTOR's submittals.
 - d. Processing applications for payment.
 - e. Maintaining record documents.
 - f. Critical work sequencing.
 - g. Field decisions and Change Orders.
 - h. Use of project site, office and storage areas, security, housekeeping, and OWNER's needs.
 - i. Major equipment deliveries and priorities.
 - j. CONTRACTOR's assignments for safety and first aid.
 - k. Storm Water Pollution Prevention Plan as required by Section 01570.
 - l. Permit requirements of the Alaska Dept. of Transportation and Public Facilities.
4. The OWNER will preside at the Pre-construction Conference and will arrange for keeping and distributing the minutes to all persons in attendance.

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- B. Progress Meetings
1. The CONTRACTOR shall schedule and hold regular on-site progress meetings at least weekly and at other times as requested by the ENGINEER, or as required by progress of the WORK. The CONTRACTOR, ENGINEER, and all subcontractors active on the site must attend each meeting. CONTRACTOR may at its discretion request attendance by representatives of its suppliers, manufacturers, and other Subcontractors.
 2. The ENGINEER shall preside at the meetings and will arrange for keeping and distributing the minutes. The purpose of the meetings will be to review the progress of the WORK, maintain coordination of efforts, discuss changes in scheduling, and resolve other problems which may develop. During each meeting, the CONTRACTOR is required to present issues which may impact his work, with a view to resolve these issues expeditiously.

1.8 DEFINITIONS APPLICABLE TO TECHNICAL SPECIFICATIONS

- A. The following words have the meaning defined in the Technical Portions of the WORK:

Furnish - means to supply and deliver to the site, to unload and unpack ready for assembly, installation, testing, and startup.

Indicated - is a word used to direct the CONTRACTOR to information contained on the drawings or in the Specifications. Terms such as "shown," "noted," "scheduled," and "specified" also may be used to assist in locating information but no limitation of location is implied or intended.

Install - defines operations at the site including assembly, erection, placing, anchoring, applying, shaping to dimension, finishing, curing, protecting, and cleaning, ready for the OWNER's use.

Installer - a person or firm engaged by the CONTRACTOR or its subcontract or any subcontractor for the performance of installation, erection, or application work at the site. Installers must be expert in the operations they are engaged to perform.

Provide - is defined as furnish and install, ready for the intended use.

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Add the following section:

SECTION 01025 – MEASUREMENT AND PAYMENT

PART 1- GENERAL

1.1 SCOPE

- A. Payment for the various items of the Bid Schedule, as further specified herein, shall include all compensation to be received by the CONTRACTOR for furnishing all tools, equipment, supplies, and manufactured articles, and for all labor, operations, and incidentals appurtenant to the items of WORK being described, as necessary to complete the various items of the WORK all in accordance with the requirements of the Contract Documents, including all appurtenances thereto, and including all costs of permits and cost of compliance with the regulations of public agencies having jurisdiction, including Safety and Health Requirements of the Occupational Safety and Health Administration of the U.S. Department of Labor (OSHA) and Occupational Safety and Health Standards of the Alaska Department of Labor, Division of Labor Standards and Safety.

- B. No separate payment will be made for any item that is not specifically set forth in the Bid Schedule, and all costs therefor shall be included in the prices named in the Bid Schedule for the various appurtenant items of WORK.

- C. In addition to other incidental items of WORK listed elsewhere in the contract, the following items shall also be considered as incidental to other items of WORK under this contract:
 - 1. All coordination of the WORK to be accomplished by the private utility companies and the Alaska Department of Transportation and Public Facilities to deliver materials to the project site etc.
 - 2. All construction surveying.
 - 3. Watering of the site as necessary for dust control.
 - 4. Removal and replacement of landscaping items, barricades, survey monuments and markers, whether shown on the plans or not.
 - 5. Seeding and re-vegetating areas disturbed by construction of this Project.
 - 6. Maintenance of all services through the project area, including private water systems, storm sewers, garbage pickup, mail delivery, other deliveries and emergency vehicles.
 - 7. Traffic control, including flaggers, and installation and maintenance of traffic control devices.
 - 8. Back fill with usable material from excavation.
 - 9. Any temporary pumping to maintain sewer service for the Lawson Creek and Douglas area.
 - 11. Pumping and Bypass Plan.

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2.1 MOBILIZATION (Pay Item No. 1505.1) PRICE BASED ON LUMP SUM

- A. Measurement for payment for Mobilization will be based upon the completion of the entire WORK as a Lump Sum Pay Unit, complete, all in accordance with the requirements of the Contract Documents.
- B. Payment for Mobilization will be made at the amount shown on the Bid Schedule under Pay Item No. 1505.1, which payment will constitute full compensation for all WORK described in SECTION 01505, MOBILIZATION, as shown on the Plans and as directed by the ENGINEER.
- C. Partial Payments will be made as the WORK progresses as follows:
 - 1. When 5% of the total original contract amount is earned from other bid items, 50% of the amount bid for Mobilization, or 5% of the original contact amount, whichever is lesser, will be paid.
 - 2. When 10% of the total original contract amount is earned from other bid items, 100% of the amount bid for Mobilization, or 10% of the original Contact amount, whichever is lesser, will be paid.
 - 3. Upon completion of all WORK on the project, payment of any amount bid for Mobilization in excess of 10% of the original contract amount will be paid.

2.2 EROSION CONTROL (Pay Item No. 1570.1) PRICE BASE ON LUMP SUM

- A. Measurement for payment for Erosion Control will be based upon the completion of the entire WORK as a Lump Sum Pay Unit, complete, all in accordance with the requirements of the Contract Documents.
- B. Payment for Erosion Control includes but is not limited to all work necessary to comply with the requirements for erosion control indicated in the plans and specifications.
- C. Payment for Erosion Control will be made at the amount shown on the Bid Schedule under Pay Item No. 1570.1, which payment will constitute full compensation for all WORK described in Section 01570 – EROSION CONTROL, as shown on the plans, and as directed by the ENGINEER.

2.3 DEMOLITION (Pay Item No. 2050.1) PRICE BASE ON LUMP SUM

- A. Measurement for payment for Demolition will be based upon the completion of the entire WORK as a Lump Sum Pay Unit, complete, all in accordance with the requirements of the Contract Documents.
- B. Payment for Demolition includes but is not limited to removal, and disposal of the existing Lawson Creek Lift Station structures, slabs, pumps, piping, and miscellaneous electrical items as indicated in the plans and specifications.

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- C. Payment for Demolition includes all site work to remove the exposed portion of the existing Lawson Creek Lift Station and to fill the station with pea gravel after demolition
 - D. Payment for Demolition will be made at the amount shown on the Bid Schedule under Pay Item No. 2050.1, which payment will constitute full compensation for all WORK described in Section 02050 - DEMOLITION, as shown on the plans, and as directed by the ENGINEER.
- 2.4 CLEARING AND GRUBBING (Pay Item No. 2201.1) PRICE BASED ON LUMP SUM
- A. Measurement for payment for Clearing and Grubbing will be based upon the completion of the entire WORK as a Lump Sum Pay Unit, complete, all in accordance with the requirements of the Contract Documents.
 - B. Payment for Clearing and Grubbing will be made at the amount shown on the Bid Schedule under Pay Item No. 2201.1, which payment will constitute full compensation for all WORK described in Section 02201 - CLEARING AND GRUBBING, as shown on the plans, and as directed by the ENGINEER.
- 2.5 IMPORTED BACKFILL (Pay Item No. 2203.2) PRICE BASED ON QUANTITY, TON
- A. Measurement for payment for Imported Backfill will be by the ton in final position. Imported Backfill will not be measured for payment unless and until all available backfill material obtained from excavation has been utilized as specified. Imported backfill will be measured for backfilling around the old Lawson Creek Lift Station, the pipe trenches and around the new Lawson Creek Lift station as needed.
 - B. Payment for Imported Backfill will be made at the Unit Price named in the Bid Schedule under Pay Item No. 2203.2, which payment will constitute full compensation for all WORK described in Section 02203 - TRENCHING, as shown on the plans and as directed by the ENGINEER.
- 2.6 SHEETING, SHORING AND BRACING (Pay Item No. 2203.3) PRICE BASED ON LUMP SUM
- A. Measurement for payment for Sheeting, Shoring and Bracing will be based upon the completion of the entire WORK as a Lump Sum Pay Unit, complete, all in accordance with the requirements of the Contract Documents.
 - B. Payment for sheeting, shoring, and bracing or equivalent method will be made at the amount shown on the Bid Schedule under Pay Item No. 2203.3, which price shall constitute full compensation for completion of all planning, design, engineering fees, furnishing and constructing, and removal and disposal of such sheeting, shoring, and bracing as a lump sum item, complete, as required under the provisions of any permits, and in accordance with the latest safety requirements of State of Alaska and Federal OSHA. Payment for the Site Development Plan described in Section 02202 – EXCAVATION AND EMBANKMENT will be under this Pay Item.

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- 2.7 BASE COURSE, GRADING D-1 (Pay Item No. 2204.1) PRICED BASED ON QUANTITY, TON
- A. Measurement and payment for Base Course, Grading D-1 will be by the ton, installed in accordance with the Contract Documents. Said measurement may include moisture up to a maximum of 7.0% of dry weight of the material. Measurement of D-1 shall be for 6" of D-1 installed as the final grade material for areas disturbed between the existing pump station building and the new lift station.
 - B. When check tests by the ENGINEER indicate that the moisture content in excess of 7.0% may be occurring, the frequency of testing will be increased as necessary and the results averaged over a period of one day. When this average moisture content is greater than 7.0%, the tonnage, as measured over the above period shall be reduced by a percentage equal to the difference between the actual moisture content and 7.0%. No credit will be due the CONTRACTOR when moisture content is less than 7.0%. Samples for the determination of moisture content shall be collected at the point of weighing.
 - C. Water used for installation of Base Course, Grading D-1 shall be considered incidental.
 - D. Payment for Base Course, Grading D-1, will be made at the Unit Price named in the Bid Schedule under Pay Item 2204.1, which payment will constitute full compensation for all WORK described in Section 02204 – BASE COURSE, as shown on the plans and as directed by the ENGINEER.
- 2.8 SANITARY SEWER PIPE, [], (Pay Item Nos. 2401.1, 2401.2, and 2401.3) PRICE BASED ON QUANTITY, LINEAR FOOT
- A. Sanitary Sewer Pipe will be measured along the slope of the pipe per linear foot, center to center of manhole, from center of manholes to end of pipe, or to limits of payment as shown on the Drawings. The aggregate laid lengths of wyes will not be deducted from the lengths of pipe so measured.
 - B. Cleaning and testing sewer pipe will not be measured for payment but will be considered incidental to other WORK under Section 02401 – SANITARY SEWER PIPE.
 - C. Trenching, bedding and backfill will not be measured for payment, but will be considered incidental to other WORK.
 - D. Concrete anchors installed on the 10" HDPE pipe as shown on the drawings will not be measured for payment but will be considered incidental the Pressure Sewer Pipe (Force Main) 10-Inch HDPE pay item.
 - E. Connection to existing manholes, including drop connections, will not be measured for payment but will be considered incidental to other WORK.

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- F. Payment for Gravity Sewer Pipe, 12-inch PVC, will be made at the Unit Price named in the Bid Schedule under Pay Item No. 2401.1, which payment will constitute full compensation for all WORK described in Section 02401 – SANITARY SEWER PIPE , as shown on the plans and as directed by the ENGINEER.
 - G. Payment for Pressure Sewer Pipe (Force Main), 2-inch HDPE, will be made at the Unit Price named in the Bid Schedule under Pay Item No. 2401.2, which payment will constitute full compensation for all WORK described in Section 02401 – SANITARY SEWER PIPE , as shown on the plans and as directed by the ENGINEER.
 - H. Payment for Pressure Sewer Pipe (Force Main), 10-inch HDPE, will be made at the Unit Price named in the Bid Schedule under Pay Item No. 2401.3, which payment will constitute full compensation for all WORK described in Section 02401 – SANITARY SEWER PIPE , as shown on the plans and as directed by the ENGINEER.
- 2.8 SANITARY SEWER MANHOLE, TYPE I EXTENSION (Pay Item No. 2402.1) PRICE BASED ON LUMP SUM
- A. Sanitary Sewer Manhole, Type I Extension will be measured per each, complete in place, including all excavation, bedding, backfill, imported backfill, sheeting and bracing, dewatering, cleaning and testing, and all other work necessary for a complete installation as shown at Station 21+05 on the 10” HDPE Pipe.
 - B. Payment for Sanitary Sewer Manholes, Type I Extension will be made at the amount shown on the Bid Schedule under Pay Item No. 2402.1, which payment will constitute full compensation for all WORK described in Section 02402 – MANHOLES AND CLEANOUTS, as shown on the plans and as directed by the ENGINEER.
- 2.9 LAWSON CREEK LIFT STATION, (Pay Item No. 11176.1) PRICE BASED ON LUMP SUM
- A. Measurement for payment of Lawson Creek Lift Station will be based upon the completion of the entire WORK as a Lump Sum Pay Unit, complete, all in accordance with the requirements of the Contract Documents.
 - B. Lawson Creek Lift Station shall include but not be limited to all earthwork to install, bed and backfill the pump station, and valve vault; all site piping, trench excavation and backfill; the wet well, and valve vault along with hatches, covers, frames water proofing, and concrete pad around the wet well and valve vault; all pipe, valves, fittings, pumps, control panels, rails, equipment, supports, bars screens, ladders, vents, nuts, bolts and other miscellaneous items necessary for a complete installation; pumping and bypass plan and all pumping of sewage to ensure continuous service from the existing Lawson Creek Lift Station if needed; all testing, warranties, operation and technical data as required; vent piping and any other material and WORK necessary for a complete, working and acceptable installation.

SPECIAL PROVISIONS

- C. Payment for Lawson Creek Lift Station will be made at the amount shown in the Bid Schedule under Pay Item No. 11176.1, which payment will constitute full compensation for all WORK described in SECTION 11176 – SEWAGE PUMP STATION, as described in the Contract Documents and as directed by the ENGINEER.
- 2.10 LAWSON CREEK LIFT STATION ELECTRICAL, (Pay Item No. 16000.1) PRICE BASED ON LUMP SUM
- A. Measurement for payment of Lawson Creek Lift Station Electrical will be based upon the completion of the entire WORK as a Lump Sum Pay Unit, complete, all in accordance with the requirements of the Contract Documents.
 - B. Lawson Creek Lift Station Electrical shall include, but not be limited to all electrical work, earthwork, concrete, metal fabrication, conduit and wiring, electrical controls, switches, power connections, conduit, buried conduit, pull boxes, pump and level sensor electrical installation, panel boards, transformers, standby generator receptacle, alarms, testing, warranties, operations and technical data as required, and any other material and WORK necessary for a complete, working and acceptable installation for the Lawson Creek Lift Station.
 - C. Payment for Lawson Creek Lift Station Electrical will be made at the amount shown in the Bid Schedule under Pay Item No. 16000.1, which payment will constitute full compensation for all WORK described in DIVISION 16000 – ELECTRICAL, as described in the Contract Documents and as directed by the ENGINEER.
- 2.11 LAWSON CREEK LIFT STATION AEL&P AND ACS SERVICE, (Pay Item No. 16000.2) PRICE BASED ON LUMP SUM
- A. Measurement for payment of Lawson Creek Lift Station AEL&P and ACS Service will be based upon the completion of the entire WORK as a Lump Sum Pay Unit, complete, all in accordance with the requirements of the Contract Documents.
 - B. Lawson Creek Lift Station AEL&P and ACS Service shall include all coordination with AEL&P and ACS to provide temporary and permanent service to the lift station and paying for the charges from AEL&P and ACS to provide service. It includes all WORK necessary for a complete, working and acceptable installation.
 - C. Lawson Creek Lift Station AEL&P and ACS Service will be made at the amount shown in the Bid Schedule under Pay Item No. 16000.1, which payment will constitute full compensation for all WORK described in DIVISION 16000 – ELECTRICAL, as described in the Contract Documents and as directed by the ENGINEER.

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Add the following section:

SECTION 01510 – TEMPORARY SERVICE

PART 1 - GENERAL

1.1 GENERAL REQUIREMENTS

- A. The CONTRACTOR shall provide all supervision, labor, materials, tools and equipment necessary to maintain sewage service at the Lawson Creek Lift Station at all times. One 4 hour interruption in service is permitted to make the piping connections for the new Lawson Creek Lift Station. No other interruptions in service are permitted.
- B. The CONTRACTOR shall submit and Pumping and Bypass Plan to the ENGINEER for review and approval. The Pumping and Bypass Plan shall describe the CONTRACTOR's plan to ensure continuous sewer service is maintained and shall include a description of the pumps, piping and electrical service if necessary
- C. The Lawson Creek Lift Station pumps sewage from the Douglas area. The CONTRACTOR shall be particularly aware of the typical potential health risks handling sewage. The CONTRACTOR shall be responsible for the health and safety of its workers.

SECTION 01550 – SITE ACCESS AND STORAGE, PART 1 - GENERAL, Article 1.3, MAINTENANCE OF TRAFFIC, *add the following to paragraph:*

- B. The CONTRACTOR's Traffic Control Plan (TCP) shall include, but not be limited to, signs, barricades, traffic cones, plastic safety fence, warning lights, portable concrete barriers, flaggers, interim pavement markings, temporary lighting, and all other items required to direct traffic through and/or around the traffic-control zone in accordance with these Specifications and the "Manual on Uniform Traffic Control Devices - 2009 Edition" (MUTCD) and current Alaska Department of Transportation and Public Facilities (ADOT&PF) supplements. The TCP shall address the placement of traffic-control devices, including location, spacing, size, mounting height and type. The TCP will be prepared by an International Municipal Signal Association (IMSA) or American Traffic Safety Services Association (ATSSA) Certified Traffic Control Supervisor. The TCP shall particularly address traffic control on CBJ streets as well as in the State right-of-way.

The CONTRACTOR shall apply for an ADOT&PF Lane Closure Permit and any other required AKDOT&PF permits required for any WORK that occurs along Glacier Highway or that impacts traffic along Glacier Highway.

The TCP shall be submitted to ADOT&PF Permit Officer and the ENGINEER for approval. Allow a minimum of 10 days for the ENGINEER and the ADOT&PF Permit Officer to review the TCP, and any subsequent correction prior to beginning construction. Allow 48 hours for the ENGINEER and ADOT&PF Permit Officer to review and

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approve any proposed revision to an approved TCP during construction. Provide ADOT&PF approved permits and TCP to the ENGINEER.

All TCPs shall include the periods for which the TCP will be in effect, and be signed by the Worksite Traffic Supervisor to certify that they conform with the MUTCD and current ADOT&PF supplements. The name and 24-hour telephone number of the Worksite Traffic Supervisor shall also be provided.

The TCP shall show the minimum required number of traffic-control devices. If unsafe conditions occur, the ENGINEER may require additional traffic-control devices.

Use of oversized and overweight equipment with the Project must conform to an approved TCP, including all traffic-control devices that these operations may require.

SECTION 01550 – SITE ACCESS AND STORAGE, PART 1 - GENERAL, Article 1.3, MAINTENANCE OF TRAFFIC, *add the following paragraph:*

U. Worksite Traffic Control Supervisor. Provide a Worksite Traffic Control Supervisor responsible for maintaining 24-hour traffic operations. The Worksite Traffic Control Supervisor shall understand the requirements of the CBJ, MUTCD and current AKDOT & PF supplements, the Drawings, the Specifications, and be certified as one of the following:

1. Traffic Control Supervisor certified by the American Traffic Safety Services Association (ATSSA).
2. Work Zone Traffic Safety Specialist or a Signs and Markings Specialist certified by the International Municipal Signal Association (IMSA) This requires documentation of at least 12 months of supervisory-level worksite traffic control, or 12 months of responsible charge of such WORK. “Responsible charge” means that the Worksite Traffic Control Supervisor has been accountable for selecting devices and placing them in the traffic control system, or for continued system operation. The Worksite Traffic Control Supervisor satisfies this requirement if he or she has supervised persons performing this labor.

The duties of the Worksite Traffic Supervisor shall include:

1. Preparing TCPs and public notices, and coordinating traffic control operations between the Project Superintendent and the ENGINEER.
2. Supervising the inspection of the condition and position of all traffic control devices used on the Project at least once each day, and once each night. The Worksite Traffic Supervisor shall ensure that the traffic control devices work properly, are clean and visible, and conform to the approved TCPs. The Worksite Traffic Supervisor shall furnish a detailed written report of each inspection to the ENGINEER within 24 hours.
3. Supervising the repair or replacement of damaged or missing traffic control devices.
4. Reviewing and anticipating traffic control needs, and making available proper traffic control devices necessary for safe and efficient traffic movement.
5. Reviewing WORK areas, equipment storage, and traffic safety material handling and storage.

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6. Holding traffic safety meetings with superintendents, foremen, subcontractors, and others as appropriate before beginning construction, prior to implementing a new TCP; and as directed. The ENGINEER shall be invited to attend these meetings.
7. Supervising flaggers and all other traffic control workers.

Add the following section:

SECTION 01570 – EROSION CONTROL

PART 1 - GENERAL

1.1 THE REQUIREMENT

- A. The CONTRACTOR shall provide for erosion control during construction in accordance with the requirements of the Alaska Department of Environmental Conservation (ADEC). All discharge of pollutants and sedimentation from on-site drainage shall be caught on-site.
- B. Erosion Control includes preparation and maintenance of a Storm Water Pollution Prevention Plan (SWPPP), control of erosion, sedimentation, and discharge of pollutants, in accordance with the ADEC Construction General Permit (CGP).
- C. The WORK under this Section includes providing all labor, materials, tools and equipment necessary to construct and maintain temporary erosion control works; including but not limited to, wattles, silt fences, silt containment booms, settling ponds, check dams, ditches, etc.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Materials shall be suitable for the intended use and perform effectively to control silt and surface erosion. All materials shall remain the property of the CONTRACTOR.

PART 3 - EXECUTION

3.1 GENERAL

- A. The CONTRACTOR is responsible to prepare, submit, and maintain a SWPPP, as required by the CGP, that is in accordance with their construction methodologies and sequences.
 1. For projects disturbing greater than 1 acre, this requirement shall include submission of a Notice of Intent (NOI) to ADEC prior to beginning of WORK. Copies of the NOI and SWPPP shall also be submitted to the ENGINEER within 5 days of submittal to ADEC.

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2. For projects disturbing less than 1 acre, the SWPPP shall be submitted to the ENGINEER prior to the beginning of WORK; submittal to ADEC or an NOI are required.

- B. WORK at the Project site will not be permitted until the above documents are submitted to the ENGINEER and acceptance of this plan has been obtained from the governing agency or agencies (if required by the CGP).

- C. The CONTRACTOR shall install temporary erosion control structures and devices as required by their SWPPP, prepared in accordance with the ADEC CGP. They shall be maintained in effective operating condition at all times. Prior to completion of work, the CONTRACTOR shall clean and remove all silt and debris from the settling pond and check dams.

- D. Temporary erosion control structures shall remain in place until the project is completed and replaced by permanent erosion control WORK, protected by final stabilization or until the ENGINEER approves their removal.

- E. The CONTRACTOR shall be responsible for meeting the requirements of all permits (including permits naming the OWNER, or other parties); therefore, shall be responsible for the quality of the run-off water from the Project site and for any fines and/or penalties resulting from the construction operation.

- F. The CONTRACTOR shall submit NOT (Notice of Termination) at completion of the WORK and removal of all SWPPP items.

END OF SECTION

SECTION 01700 – PROJECT CLOSE-OUT, PART 1 – GENERAL, Article 1.3 FINAL SUBMITTALS, Paragraph A. *Replace the following sub-paragraph:*

7. Completed Certificate of Compliance and Release signed by the CONTRACTOR shall be submitted to the Engineering Contract Administrator.

SECTION 01700 – PROJECT CLOSE-OUT, PART 1 – GENERAL, Article 1.3 FINAL SUBMITTALS, Paragraph A. *Add the following paragraph:*

- C. Before final payment, the CONTRACTOR shall provide the OWNER with clearance from the Alaska Department of Labor and Workforce Development for the CONTRACTOR and all Subcontractors that have worked on the Project. This clearance shall indicate that all Employment Security Taxes have been paid. A sample form for this purpose is at the end of Section 00800 – Supplementary General Conditions.

SECTION 01700 – PROJECT CLOSE-OUT, PART 1 – GENERAL, *Replace the COMPLIANCE CERTIFICATE AND RELEASE FORM with the following form located at the end of this section:*

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COMPLIANCE CERTIFICATE AND RELEASE FORM

PROJECT: Lawson Creek Lift Station Replacement Project
CONTRACT NO: E13-243

The CONTRACTOR must complete and submit this to the Engineering Contracts Administrator with respect to the entire contract.

Completed forms may be submitted upon completion of the Project. All requirements and submittals must be met before final payment will be made to the CONTRACTOR.

I certify that the following and any referenced attachments are true:

- All WORK has been performed, materials supplied, and requirements met in accordance with the applicable Drawings, Specifications, and Contract Documents.
- All Suppliers and Subcontractors have been paid in full with no claims for labor, materials or other services outstanding. If all Subcontractors and suppliers are not paid in full, please explain on a separate sheet.
- All employees have been paid not less than the current prevailing wage rates set by the State of Alaska (or U.S. Department of Labor, as applicable).
- All equal employment opportunity, certified payroll and other reports have been filed in accordance with the prime contract.
- The Contract Administrator was advised and approved of all Subcontractors before WORK was performed and has approved any substitutions, additions or deletions of Subcontractors.
- All DBE firms listed as a precondition of the prime contract award must have performed a commercially useful function in order for the WORK to count to a DBE goal. All DBE firms performed the WORK stated and have received at least the amount claimed for credit in the Contract Documents.
- All DBE Subcontractors must attach a signed statement of the payment amount received, the nature of WORK performed, whether any balance is outstanding, and indicate that no rebates are involved.
- If the amount paid is less than the amount originally claimed for DBE credit, the CONTRACTOR has attached approval from the Contract Administrator for underutilization.

I understand it is unlawful to misrepresent information in order to receive a payment which would otherwise be withheld if these conditions were not met. I am an authorized agent of this firm and sign this freely and voluntarily. The foregoing statements are true and apply to the following project contractor.

_____ Capacity: CONTRACTOR
Firm Name

Signed Printed Name and Title Date

Return completed form to: Jennifer Mannix, Contract Administrator, City and Borough of Juneau, 155 South Seward Street, Juneau, AK 99801. Call (907) 586-0873 if we can be of further assistance or if you have any questions.

END OF SECTION

SPECIAL PROVISIONS

Add the following section:

SECTION 01704 – FINAL CLEAN-UP AND SITE RESTORATION

PART 1 - GENERAL

1.1 DESCRIPTION

- A. The WORK under this Section includes providing all supervision, labor, materials, tools and equipment necessary for final clean-up and restoration of all areas disturbed by construction activities, to a condition equal to, or better than, before construction started. This does not include clean-up or restoration incidental to, or directly provided for by, other construction items.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Any materials required shall conform to the appropriate Section of these Specifications.

PART 3 - EXECUTION

3.1 CONSTRUCTION

- A. The CONTRACTOR shall clean up all sites disturbed during construction of the project. This includes removal of all construction equipment, disposal of all excess materials, disposal of all rubbish and debris, removal of all temporary structures, and grading of the sites so that no standing water is evident.
- B. If the CONTRACTOR has obtained material from the CBJ/State pit, the excavated area shall be cleaned up and any stipulations required by the Individual Mining Plan shall be completed. The gravel pit overhead charge shall be paid to CBJ within 60 days after removal of material from the pit.

Add the following section:

SECTION 02050 – DEMOLITION

PART 1 - GENERAL

1.1 THE REQUIREMENT

- A. The CONTRACTOR shall furnish materials, equipment and labor necessary to perform and complete demolition WORK called for in the Contract Documents.
- B. The exiting Lawson Creek Lift Station along with pumps, piping and electrical shall be demolished as shown, in an orderly and careful manner.

SPECIAL PROVISIONS

- C. The WORK shall include, but not be limited to, removal and disposal of existing piping, pumps, pump housing, electrical, mechanical, as shown on the plans.
- D. After demolition of the mechanical and electrical items, the pump station shall be backfilled with sand and imported backfill as indicated on the plans.
- E. Utilities shall be disconnected, removed, and capped and as shown.

1.2 REFERENCE SPECIFICATIONS, CODES, AND STANDARDS

- A. All codes, as referenced herein, are specified in Section 01090, "Reference Standards."

1.3 CONTRACTOR SUBMITTALS

- A. **Demolition Schedule:** The CONTRACTOR shall submit a complete coordination schedule for demolition WORK including shut-off and continuation of utility services prior to start of the WORK. The schedule shall indicate proposed methods and operations of facility demolition, and provide a detailed sequence of demolition and removal WORK to ensure uninterrupted operation of occupied areas.

1.4 JOB CONDITIONS

- A. **Condition of Facilities:** OWNER assumes no responsibility for actual condition of facilities to be demolished. The CONTRACTOR shall visit the site and inspect the existing facilities. A copy of the original elevation section of the lift station is included in the Plans.

SECTION 02202 – EXCAVATION AND EMBANKMENT, PART 2 - PRODUCTS, *add the following Article:*

- 2.8 **USABLE MATERIAL FROM EXCAVATION.** Usable material from excavation shall meet the requirements for Embankment.

SECTION 02202 – EXCAVATION AND EMBANKMENT, PART 3 - EXECUTION, *add the following Articles:*

3.6 ADDITIONAL GRADING

- A. Additional Grading shall include all excavation, backfill, compaction, grading and other WORK necessary to ensure the project site drains to either Lawson Creek or Gastineau Channel.

SPECIAL PROVISIONS

3.7 INDIVIDUAL MINING PLAN

- A. If the CONTRACTOR decides to use material from the CBJ/State Lemon Creek Borrow Pit, the CONTRACTOR shall provide an Individual Mining Plan that conforms to the requirements of Section 00700 – General Conditions, Article 4.6.
- B. The Individual Mining Plan shall be developed using the survey information provided by the OWNER, or the CONTRACTOR may provide an independent survey with two-foot contours of the Pit property. The survey shall provide sufficient survey information to calculate quantities, shown drainage features and property boundaries. If the CONTRACTOR uses the OWNER furnished survey information, the Individual Mining Plan shall be done in CAD.

SECTION 02204 – BASE COURSE, PART 3 - EXECUTION, Article 3.1 GENERAL, *add the following:*

- K. Sampling: The following method is the only method acceptable to the OWNER for determining material compliance to the specifications.

After the base course material has been deposited along the subgrade, and before being spread into a uniform layer, the material shall be windrowed with a motor grader. After the base course material has been satisfactorily windrowed and before being spread into a uniform layer, the ENGINEER will obtain materials samples from the windrow. If the base course material fails to pass any requirements, as specified in Article 2.1, the base course material shall be removed and replaced with new material, or reprocessed on the grade with added materials, and windrowed with a motor grade for retesting. This process shall continue until the base course material meets the requirements of Article 2.1. All tests, after the initial test at any given location, shall be paid for by the CONTRACTOR.

SECTION 02402 – SANITARY SEWER MANHOLES AND CLEANOUTS, PART 2 – PRODUCTS, Article 2.3, MISCELLANEOUS, *delete Paragraphs E and F and replace with the following:*

- G. Delete the requirement for the flexible annular space filler, as shown on CBJ Standard Detail 209 – Manhole Connection Details, for the Flexible Seal Adapter.
- H. Manhole Grade Ring Adjustment Units:
 - 1. Manhole grade adjustment units shall be Recycled Adjustment Risers, “Infra-RISER,” as manufactured by GNR Technologies, or approved equal.
 - 2. The adjustment riser shall consist of no less than 80% by weight recycled rubber from tires, and no less than 10% by volume shredder fiber. The riser shall meet or exceed the following when tested on units not less than 24 hours old, and not more than 60 days old, and maintained at 23±2°C (73±3°F) for at least 12 hours prior to and during testing.

SPECIAL PROVISIONS

<u>Physical Property</u>	<u>Test Method</u>	<u>Acceptable Results</u>
Density	ASTM C642-90	1.098±0.05g/cm ³
Durometer hardness - molded surface	GNR method based on ASTM D 2240	75A±5 points
Durometer Hardness - interior surface	GNR method based on ASTM D 2240	73A± 5 points
Tensile Strength	ASTM 412-87	1.6 MPa (232 pai) (not < 1 Mpa)
Compression Deformation - initial deformation	GNR method based on ASTM D 575	under 1 MPa (145 psi) 6±2%
Compression Deformation - final deformation	GNR method based or ASTM D 575	under 1 MPa (145 psi) 6±2%
Compression Set	GNR method based On ASTM 395	under 1 MPa (145 psi) 0.4% (=4% max.)
Brittleness at low temperature	ASTM D 746-79	-40° F (-40°C)
Freeze/Thaw when exposed to deicing chemicals	ASTM 672-91	no loss after 50 cycles
Coefficient of thermal Expansion	ASTM C 531-85	1.6 X 10 ⁴ mm/mm/°C (8 X 10 ⁵ in/in/°F)
Weathering 70 hr. @ 70°C - hardness retained - compressive strength retained - tensile strength retained - elongation retained	ASTM D 573-88	100% 100% 100% 100%

3. Each adjustment riser shall be clearly marked on the inside surface with the manufacturer's name and location of the manufacturer.
4. The manufacturing process shall be such that individual units will be consistent in quality and appearance. All rough edges shall be trimmed prior to shipping.
5. The thickness of the adjustment riser shall be within 3 mm of the manufacturer's stated dimensions. All other dimensions shall be within 5 mm.
6. Except for shim or wedge units, the deviation from the plane parallel to the theoretical surface shall not be greater than 1 in 500.

SPECIAL PROVISIONS

SECTION 02402 – SANITARY SEWER MANHOLES AND CLEANOUTS, PART 3 – EXECUTION, Article 3.1, CONSTRUCTION, *delete paragraphs M through R and replace with the following:*

- M. Up to 24-inches-thick washed rock or shot rock shall be placed beneath each manhole to provide a stable base pad.
- N. The CONTRACTOR shall repair all imperfections and leaks disclosed by either visual inspection or testing. The method of repair shall be subject to the ENGINEER's approval.
- O. Manhole Grade Ring Adjustment Units are required for each sanitary sewer manhole, reconstructed sanitary sewer manhole, adjustment of existing manhole to grade.
 - 1. Each manhole shall contain at least one recycled rubber riser, with thickness varying to match frame and cover to finish grade requirements, to form the final surface for installation of the frame.
 - 2. The total height of the rubber adjustment riser shall be a minimum of 1" and a maximum of 3".
 - 3. Concrete and steel surfaces to receive sealing compound shall be clean, dry and free of grease or oils.
 - 4. Adjustment risers shall be bonded to adjacent surfaces by laying a continuous bead, 5/16" thick cold-applied joint sealant compound conforming to ASTM-D 1850 (PL Premium POLYURETHANE Door, Window & Siding Sealant *or* PL Premium POLYURETHANE Concrete & Masonry Sealant, formerly Chemrex CX-22) or equivalent, on the top surface of the concrete course, or the bottom surface of the riser, on a diameter 1" smaller than the outside diameter of the rubber adjustment ring.
 - 5. The adjustment riser shall then be seated firmly in place, ensuring it is centered over the opening. Apply a second continuous strip of sealant to the top surface of adjustment riser, 0.5" from the outside diameter of the rubber adjustment riser or manhole frame.
 - 6. The adjustment riser must form the final surface for the seating of the frame and cover assembly. Concrete adjustment units must not form the final surface for seating the frame.
 - 7. If more than one adjustment riser is required, a continuous bead of sealant shall be applied between each unit in the same manner as in paragraph 4 above. A continuous bead of sealant shall also be placed on the top surface of the concrete course or on the bottom surface of the bottom riser and to the top surface of the top adjustment riser.
 - 8. The frame shall then be set firmly in place, ensuring that it is properly centered over the structure opening, and is firmly contacting the rubber riser through the sealant.
 - 9. Adjustment risers shall have an inside diameter of the concrete structure, and equal to the outside diameter of the concrete structure $\pm 2"$.
- P. Construct an asphalt pavement collar around each manhole frame and cover within the roadway pavement limits that does not conform to CBJ Standard

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Detail 205 depth requirements, or is otherwise unacceptable to the ENGINEER following the paving operations. Sawcut through the total pavement depth following final paving and construct the asphalt pavement collar similar to CBJ Standard Detail 126, except with asphalt pavement, will be permitted. Seal all sawcut grooves with "Polytite Standard," as manufactured by Americhem Intel, or approved equal.

- Q. Manhole riser rings shall be sealed to the top of manhole cone or flattop and to each other with one run of "RAM-NEK" or "RUB-R-NEK" around the inside edge and one run around the outside edge of the riser ring. The units shall be heated and compressed to at least 50% of original thickness of the "RAM-NEK" or "RUB-R-NEK." No grout shall be used to seal the riser rings.

END OF SPECIAL PROVISIONS

SECTION 11176 - SEWAGE PUMP STATION

PART 1 - GENERAL

1.1 THE REQUIREMENT

- A. The CONTRACTOR shall furnish and install heavy-duty submersible non-clog pumps as manufactured by "ITT Flygt" with submersible explosion proof electric motors, wet wells, valve vaults, site work, miscellaneous piping and fittings, pump control panels, level controls, guard posts and all appurtenant WORK, complete and operable, in accordance with the requirements of the Contract Documents and as shown on the plans.
- B. The pump manufacturer shall assume full responsibility for furnishing and the functional operation of the complete pump system including the control panel and level controls in accordance with this section and Division 16. The pump manufacturer shall coordinate the assembly, fabrication and installation of the pumps, control panels, wet wells, valve vaults, hatch lids (location, size, etc.), piping etc. to ensure the completed assembly meets the requirements of the pump manufacturer. All pumps shall be *ITT Flygt* pumps.

1.2 RELATED WORK SPECIFIED ELSEWHERE

- A. Section 01300 CONTRACTOR Submittals
- B. Section 02201 Clearing and Grubbing
- C. Section 02202 Excavation and Embankment
- D. Section 02203 Trenching
- E. Section 02204 Base Course
- F. Section 02401 Sanitary Sewer Pipe
- G. Section 03302 Concrete Structures
- H. Division 16 Electrical

1.3 CONTRACTOR SUBMITTALS

- A. Shop Drawings: Shop Drawings of all pumps shall be submitted to the ENGINEER in accordance with Section 01300, "Contractor Submittals." Shop Drawings shall contain the following information:
 - 1. Pump name, identification number and specification number.
 - 2. Performance curve and pump data.
 - 3. The CONTRACTOR shall require the manufacturer to indicate points on the H/Q curves, and the limits recommended for stable operation between which the pumps may be operated without surge, cavitation and vibration. The stable operating range shall be as wide as possible based on actual hydraulic and mechanical tests.
 - 4. Pump detailed description and specification.
 - 5. Electrical data shall be submitted in accordance with the requirements of Division 16. These submittals shall include catalog cut sheets of all equipment,

SECTION 11176 - SEWAGE PUMP STATION

control and wiring diagrams, an elevation of the proposed Local Control Panel showing panel mounted devices, details of enclosure type, single line diagram of power distribution and current draw of the panel. Provide a list of all terminals to receive inputs or to transmit outputs from the Local Control Panel.

6. Assembly and installation drawings including shaft size, seal, coupling, anchor bolt plan, part nomenclature, material list, outline dimensions and shipping weights.
 7. List any exceptions taken or deviations to the Contract Documents.
- B. Technical Manuals: Prior to start-up the CONTRACTOR shall furnish to the OWNER complete technical manuals for each pump station in accordance with Section 01300, "Contractor Submittals."
- C. Tools: Special tools necessary for maintenance and repair of the pumps and one pressure grease gun for each type of grease required for pumps and motors shall be furnished as a part of the WORK hereunder; such tools shall be suitably stored in metal tool boxes, and identified with the pump station name by means of stainless steel or solid plastic name tags attached to the box.
- D. Spare Parts: The CONTRACTOR shall obtain from the pump manufacturer a list of suggested spare parts of all items of each pump, motor, and drive, subject to wear, such as seals, packing, gaskets, nuts, bolts, washers, wear rings and bearings.
- E. Maintenance: Printed instructions relating to proper maintenance, including lubrication, and parts lists indicating the various parts by name, number, and diagram where necessary, shall be furnished in duplicate with each unit or set of identical units in each pumping station.
- F. Field Procedures: Instructions for field procedures for erection, adjustments, inspection, and testing shall be provided prior to installation of the pumps.

1.4 GUARANTEES, WARRANTIES

- A. The CONTRACTOR shall furnish to the OWNER the manufacturer's written guarantees, that the pumping equipment will operate with the published efficiencies, heads, and flow ranges and meet these specifications. The CONTRACTOR shall also furnish the manufacturer's warranties as published in its literature and as specified.
- B. The CONTRACTOR shall furnish a prorated manufacturer's warranty, in writing, in which the mechanical seals, impeller, pump housing, wear rings, ball bearings, and rotor and stator are guaranteed for 18 months against defects in materials and workmanship and guaranteed on a prorated basis against defects in materials and workmanship for at least 5 years or 10,000 operating hours as contained in the standard manufacturers warranty provided by *ITT Flygt*.

SECTION 11176 - SEWAGE PUMP STATION

1.5 SPARE PARTS

A. Parts to be Furnished: The following spare parts shall be furnished with the pumps:

1. One complete manufacturers basic repair kit for the specified pump.
2. One spare pump and cables meeting the requirements of this section.
3. One spare multitrode level sensor meeting the requirements of this section.

The parts shall be labeled and the label shall contain the name of the part and the pump station for which it was provided.

1.6 FACTORY TESTING AND SHIPMENT

A. The following procedures shall be included with the factory test prior to shipment:

1. Verification of the pump characteristic curves by testing at 1/4, 1/2, 3/4, and full flow and recording the measured head and motor current for each flow.
2. Verification of cavitation-free service and absence of motor overheating during conditions simulating the actual operating conditions after installation, whether submerged or semi-submerged.
3. Verification of the watertightness of each pump seal at a minimum submergence of 65 feet for 30 minutes.
4. All parts shall be properly lubricated and protected so that no damage or deterioration will occur even during a prolonged delay from the time of shipment until installation is completed and the pumps are ready for operation.
5. Finished ferrous surfaces not painted shall be properly protected to prevent rust and corrosion.
6. The finished surfaces of all exposed flanges shall be protected by strong wooden blind flanges.
7. Each pump shall be properly crated to protect the units against damage during shipment.

PART 2 - PRODUCTS

2.1 GENERAL

- A. Wherever it is specified that a single manufacturer shall be responsible for the compatible and successful operation of the various components of any pumping equipment, it shall be understood to mean that the CONTRACTOR shall furnish only such pumping equipment as the designated single manufacturer will certify is suitable for use with its equipment and with the further understanding that this in no way constitutes a waiver of any specified requirements.
- B. All manufactured items provided under this Section shall be new, of current manufacture, and shall be the products of reputable manufacturers specializing in the manufacture of such products; such manufacturers shall have had previous experience in such manufacture and shall, upon request of the ENGINEER, furnish the names of not less than 5 successful installations of its equipment of comparable nature to that offered under this contract.

SECTION 11176 - SEWAGE PUMP STATION

- C. All combinations of manufactured equipment which are provided under these Specifications shall be entirely compatible, and the CONTRACTOR and the designated single manufacturer shall be responsible for the compatible and successful operation of the various components of the units conforming to specified requirements. Each unit of pumping equipment shall incorporate all basic mechanisms, coupling, electric motor or engine drive and unit mounting. All necessary mountings and appurtenances shall be included.
- D. Where 2 or more units of the same type and/or size of pumping equipment are required, such units shall all be produced by the same manufacturer.

2.2 PUMPS

A. General

- 1. The pumps shall be controlled by local control panel as shown on the electrical drawings. The control panel shall comply with the requirements of Division 16.
- 2. Each pump shall be capable of continuous cyclical operation at full load with a water level of 12 inches above the invert of the wet well, without cavitation or overheating of the motor. The maximum expected ambient temperature inside the wet well is 70 degrees F.
- 3. Each pump, with its cable and appurtenances, shall be able to withstand continuous submergence to a minimum depth of 65 feet, when running or off, without leakage.
- 4. Each pump shall be able to operate for short periods at zero static suction head without causing any damage to any part of the unit.
- 5. Each pump shall be capable of handling a 3" spherical solid.

B. Pump Construction. Pump shall be F-series pumps and shall conform to the following requirements:

- 1. Connections: Machined quick disconnect type, for withdrawal of unit from above, without disconnecting pipe. When lowered into place, the pump shall automatically connect and lock into the discharge pipe. The pump discharge shall be fitted with a standard ASA 125 lb. flange, faced and drilled. All external mating parts shall be machined and Buna N Rubber O-ring sealed on a beveled edge. All fasteners exposed to the pumped liquid shall be 300 series stainless steel.
- 2. Pump Design: Single stage, centrifugal type, close-coupled to sealed electric motor, for operation in a wet pit, without external cooling.
- 3. Impeller: The impeller shall be of gray iron class 35B combined with a hardened white-alloy iron cutter plate capable of handling solids, fibrous materials, heavy sludge and other matter found in normal sewage applications. Fibers are caught by the cutter grooves and sheared between the hardened edges of the impeller and the hard cutter plate. Impellers shall be dynamically balanced
- 4. Bearings: Permanently lubricated, heavy-duty axial and radial ball or roller bearings, top and bottom, with a minimum L-10 life of 50,000 hours, at continuous, maximum load and speed, supported by detailed calculations, to be submitted with the shop drawings.
- 5. Seals: Independent tandem mechanical shaft seals, oil lubricated with moisture detector probes, alarm, and test circuits.

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6. Oil Chamber: To supply oil for lubrication and cooling of the shaft seals.
7. Support: Cast duckfoot bend or discharge elbow with machined face, anchored to sump floor. The discharge elbow shall include a hydraulically sealed discharge flange.
8. Cables: Each pump shall be furnished with the necessary cables for power connection, moisture detection, and overload protection, sheathed, coded, and suitable for submersible pumps, and of sufficient length for direct connection to the terminal boxes in the generator building. All cables shall be connected to the pumps and tested at the factory.
9. Lifting Devices: Each pump shall be furnished with galvanized steel guide rails, brackets and stainless steel lifting chain for easy removal of pumps.
10. One pump for the Lawson Creek Lift Station shall be equipped with *Flygt's* Model 4901 Flush Valve. A method of adjusting the valve operating time shall be provided. The valves shall be factory set for approximately 20 seconds open operation. The valve shall be fabricated to bolt directly to the volute of the pump.

C. Materials

1. Pump, volute, oil casing, sliding - cast iron, ASTM A-48, Class 25 bracket, motor frame
2. Impeller - cast iron, ASTM A-48
3. Pump shaft - Type 303 stainless steel
4. Exposed bolts, nuts, washers - Type 316 or 304 stainless steel
5. Mechanical seals - Two mechanical seals mounted in tandem with an oil chamber between the seals. The rotating seal faces shall be carbon and the stationary seal faces shall be ceramic.
6. Wear rings - Alloy 230 brass ASTM B-43, held by 300 series stainless steel fasteners. The wear rings shall be easily replaceable in the field.

D. Lawson Creek Lift Station

- | | | |
|----|---|----------------------|
| 1. | Capacity | 920 gpm |
| 2. | Design Pump head (TDH-ft) | 30' |
| 3. | Liquid to be pumped | Untreated wastewater |
| 4. | Specific gravity of liquid | 1.01 |
| 5. | Liquid temperature | 40 -60 °F |
| 6. | Power supply | 480 v, 3 ø, 60 hz |
| 7. | Minimum horsepower | 15.0 hp |
| 8. | Maximum Pump Speed | 1750 RPM |
| 9. | Flygt Model FP3153 MT 3 Cutter Pump, Impeller 436, 6" Discharge | |

2.3 MOTOR

- A. Approval: The pumping system, including the motor and wiring, shall be approved by a nationally approved testing agency for explosion-proof service. The system shall be rated Class I, Division 1, Group C and D service as determined by the National Electrical Code and approved by a nationally recognized testing agency (UL, FM or equal) at the time of bidding of the project. The CONTRACTOR shall include in his bid a copy of certificate of approval.

SECTION 11176 - SEWAGE PUMP STATION

- B. Insulation: Pump motors shall be designed for cyclical duty in hazardous locations. The stator windings and stator leads shall be moisture-resistant, triple varnished and insulated according to Class F or Class H, capable of withstanding temperature rise of up to 155°C. The allowable temperature rise of the motor at full load condition shall not exceed 80°C.
- C. Stator: The stator, rotor and bearings shall be mounted in a sealed submersible type housing. The stator windings shall have Class F insulation and a dielectric oil filled motor, NEMA B design. Stator shall be securely held in place with a removable end ring and threaded fasteners so they may be easily removed in the field without the use of heat or a press.
- D. Rating: The motor shall be non-overloading throughout the pump curve without employing the service factor. The combined service factor shall be 1.15 or greater.
- E. Junction Box: The motor shall have a junction box capable of being sealed completely from the stator casing to prevent leakage through the junction box into the stator housing should a motor cable be damaged.
- F. Cable Entry: The cable entry water seal design shall be such that it ensures a watertight and submersible seal.
- G. Cooling System: Each pump shall be provided with an adequately designed cooling system so that they may be operated partially or completely submerged in the liquid being pumped.
- H. Motor Protection: Integral thermal sensors in the motors, one for each phase, shall be provided to monitor stator temperatures. These sensors shall be used in conjunction with and supplemented by external motor over-current protection fitted at the control panel. Leak detection shall also be provided for each motor.
- I. Electrical Power Cord: The electrical power cord shall be STW-A, water resistant 600V, 60°C, UL or CSA approved and applied dependent on amperage draw for size.

2.4 CONTROLS

- A. The CONTRACTOR shall provide a complete control system housed in a NEMA 4X enclosure with hinged, gasketed door and all necessary components as shown on the electrical drawings and in accordance with the requirements of Division 16 Electrical.
- B. Operation of the pumps shall be controlled by a duplex pump controller located as shown on the drawings. Duplex pump controllers shall be ITT Flygt's multitrode indicating duplex pump controller, Model MT2PC-3 or current version which shall be mounted in the pump control panel and integrated to provide a complete, reliable, fully functional submersible pump control system as indicated on the electrical drawings and Division 16 of these Specifications.
- C. Wet Well liquid level sensors shall be a multi-sensor conductive liquid level probe specifically designed for use in monitoring liquid levels in untreated sewage pump station wet wells. Level probe shall be standard probes complete with 316 stainless steel mounting bracket, integral probe, cleaning device and multi-strand cable. Probe cable

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shall be of sufficient length to afford a continuous unspliced run between the sensor and the pump control panel. Probe shall have a minimum of 115 inches length and shall have a total of 10 independent sensors spaced at 10 inches on center. Sensors shall be fabricated from high-grade non-corroding stainless steel alloy. Probe casings shall be fabricated from PVC extruded tubing. Sensors shall have a nominal diameter of 1.25". The level probe shall be provided with intrinsically safe barriers. The liquid level sensors shall be ITT Flygt's Multitrode conductive liquid level sensor. The intrinsically safe barrier shall be ITT Flygt's multitrode intrinsically safe barrier Model MTISB-10 or current model.

- D. When the pump HOA switch is placed in the "Hand" position the pump shall run. When the HOA switch is placed in the "Off" position, the pump shall not operate. When the pump is placed in the "Automatic" position, the pump shall start and stop in response to the liquid levels in the wet well and the order of pump start shall be determined by the MT2PC-3 pump controller. The electric pump alternator shall automatically alternate each pump between the lead and lag positions.
- E. Level indicators shall be provided to detect the liquid levels for the following functions:
 - 1. High Water Alarm
 - 2. Lag Pump On
 - 3. Lead Pump On
 - 4. Both Pumps Off
 - 5. Low Level Alarm/Both Pumps Off
- F. Each control panel shall be provided with all panel mounted devices indicated on the electrical drawings.

2.5 WET WELLS, VALVE VAULTS AND APPURTENANCES

- A. Portland cement concrete cast in place shall conform to Sections 03301 - Structural Concrete and 03302 - Concrete Structures.
- B. All precast concrete sections, including flat slab tops for the wet well and valve vault for each pump station, shall comply with the requirements of Section 02402 and with the manhole details as shown on the plans.
- C. Lever and Spring Operated Horizontal Swing Check Valves shall be Clow F-5381 or approved equal.
- D. Mechanical Joint Couplings with Megalugs shall be Clow F-1012 or equal.
- E. Eccentric Plug Valves shall be Clow F-5412 with handwheel operator or approved equal.
- F. Manhole Covers and Frames shall comply with the requirements of Section 02402 Sanitary Sewer Manholes and Cleanouts.
- G. Hatch for the wet well shall have a minimum clear opening of 60" x 48" (or larger if recommended by the pump manufacturer). Hatches for wet wells and settling basins shall be equal to ITT Flygt Safe-hatch rated for a H-20 loading. Hatches shall be designed to comply with OSHA Standard 1910-23 to provide personnel fall-through

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protection and OSHA Standard 1910-146 for controlling access to confined space entry. Hatches shall be fabricated from 6061 T6 aluminum and shall seal airtight in the closed position. Hatch hinges, hinge pin, and hardware shall be 316 stainless steel. Hatch doors shall be outfitted with a combined pneumatic and spring assisted lifting device; these devices shall be fabricated from stainless steel or other appropriate durable corrosion resistant material. Fall through protection shall be provided by 5" by 5" open aperture aluminum grating which shall be fabricated from 6061 T6 aluminum. Two hinged aluminum grate doors shall be provided. Grate doors shall be provided with a permanent hinge system that will lock the grating in the 90 degrees position once opened. Grating shall be painted with OSHA type safety orange paint. Grating doors shall feature a locking device to provide a second level of protection against unauthorized entry to the confined space. The grating system shall allow visual inspection of the pumps and adjustment and cleaning the liquid level sensor without need for personnel to enter the confined space. Hatches shall be "Safe Hatch" as manufactured by ITT Flygt or approved equal.

- H. Air release valves shall be Crispin S20 with 2 to 40 PSIG operating pressure or approved equals.

2.6 MISCELLANEOUS

- A. Bentonite-Cement sealing plaster shall consist of two parts Bentonite, one part Type 3 cement, and one part sand, with sufficient water to obtain workable consistency.
- B. Mortar shall consist of one part Portland cement to two parts clean well graded sand which will pass a No. 4 screen. Admixtures may be used not exceeding the following percentages of weight of cement; hydrated lime, 10%; diatomaceous earth, or other inert material 5%. Consistency of mortar shall be such that it will readily adhere to the surface. Mortar mixed for longer than thirty minutes shall not be used. A non-shrink mortar may be submitted for approval as a substitute.
- C. Grout shall be a non-shrink type complying with ASTM C-1107 and approved by the ENGINEER.
- D. Concrete and reinforcing steel shall comply with the requirements of Sections 03301 - Structural Concrete and 03302 - Concrete Structures.
- E. Bar screens shall be provided as shown on the plans and CBJ standard details. Bar screens shall be fabricated from Aluminum Alloy 6061-T6.
- F. Wetwell ladders shall be polypropylene ladders and shall be provided as shown on the plans for each wetwell.
- G. Lifting chains shall be provided for each pump. Lifting chains shall be a minimum 1/4" chain size and shall be 316 stainless steel.

2.7 PIPE AND FITTINGS

- A. Piping shall be flanged ductile iron in accordance with AWWA C 115/ANSI A21.15 with Class 125 flanges. Thickness shall be Class 53 and pipe shall be cement mortar

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lined in accordance with AWWA C104. Bituminous coating shall be in accordance with AWWA C110.

- B. Fittings shall be flanged, either ductile iron or cast iron, in accordance with AWWA C110. Fittings shall be cement mortar lined in accordance with AWWA C104.
- C. Vent piping shall be 4" diameter galvanized Schedule 40 steel pipe. Fittings may be either threaded or welded.
- E. Air Intake piping shall be PVC SDRR 35 conforming to the requirements of Specification Section 02401 - Sanitary Sewer Pipe.
- D. All nuts and bolts for flanged fittings and rigid grooved type mechanical couplings in wet wells and valve vaults shall be 300 series stainless steel.
- E. Mechanical couplings rigid grooved type shall be Victaulic Style 31 couplings or approved equal and shall comply with the requirements of ANSI/AWWA C-606.

2.9 ELECTRICAL

- A. All electrical equipment shall comply with the requirements of Division 16.

PART 3 - EXECUTION

3.1 GENERAL

- A. The pumps, piping and controls shall be installed in accordance with the manufacturer's instructions and recommendations at the locations shown. Installation shall include furnishing the required oil and grease for initial operation in accordance with the manufacturer's recommendations. Anchor bolts shall be set only after the discharge piping has been properly installed, to ensure exact fit with embedded piping components.
- B. Manholes, piping and appurtenances shall be installed consistent with methods and requirements of the Contract Documents as a whole.
- C. Piping to be installed in accordance with accepted industry standards. Run piping parallel to walls of wet wells and vaults as shown on the plans. Completed installation to present a neat and orderly appearance. Coordinate wall penetrations to ensure placement of piping can be accomplished as shown and specified.
- D. Support piping as shown on the plans. Allow adequate clearance for placement of flange nuts and bolts.
- E. Flange bolts shall be tightened so the gasket is uniformly compressed and sealed. Bolt threads and nut-bearing surfaces shall be lubricated before tightening. Do not distort flanges.
- F. Holes for embedded bolts shall be installed with care so that multiple or oversized holes are not drilled. In the event that holes are not drilled properly in accordance with the manufacturer's recommendation, repairs shall be made with non-shrink grout in a manner that the full integrity of the structure is achieved as intended.

SECTION 11176 - SEWAGE PUMP STATION

3.2 MANHOLES

- A. Installation of manholes for the submersible pumps shall be in accordance with Section 02402, "Manholes and Cleanouts".
- B. Concrete used for pads around the inlet cover shall be as specified in Sections 03302 - Concrete Structures. Base material for the concrete pads shall be D-1. Dimensions of the concrete entrance pads shall be as shown on the Drawings.

3.3 FIELD TESTS OF PUMPS

- A. All pumping units shall be field tested after installation, in accordance with the Contract Documents, to demonstrate satisfactory operation, without causing excessive noise, vibration, cavitation, and overheating of the bearings. The field testing shall be performed in the presence of an experienced field representative of the manufacturer of each major item of equipment, who shall supervise the following tasks and shall certify in writing that the equipment and controls have been properly installed, aligned, lubricated, adjusted, and readied for operation:
 - 1. Start-up, check, and operate the equipment under normal operating conditions.
 - 2. Pump performance shall be documented by obtaining concurrent readings, showing motor voltage, amperage, pump suction head, pump discharge head and pump flow. Each power lead to the motor shall be checked for proper current balance.
 - 3. Electrical and instrumentation testing shall conform to the requirements of Division 16 Electrical.
 - 4. The field testing shall be witnessed by the OWNER or its representative. In the event any of the pumping equipment fails to meet the above test requirements, it shall be modified and retested in accordance with the requirements of these Specifications. The CONTRACTOR shall then certify in writing that the equipment has been satisfactorily tested, and that all final adjustments thereto have been made. Certification shall include date of final acceptance test, as well as a listing of all persons present during tests, and resulting test data. The costs of all WORK performed in this Paragraph by factory-trained representatives shall be borne by the CONTRACTOR. The OWNER will pay for costs of power and water. When available, the OWNER's operating personnel will provide assistance in the field testing.

END OF SECTION

SECTION 16010 ELECTRICAL SCOPE OF WORK

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. The scope of electrical work for this project includes demolishing portions of the existing building and all of the electrical systems as shown on the plans and providing new doors, louvers, and other building components as well as a new electrical systems for the Lawson Creek Lift Station in Juneau, Alaska.
- B. The scope of work includes removing the existing building doors, louvers, and exhaust thimble, and replacing them with new doors, louvers, and exhaust thimble as shown on the plans.
- C. The electrical work includes providing the power, lighting, and pump controls for the Lawson Creek Lift Station. The lift station includes a standby generator, service equipment, automatic transfer switch, portable generator receptacle, load bank and associated controls and equipment, lighting, and pump controls with associated equipment. The generator includes a subbase fuel tank, fuel controls, exhaust system with silencer, thimble, and pipe with insulation, intake louver, exhaust backdraft damper and louver, louver shrouds on the building exterior, batteries, battery charger, and other associated systems.
- D. The electrical work includes the demolition of all electrical associated with the existing wet well and pump systems and new electrical for the new wet well pumps and level sensor.
- E. Replacement and repair of damaged concrete masonry unit (CMU) blocking as shown on the plans.
- F. All work shown on the electrical drawings and included in Division 16 of these specifications shall be provided.

END OF SECTION

SECTION 16050 BASIC ELECTRICAL MATERIALS AND METHODS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes the following electrical materials and methods:
 - 1. Supporting devices for electrical components.
 - 2. Electrical identification.
 - 3. Electrical demolition.
 - 4. Cutting and patching for electrical construction.
 - 5. Touchup painting.

1.3 SUBMITTALS

- A. General: Submit each item in this Article according to the Conditions of Section 01300 CONTRACTOR Submittals.
- B. Provide catalog cut sheets providing product data for each type of product specified. Note specifically what component is being submitted when more than one model or version is shown on the cut sheet. Where there is more than one of each type of component (circuit breaker), label the top of each cut sheet with the specific component that the cut sheet applies to.
- C. Provide Shop Drawings detailing fabrication and installation of supports and anchorage for electrical items. Show all components of a system and how they relate to each other during installation. Include details of mounting brackets, wiring interconnections, single line diagrams, component layout diagrams for enclosures, materials lists for components in enclosures, wiring schematic diagrams with each wire numbered and each terminal numbered for wiring in enclosures.

1.4 QUALITY ASSURANCE

- A. Comply with NFPA 70 for components and installation.
- B. Listing and Labeling: Provide products specified in this Section that are listed and labeled.
 - 1. The Terms "Listed and Labeled": As defined in the National Electrical Code, Article 100.
 - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" (NRTL) as defined in OSHA Regulation 1910.7.

SECTION 16050 BASIC ELECTRICAL MATERIALS AND METHODS

1.5 SEQUENCING AND SCHEDULING

- A. Coordinate electrical equipment installation with other trades.
- B. Arrange for chases, slots, and openings in building structure during progress of construction to allow for electrical installations.
- C. Coordinate installing required supporting devices and set sleeves in poured-in-place concrete and other structural components as they are constructed.
 - 1. Sequence, coordinate, and integrate installing electrical materials and equipment for efficient flow of the WORK.
- D. Coordinate connecting electrical systems with exterior underground utilities and services. Comply with requirements of governing regulations, utility requirements, and controlling agencies.
- E. Coordinate installing electrical identification after completion of finishing where identification is applied to field-finished surfaces.

PART 2 - PRODUCTS

2.1 SUPPORTING DEVICES

- A. Channel and angle support systems, hangers, anchors, sleeves, brackets, fabricated items, and fasteners are designed to provide secure support from the building structure for electrical components.
 - 1. Material: Steel, except as otherwise indicated, protected from corrosion with zinc coating or with treatment of equivalent corrosion resistance using approved alternative finish or inherent material characteristics.
 - 2. Metal Items for Use Outdoors or in Damp Locations: Hot-dip galvanized steel, or type 316L stainless steel, except as otherwise indicated.
- B. Steel channel supports have 9/16-inch (14-mm) diameter holes at a maximum of 8 inches (203 mm) o.c., in at least 1 surface.
 - 1. Fittings and accessories mate and match with channels and are from the same manufacturer.
- C. Nonmetallic Channel and Angle Systems: Structural-grade, factory-formed, fiberglass-resin channels and angles with 9/16-inch (14-mm) diameter holes at a maximum of 8 inches (203 mm) o.c., in at least 1 surface.

SECTION 16050 BASIC ELECTRICAL MATERIALS AND METHODS

1. Fittings and accessories mate and match with channels or angles and are from the same manufacturer.
 2. Fitting and Accessory Material: Same as channels and angles, except metal items may be stainless steel.
- D. Raceway and Cable Supports: Manufactured clevis hangers, riser clamps, straps, threaded C-clamps with retainers, ceiling trapeze hangers, wall brackets, and spring steel clamps or "click"-type hangers.
- E. Sheet-Metal Sleeves: 0.0276-inch (0.7-mm) or heavier galvanized sheet steel, round tube, closed with welded longitudinal joint.
- F. Pipe Sleeves: ASTM A 53, Type E, Grade A, Schedule 40, galvanized steel, plain ends.
- G. Cable Supports for Vertical Conduit: Factory-fabricated assembly consisting of threaded body and insulating wedging plug for nonarmored electrical cables in riser conduits. Plugs have number and size of conductor gripping holes as required to suit individual risers. Body constructed of malleable iron casting with hot-dip galvanized finish.
- H. Expansion Anchors: Red Head, Hilti, or equal. Stainless steel.
- I. Toggle Bolts: All-steel springhead type.
- J. Powder-Driven Threaded Studs: Heat-treated steel.

2.2 ELECTRICAL IDENTIFICATION

- A. Manufacturer's Standard Products: Where more than one type is listed for a specified application, selection is Installer's option, but provide single type for each application category. Use colors prescribed by ANSI A13.1, NFPA 70, and these Specifications.
- B. Raceway and Cable Labels: Conform to ANSI A13.1, Table 3, for minimum size of letters for legend and minimum length of color field for each raceway or cable size.
1. Type: Preprinted, flexible, self-adhesive, vinyl. Legend is overlaminated with a clear, weather- and chemical-resistant coating.
 2. Color: Black legend on orange field.
 3. Legend: Indicates voltage.
- C. Colored Adhesive Marking Tape for Raceways, Wires, and Cables: Self-adhesive vinyl tape not less than 3 mils thick by 1 inch wide (0.08 mm thick by 25 mm wide).
- D. Underground Line Warning Tape: Permanent, detectable, bright-colored, continuous-printed, vinyl tape with the following features:
1. Size: Not less than 4 mils thick by 6 inches wide (0.102 mm thick by 152 mm wide).
 - a. Compounded for permanent direct-burial service.

SECTION 16050 BASIC ELECTRICAL MATERIALS AND METHODS

- b. Not less than 6 inches wide by 4 mils thick.
 - c. Embedded continuous metallic strip or core (detectable)
 - d. Printed legend that indicates type of underground line.
- E. Tape Markers: Vinyl or vinyl-cloth, self-adhesive, wraparound type with preprinted numbers and letters.
- F. Color-Coding Cable Ties: Type 6/6 nylon, self-locking type. Colors to suit coding scheme.
- G. Engraved, Plastic-Laminated Labels, Signs, and Instruction Plates: Engraving stock, melamine plastic laminate punched for mechanical fasteners 1/16-inch (1.6-mm) minimum thick for signs up to 20 sq. in. (129 sq. cm), 1/8 inch (3.2 mm) thick for larger sizes. Engraved legend in black letters on white face.
- H. Fasteners for Plastic-Laminated and Metal Signs: Self-tapping stainless-steel screws or No. 10/32 stainless-steel machine screws with nuts and flat and lock washers.

2.3 TOUCHUP PAINT

- A. For Equipment: Provided by equipment manufacturer and selected to match equipment finish.
- B. For Nonequipment Surfaces: Matching type and color of undamaged, existing adjacent finish.
- C. For Galvanized Surfaces: Zinc-rich paint recommended by item manufacturer.

PART 3 - EXECUTION

3.1 EQUIPMENT INSTALLATION REQUIREMENTS

- A. Install components and equipment to provide the maximum possible headroom where mounting heights or other location criteria are not indicated.
- B. Install items level, plumb, and parallel and perpendicular to other building systems and components, except where otherwise indicated.
- C. Install equipment to facilitate service, maintenance, and repair or replacement of components. Connect for ease of disconnecting, with minimum interference with other installations.
- D. Give right of way to raceways and piping systems installed at a required slope.

SECTION 16050 BASIC ELECTRICAL MATERIALS AND METHODS

3.2 ELECTRICAL SUPPORTING METHODS

- A. Damp Locations and Outdoors: Hot-dip galvanized materials or nonmetallic, U-channel system components. Consider the exterior of the building a damp location.
- B. Support Clamps for PVC Raceways: Click-type clamp system.
- C. Conform to manufacturer's recommendations for selecting supports.
- D. Strength of Supports: Adequate to carry all present and future loads, times a safety factor of at least 4; 200-lb- (90-kg-) minimum design load.

3.3 INSTALLATION

- A. Install devices to securely and permanently fasten and support electrical components.
- B. Raceway Supports: Comply with NFPA 70 and the following requirements:
 - 1. Conform to manufacturer's recommendations for selecting and installing supports.
 - 2. Install individual and multiple raceway hangers and riser clamps to support raceways. Provide U bolts, clamps, attachments, and other hardware necessary for hanger assembly and for securing hanger rods and conduits.
 - 3. Support parallel runs of horizontal raceways together on trapeze- or bracket-type hangers.
 - 4. Spare Capacity: Size supports for multiple conduits so capacity can be increased by a 25 percent minimum in the future.
 - 5. Support individual horizontal raceways with separate, malleable iron pipe hangers or clamps.
 - 6. Hanger Rods: 1/4-inch (6-mm) diameter or larger threaded steel, except as otherwise indicated.
 - 7. Spring Steel Fasteners: Specifically designed for supporting single conduits or tubing. May be used in lieu of malleable iron hangers for 1-1/2-inch (38-mm) and smaller raceways serving lighting and receptacle branch circuits above suspended ceilings and for fastening raceways to channel and slotted angle supports.
 - 8. In vertical runs, arrange support so the load produced by the weight of the raceway and the enclosed conductors is carried entirely by the conduit supports, with no weight load on raceway terminals.
- C. Vertical Conductor Supports: Install simultaneously with conductors.
- D. Miscellaneous Supports: Install metal channel racks for mounting cabinets, panelboards, disconnects, control enclosures, pull boxes, junction boxes, transformers, and other devices except where components are mounted directly to structural features of adequate strength.

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- E. Sleeves: Install for cable and raceway penetrations of concrete slabs and walls, except where core-drilled holes are used. Install for cable and raceway penetrations of masonry and fire-rated gypsum walls and of all other fire-rated floor and wall assemblies. Install sleeves during erection of concrete and masonry walls.

- F. Fastening: Unless otherwise indicated, securely fasten electrical items and their supporting hardware to the building structure. Perform fastening according to the following:
 - 1. Fasten by means of wood screws or screw-type nails on wood; toggle bolts on hollow masonry units; concrete inserts or expansion bolts on concrete or solid masonry; and by machine screws, welded threaded studs, or spring-tension clamps on steel.
 - 2. Threaded studs driven by a powder charge and provided with lock washers and nuts may be used instead of expansion bolts, machine screws, or wood screws.
 - 3. Drill holes in concrete beams so holes more than 1-1/2 inches (38 mm) deep do not cut main reinforcing bars.
 - 4. Drill holes in concrete so holes more than 3/4 inch (19 mm) deep do not cut main reinforcing bars.
 - 5. Fill and seal holes drilled in concrete and not used.
 - 6. Select fasteners so the load applied to any fastener does not exceed 25 percent of the proof-test load.

- G. Install identification devices where required.
 - 1. Install labels where indicated and at locations for best convenience of viewing without interference with operation and maintenance of equipment.
 - 2. Coordinate names, abbreviations, colors, and other designations used for electrical identification with corresponding designations indicated on the contract documents or required by codes and standards. Use consistent designations throughout the Project.
 - 3. Self-Adhesive Identification Products: Clean surfaces of dust, loose material, and oily films before applying.
 - 4. Tag or label power circuits for future connection and circuits in raceways and enclosures with other circuits. Identify source and circuit numbers in each cabinet, pull box, junction box, and outlet box. Color coding may be used for voltage and phase indication.
 - 5. Identify Paths of Underground Electrical Lines: During trench backfilling, for exterior underground power, control, signal, and communication lines, install continuous, detectable underground plastic line marker located directly above power and communication lines. Locate 6 to 8 inches (150 to 200 mm) below finished grade. Where multiple lines installed in a common trench or concrete envelope do not exceed an overall width of 16 inches (400 mm), use a single line marker.
 - 6. For panelboards, provide framed, typed circuit schedules with explicit description and identification of items controlled by each individual breaker.

SECTION 16050 BASIC ELECTRICAL MATERIALS AND METHODS

- H. Store all material and equipment in a dry, heated area until it is installed. Keep all material dry and if it has printed circuit boards or any other electronic components, keep it in a dry heated location after it is installed.

3.4 DEMOLITION

- A. Where electrical WORK to remain is damaged or disturbed in the course of the WORK, remove damaged portions and install new products of equal capacity, quality, and functionality.
- B. Keep all existing electrical systems on the project site fully operational during the course of the WORK

3.5 CUTTING AND PATCHING

- A. Cut, channel, chase, and drill floors, walls, partitions, ceilings, and other surfaces necessary for electrical installations. Perform cutting by skilled mechanics of the trades involved.
- B. Repair disturbed surfaces to match adjacent undisturbed surfaces.

3.6 TOUCH-UP PAINTING

- A. Thoroughly clean damaged areas and provide primer, intermediate, and finish coats to suit the degree of damage at each location.
- B. Follow paint manufacturer's written instructions for surface preparation and for timing and application of successive coats.

END OF SECTION

SECTION 16120 CONDUCTORS AND CABLES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes building wires and cables and associated connectors, splices, and terminations for wiring systems rated 600 V and less.

1.3 SUBMITTALS

- A. Catalog cut sheets for all products used.

1.4 QUALITY ASSURANCE

- A. Listing and Labeling: Provide wires and cables specified in this Section that are listed and labeled.
 - 1. The Terms "Listed" and "Labeled": As defined in NFPA 70, Article 100.
 - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" as defined in OSHA Regulation 1910.7.
- B. Comply with NFPA 70.

1.5 DELIVERY, STORAGE, AND HANDLING

- A. Deliver wires and cables according to NEMA WC 26.

1.6 COORDINATION

- A. Coordinate layout and installation of cables with other installations.
- B. Revise locations and elevations from those indicated, as required to suit field conditions and as approved by ENGINEER.

SECTION 16120 CONDUCTORS AND CABLES

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the WORK include, but are not limited to, the following:
1. Wires and Cables:
 - a. American Insulated Wire Corp.; Leviton Manufacturing Co.
 - b. Carol Cable Co., Inc.
 - c. Southwire Company.
 2. Connectors for Wires and Cables:
 - a. AMP Incorporated.
 - b. General Signal; O-Z/Gedney Unit.
 - c. Monogram Co.; AFC.
 - d. Square D Co.; Anderson.
 - e. 3M Company; Electrical Products Division.

2.2 BUILDING WIRES AND CABLES

- A. UL-listed building wires and cables with conductor material, insulation type, cable construction, and rating as specified in Part 3 "Wire and Insulation Applications" Article.
- B. Rubber Insulation Material: Comply with NEMA WC 3.
- C. Thermoplastic Insulation Material: Comply with NEMA WC 5.
- D. Cross-Linked Polyethylene Insulation Material: Comply with NEMA WC 7.
- E. Ethylene Propylene Rubber Insulation Material: Comply with NEMA WC 8.
- F. Conductor Material: Copper.
- G. Stranding: Solid conductor for No. 10 AWG and smaller; stranded conductor for larger than No. 10 AWG. Provide stranded conductors on all equipment subject to vibration including circuits between the generator, load bank, and fixed equipment.
- H. Fiber Optic Cable: Multi-mode (62.5/125 μ m) as shown on the drawings. See Section 16140 – Wiring Devices for fiber converters.

SECTION 16120 CONDUCTORS AND CABLES

2.3 CONNECTORS AND SPLICES

- A. UL-listed, factory-fabricated wiring connectors of size, ampacity rating, material, type, and class for application and service indicated. Comply with Project's installation requirements and as specified in Part 3 "Wire and Insulation Applications" Article.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine raceways and building finishes to receive wires and cables for compliance with requirements for installation tolerances and other conditions affecting performance of wires and cables. Do not proceed with installation until unsatisfactory conditions have been corrected.

3.2 WIRE AND INSULATION APPLICATIONS

- A. Underground: Type RHW or XHHW, in raceway.
- B. Feeders: Type THWN, XHHW, in raceway.
- C. Branch Circuits: Type THWN, XHHW, in raceway.
- D. Class 1 Control Circuits: Type THWN, XHHW, in raceway.
- E. Class 2 Control Circuits: Type THWN, XHHW, in raceway.

3.3 INSTALLATION

- A. Install wires and cables as indicated, according to manufacturer's written instructions and NECA's "Standard of Installation."
- B. Pull Conductors: Use manufacturer-approved pulling compound or lubricant where necessary; compound used must not deteriorate conductor or insulation. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- C. Use pulling means, including fish tape, cable, rope, and basket-weave wire/cable grips, that will not damage cables or raceway.
- D. Support cables according to Division 16 Section 16050 - Basic Electrical Materials And Methods.
- E. Identify wires and cables according to Division 16 Section 16050 - Basic Electrical Materials And Methods.

SECTION 16120 CONDUCTORS AND CABLES

3.4 CONNECTIONS

- A. Conductor Splices: Keep to minimum. In underground circuits, the only splices shall be in the electrical service equipment, panels, or wall mounted enclosures.
- B. Install splices and taps that possess equivalent or better mechanical strength and insulation ratings than conductors being spliced.
- C. Use splice and tap connectors compatible with conductor material.
- D. Wiring at Outlets: Install conductors at each outlet, with at least 12 inches (300 mm) of slack.
- E. Connect outlets and components to wiring and to ground as indicated and instructed by manufacturer.
- F. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

3.5 FIELD QUALITY CONTROL

- A. Testing: On installation of wires and cables and before electrical circuitry has been energized, demonstrate product capability and compliance with requirements.
 - 1. Procedures: Perform each visual and mechanical inspection and electrical test stated in NETA ATS, Section 7.3.1. Certify compliance with test parameters.
- B. Correct malfunctioning conductors and cables at Project site, where possible, and retest to demonstrate compliance; otherwise, remove and replace with new units and retest.

END OF SECTION

SECTION 16130 RACEWAYS AND BOXES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes raceways, fittings, boxes, enclosures, and cabinets for electrical wiring.

- 1. Raceways include the following:

- a. RMC.
 - b. IMC.
 - c. EMT.
 - d. FMC.
 - e. LFNC.
 - f. RNC.

- 2. Boxes, enclosures, and cabinets include the following:

- a. Device boxes.
 - b. Outlet boxes.
 - c. Pull and junction boxes.
 - d. Cabinets and hinged-cover enclosures.

- B. Related Sections include the following:

- 1. Section 16050 Basic Electrical Materials and Methods for raceways and box supports.
 - 2. Section 16140 Wiring Devices for devices installed in boxes and for floor-box service fittings.

1.3 DEFINITIONS

- A. EMT: Electrical metallic tubing.
- B. FMC: Flexible metal conduit.
- C. IMC: Intermediate metal conduit.
- D. LFNC: Liquidtight flexible nonmetallic conduit.
- E. RMC: Rigid metal conduit.
- F. RNC: Rigid nonmetallic conduit.

SECTION 16130 RACEWAYS AND BOXES

1.4 SUBMITTALS

- A. Product Data: For raceways and fittings, boxes, hinged-cover enclosures, and cabinets.

1.5 QUALITY ASSURANCE

- A. Listing and Labeling: Provide raceways and boxes specified in this Section that are listed and labeled.
 - 1. The Terms "Listed" and "Labeled": As defined in NFPA 70, Article 100.
 - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" as defined in OSHA Regulation 1910.7.
- B. Comply with NECA's "Standard of Installation."
- C. Comply with NFPA 70.

1.6 COORDINATION

- A. Coordinate layout and installation of raceways and boxes with other construction elements to ensure adequate headroom, working clearance, and access.

PART 2 - PRODUCTS

2.1 MANUFACTURER

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the WORK include, but are not limited to, the following:
 - 1. Metal Conduit and Tubing:
 - a. Carol Cable Co., Inc.
 - b. Grinnell Co.; Allied Tube and Conduit Div.
 - c. Monogram Co.; AFC.
 - d. Triangle PWC, Inc.
 - 2. Nonmetallic Conduit and Tubing:
 - a. Duraline.
 - 3. Conduit Bodies and Fittings:
 - a. American Electric; Construction Materials Group.
 - b. Crouse-Hinds; Div. of Cooper Industries.
 - c. Emerson Electric Co.; Appleton Electric Co.

SECTION 16130 RACEWAYS AND BOXES

- d. Hubbell, Inc.; Killark Electric Manufacturing Co.
- e. Lamson & Sessions; Carlon Electrical Products.
- f. O-Z/Gedney; Unit of General Signal.
- g. ETCO Speciality Products, Inc.

4. Boxes, Enclosures, and Cabinets:

- a. Butler Manufacturing Co.; Walker Division.
- b. Crouse-Hinds; Div. of Cooper Industries.
- c. Hoffman Engineering Co.; Federal-Hoffman, Inc.
- d. O-Z/Gedney; Unit of General Signal.
- e. Robroy Industries, Inc.; Electrical Division.
- f. Thomas & Betts Corp.

2.2 METAL CONDUIT AND TUBING

- A. Rigid Steel Conduit: ANSI C80.1.
- B. IMC: ANSI C80.6.
- C. EMT and Fittings: ANSI C80.3.
 - 1. Fittings: Set-screw or compression type.
- D. FMC: Zinc-coated steel.
- E. Fittings: NEMA FB 1; compatible with conduit/tubing materials.

2.3 NONMETALLIC CONDUIT AND TUBING

- A. RNC: Schedule 40 PVC per NEMA TC 2.
- B. RNC Fittings: Use rigid steel elbows when using PVC conduit.

2.4 OUTLET AND DEVICE BOXES

- A. Sheet Metal Boxes: NEMA OS 1.
- B. Cast-Metal Boxes: NEMA FB 1, Type FD, cast box with gasketed cover.
- C. Nonmetallic Boxes: NEMA OS 2.

2.5 PULL AND JUNCTION BOXES

- A. Small Sheet Metal Boxes: NEMA OS 1.
- B. Cast-Metal Boxes: NEMA FB 1, malleable iron with gasketed cover.

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- C. All boxes installed outside of the building interior shall be hot-dipped galvanized cast steel boxes.

2.6 ENCLOSURES AND CABINETS

- A. Hinged-Cover Enclosures: NEMA 250, Type 1, with continuous hinge cover and flush latch.
 - 1. Metal Enclosures: Steel, finished inside and out with manufacturer's standard enamel.
- B. Exterior: NEMA 4X. All enclosures and cabinets mounted outside shall be NEMA 4X stainless steel, unless otherwise noted. Meter/Disconnect may be NEMA 3R stainless steel.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine surfaces to receive raceways, boxes, enclosures, and cabinets for compliance with installation tolerances and other conditions affecting performance of raceway installation. Do not proceed with installation until unsatisfactory conditions have been corrected.

3.2 WIRING METHODS

- A. Outdoors: Use the following wiring methods:
 - 1. Exposed: Rigid steel.
 - 2. Underground, Single Run: Rigid Steel.
 - 3. Boxes and Enclosures: NEMA 250, Type 1, except as follows:
 - a. Damp or Wet Locations: NEMA 250, Type 4X, stainless steel or hot-dipped galvanized steel (boxes only). All enclosures shall be stainless steel. Any box larger than 4"x4"x2" shall be considered to be an enclosure.

3.3 INSTALLATION

- A. Install raceways, boxes, enclosures, and cabinets as indicated, according to manufacturer's written instructions.
- B. Complete raceway installation before starting conductor installation.
- C. Support raceways as specified in Division 16 Section 16050 Basic Electrical Material And Methods.

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- D. Use temporary closures to prevent foreign matter from entering raceways.
- E. Protect stub-ups from damage where conduits rise through slabs. Arrange so curved portion of bends is not visible above the finished slab.
- F. Make bends and offsets so ID is not reduced. Keep legs of bends in the same plane and straight legs of offsets parallel, unless otherwise indicated.
- G. Use raceway fittings compatible with raceways and suitable for use and location. For intermediate steel conduit, use threaded rigid steel conduit fittings, unless otherwise indicated.
- H. Install exposed raceways parallel to or at right angles to nearby surfaces or structural members, and follow the surface contours as much as practical.
 - 1. Run parallel or banked raceways together, on common supports where practical.
 - 2. Make bends in parallel or banked runs from same centerline to make bends parallel. Use factory elbows only where elbows can be installed parallel; otherwise, provide field bends for parallel raceways.
- I. Join raceways with fittings designed and approved for the purpose and make joints tight.
 - 1. Make raceway terminations tight. Use bonding bushings or wedges at connections subject to vibration. Use bonding jumpers where joints cannot be made tight.
 - 2. Use insulating bushings to protect conductors.
- J. Tighten set screws of threadless fittings with suitable tools.
- K. Terminations: Where raceways are terminated with locknuts and bushings, align raceways to enter squarely and install locknuts with dished part against the box. Where terminations are not secure with 1 locknut, use 2 locknuts: 1 inside and 1 outside the box.
- L. Where raceways are terminated with threaded hubs, screw raceways or fittings tightly into the hub so the end bears against the wire protection shoulder. Where chase nipples are used, align raceways so the coupling is square to the box and tighten the chase nipple so no threads are exposed.
- M. Install pull wires in empty raceways. Use No. 14 AWG zinc-coated steel or monofilament plastic line with not less than 200-lb (90-kg) tensile strength. Leave at least 12 inches (300 mm) of slack at each end of the pull wire.
- N. All raceways shall be offset to enter enclosures, panelboards, wireways, boxes, etc. perpendicular to the surface. All raceways shall exit from concrete slabs perpendicular to the slab. No slight angles shall be allowed.

SECTION 16130 RACEWAYS AND BOXES

- O. Route conduit between devices inside generator building under the slab where possible. Conduit may be surface mounted inside generator building. Devices may be surface mounted.

3.4 PROTECTION

- A. Provide final protection and maintain conditions, in a manner acceptable to manufacturer and Installer, that ensure coatings, finishes, and cabinets are without damage or deterioration at the time of Substantial Completion.
 - 1. Repair damage to galvanized finishes with zinc-rich paint recommended by manufacturer.
 - 2. Repair damage to paint finishes with matching touchup coating recommended by manufacturer.

3.5 CLEANING

- A. On completion of installation, including outlet fittings and devices, inspect exposed finish. Remove burrs, dirt, and construction debris and repair damaged finish, including chips, scratches, and abrasions.

END OF SECTION

SECTION 16140 WIRING DEVICES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes receptacles, connectors, switches, and finish plates.

1.3 SUBMITTALS

- A. Product Data: For each product specified.

1.4 QUALITY ASSURANCE

- A. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction.
- B. Comply with NEMA WD 1.
- C. Comply with NFPA 70.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the WORK include, but are not limited to, the following:
 - 1. Wiring Devices:
 - a. Bryant Electric, Inc.
 - b. GE Company; GE Wiring Devices.
 - c. Hubbell, Inc.; Wiring Devices Div.
 - d. Leviton Manufacturing Co., Inc.
 - e. Pass & Seymour/Legrand; Wiring Devices Div.

SECTION 16140 WIRING DEVICES

2.2 RECEPTACLES

- A. Straight-Blade and Locking Receptacles: Heavy-Duty grade. 120 volt, 20 amp rated, min.

2.3 SWITCHES

- A. Snap Switches: Heavy-duty, quiet type. 120 volt, 20 amp rated, min.

2.4 WALL PLATES

- A. Single and combination types match corresponding wiring devices.
 - 1. Galvanized steel.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install devices and assemblies plumb and secure.
- B. Install wall plates when painting is complete.
- C. Arrangement of Devices: Unless otherwise indicated, mount flush, with long dimension vertical, and grounding terminal of receptacles on top. Group adjacent switches under single, multigang wall plates.
- D. Protect devices and assemblies during painting.

3.2 IDENTIFICATION

- A. Comply with Section 16050 Basic Electrical Material and Methods.
 - 1. Switches: Where three or more switches are ganged, and elsewhere as indicated, identify each switch with approved legend engraved on wall plate.
 - 2. Receptacles: Identify panelboard and circuit number from which served. Use machine-printed, pressure-sensitive, abrasion-resistant label tape on face of plate and durable wire markers or tags within outlet boxes.

SECTION 16140 WIRING DEVICES

3.3 CONNECTIONS

- A. Connect wiring device grounding terminal to branch-circuit equipment grounding conductor.
- B. Tighten electrical connectors and terminals according to manufacturers published torque-tightening values. If manufacturers torque values are not indicated, use those specified in UL 486A and UL 486B.

3.4 FIELD QUALITY CONTROL

- A. Test wiring devices for proper polarity and ground continuity. Operate each device at least six times.
- B. Replace damaged or defective components.

3.5 CLEANING

- A. Internally clean devices, device outlet boxes, and enclosures. Replace stained or improperly painted wall plates or devices.

END OF SECTION

SECTION 16231 PACKAGED ENGINE GENERATORS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and applicable sections of the Specifications apply to this Section.

1.2 SUMMARY

- A. This Section includes packaged diesel-engine generator sets with the following features and accessories:
 - 1. Battery charger.
 - 2. Engine generator set.
 - 3. Muffler.
 - 4. Starting battery.
 - 5. Internal silencer system.
 - 6. Exterior Enclosure.
 - 7. Sub base fuel tank.
 - 8. Jacket water heater.

The generator set shall be a “turn key” item assembled by one manufacturer complete with exterior enclosure and trailer and all additional equipment and requirements defined in the Plans and Specifications. The contractor shall install a separate panel fed from the building in the generator enclosure. Circuits shall be provided for the water jacket heater, other glow plugs, alternator strip heater, control panel heater and cold weather starting aids, a min. of (2) 20 amp, 120V, industrial grade GFI receptacles maintenance, and battery charger. The panel and all circuiting shall be installed inside the enclosure. All wiring shall be in conduit and devices shall be fed from junction boxes. The space shall be considered a damp location.

- B. Related Sections include the following:
 - 1. Division 16 Section 16415 "Transfer Switches" for transfer switches, including sensors and relays to initiate automatic-starting and -stopping signals for engine generator sets.

1.3 DEFINITIONS

- A. Standby Rating: Power output rating equal to the power the generator set delivers continuously under loading as shown on the drawings.
- B. Operational Bandwidth: The total variation from the lowest to highest value of a parameter over the range of conditions indicated, expressed as a percentage of the nominal value of the parameter.

SECTION 16231 PACKAGED ENGINE GENERATORS

- C. Steady-State Voltage Modulation: The uniform cyclical variation of voltage within the operational bandwidth, expressed in Hertz or cycles per second.

1.4 SUBMITTALS

The power system has been designed to the specified manufacturer's electrical and physical characteristics. The equipment sizing, spacing, amounts, electrical wiring, ventilation equipment, fuel and exhaust components have all been sized and designed around the specified manufacturer. The specified manufacturer is Cummins Onan Corporation. Should any substitutions be made, the CONTRACTOR shall bear responsibility for the installation, coordination, and operation of the system as well as any engineering and redesign costs, which may result from such substitutions. Provide the following information on the packaged engine generator chosen:

- A. Product Data: Include data on features, components, ratings, and performance. Include the following:
 - 1. Dimensioned outline plan and elevation Drawings of engine generator set and other components specified.
 - 2. Thermal damage curve for generator.
 - 3. Time-current characteristic curves for generator protective device.
 - 4. Weights of all equipment and components.
 - 5. Concrete pad recommendation, layout and stub-up locations of electrical and fuel systems.
- B. Shop Drawings: Indicate fabrication details, dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
 - 1. Design Calculations: Signed and sealed by a qualified professional engineer registered in Alaska. Calculate requirements for selecting vibration isolators and seismic restraints and for designing vibration isolation bases.
 - 2. Vibration Isolation Base Details: Signed and sealed by a qualified professional engineer. Detail fabrication, including anchorages and attachments to structure and to supported equipment. Include base weights.
 - 3. Wiring Diagrams: Detail wiring for power and control connections and differentiate between factory-installed and field-installed wiring.
- C. Qualification Data: For firms and persons specified in "Quality Assurance" Article.
- D. Field Test and Observation Reports: Indicate and interpret test results and inspection records relative to compliance with performance requirements.
- E. Certified summary of prototype-unit test report.
- F. Certified Test Reports: For components and accessories that are equivalent, but not identical, to those tested on prototype unit.

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- G. Certified Summary of Performance Tests: Demonstrate compliance with specified requirement to meet performance criteria for sensitive loads.
- H. Factory Test Reports: For units to be shipped for this Project, showing evidence of compliance with specified requirements.
- I. Exhaust Emissions Test Report: To show compliance with applicable regulations.
- J. Sound measurement test report.
- K. Certification of Torsional Vibration Compatibility: Comply with NFPA 110.
- L. Field test report of tests specified in Part 3.
- M. Catalog cut sheets for all auxiliary components such as battery charger, control panel, exterior enclosure, etc.
- N. Maintenance Data: For each packaged engine generator and accessories to include in maintenance manuals specified in Division 1. Include the following:
 - 1. List of tools and replacement items recommended to be stored at the Project for ready access. Include part and drawing numbers, current unit prices, and source of supply.
 - 2. Detail operating instructions for both normal and abnormal conditions.
- O. Manufacturer's and dealer's written warranty.

QUALITY ASSURANCE

- A. Manufacturer Qualifications: Maintain a service center capable of emergency maintenance and repairs at the Project with eight hours' maximum response time. Manufacturer shall be ISO9001 certified.
- B. Dealer Qualifications: The dealer shall maintain qualified factory trained service personnel.
- C. Testing Agency Qualifications: Testing agency as defined by OSHA in 29 CFR 1910.7 or a member company of the International Electrical Testing Association and that is acceptable to authorities having jurisdiction.
- D. Source Limitations: Obtain packaged engine generator and auxiliary components specified in this Section and the automatic transfer switch in specified in Section 16415 through one source from a single manufacturer.
- E. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction.

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- F. Comply with NFPA 70.
- G. Engine Exhaust Emissions: Comply with applicable state and local government requirements.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Deliver engine generator set and system components to their final locations in protective wrappings, containers, and other protection that will exclude dirt and moisture and prevent damage from construction operations. Remove protection only after equipment is safe from such hazards. Keep generator in a dry, heated environment until it is accepted by the OWNER.

1.7 WARRANTY

- A. General Warranty: Special warranty specified in this Article shall not deprive OWNER of other rights OWNER may have under other provisions of the Contract Documents and shall be in addition to, and run concurrent with, other warranties made by CONTRACTOR under requirements of the Contract Documents.
- B. Special Warranty: Written warranty, executed by manufacturer agreeing to repair or replace packaged engine generator and auxiliary components that fail in materials or workmanship within specified warranty period.

1. Warranty Period: Five years from date of Substantial Completion.

1.8 MAINTENANCE SERVICE

- A. Maintenance: At Substantial Completion, begin 12 months' full maintenance by skilled employees of the manufacturer's designated service organization. Include quarterly exercising to check for proper, starting and running under load (plug genset into a lift station as selected by the OWNER). Include routine preventive maintenance as recommended by manufacturer and adjusting as required for proper operation. Maintenance agreements shall include parts and supplies as used in the manufacture and installation of original equipment, as well as generator parts and accessories, filters, recommended fluids, necessary labor, and travel expenses.

1.9 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.

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1. Fuses: One for every ten of each type and rating, but not less than one of each.
2. Circuit Breakers: One for every ten of each type and rating, but not less than six of each type and rating.
3. Filters: One set each of lubricating oil, fuel, and combustion-air filters.
4. Two copies of the operations & maintenance (O&M) manuals for the generator and all accessories.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the WORK include, but are not limited to, the following:
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 1. Cummins Onan Corp; Industrial Business Group.
 2. Kohler Co; Generator Division.
 3. Caterpillar, Inc.; Engine Div.

2.2 ENGINE GENERATOR SET

- A. Furnish a coordinated assembly of compatible components.
- B. Output Connections: Selector switch as shown on drawings.
- C. Safety Standard: Comply with ASME B15.1.
- D. Nameplates: Each major system component is equipped with a conspicuous nameplate of component manufacturer. Nameplate identifies manufacturer of origin and address, and model and serial number of item.
- E. Resistance to Seismic Forces: Supports for internal and external components, and fastenings for batteries, wiring, and piping are designed and constructed to withstand static or anticipated seismic forces, or both, in any direction as well as forces due to driving on the highway. For each item, use a minimum force value equal to weight of item.
- F. Limiting dimensions indicated for system components are not exceeded.
- G. Power Output Ratings: Nominal ratings as indicated, with capacity as required to operate as a unit as evidenced by records of prototype testing.

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- H. Skid: Adequate strength and rigidity to maintain alignment of mounted components without depending on a concrete foundation. Skid is free from sharp edges and corners. Lifting attachments are arranged to facilitate lifting with slings without damaging any components.
- I. Rigging Diagram: Inscribed on a metal plate permanently attached to skid. Diagram indicates location and lifting capacity of each lifting attachment and location of center of gravity.

2.3 GENERATOR-SET PERFORMANCE

- A. Steady-State Voltage Operational Bandwidth: 4 percent of rated output voltage from no load to full load.
- B. Steady-State Voltage Modulation Frequency: Less than 1 Hz.
- C. Transient Voltage Performance: Not more than 10 percent variation for 50 percent step-load increase or decrease. Voltage recovers to remain within the steady-state operating band within three seconds.
- D. Steady-State Frequency Operational Bandwidth: 0.5 percent of rated frequency from no load to full load.
- E. Steady-State Frequency Stability: When system is operating at any constant load within rated load, there are no random speed variations outside the steady-state operational band and no hunting or surging of speed.
- F. Transient Frequency Performance: Less than 5 percent variation for a 50 percent step-load increase or decrease. Frequency recovers to remain within the steady-state operating band within five seconds.
- G. Output Waveform: At no load, harmonic content measured line to line or line to neutral does not exceed 5 percent total and 3 percent for single harmonics. The telephone influence factor, determined according to NEMA MG 1, shall not exceed 50.
- H. Sustained Short-Circuit Current: For a three-phase, bolted short circuit at system output terminals, the system will supply a minimum of 250 percent of rated full-load current for not less than 10 seconds and then clear the fault automatically, without damage to any generator system component.
- I. Start Time: Comply with NFPA 110, Type 10, system requirements.
- J. The generator shall be standby duty rated at 150 kW, 360 kVA, 0.8 power factor, multiple voltages as shown on drawing, 60 Hertz, including radiator fan and all parasitic loads. Standby rating shall be defined by the following:
 - 1. Typical Load Factor = As shown on drawing

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2. Typical Hours Per Year = 200 hours
3. Maximum Expected Usage = 500 hours/year
4. Typical Peak Demand = As shown on drawing.

2.4 SERVICE CONDITIONS

- A. Environmental Conditions: Engine generator system withstands the following environmental conditions without mechanical or electrical damage or degradation of performance capability:
1. Ambient Temperature: -40 to +70 deg C.
 2. Relative Humidity: 0 to 95 percent, 30 to 60 deg C.
 3. Altitude: Sea level to 1000 feet (300 m).
 4. IP22 protection
 5. 5% salt spray, 48 hours, +38 deg C, 36.8V system voltage.
 6. Shock: withstand 15G
 7. Sinusoidal vibration 4.3G's RMS, 24-1000Hz

2.5 ENGINE

- A. General: Engine shall be diesel fueled, four cycle, water-cooled, while operating with nominal speed not exceeding 1800 RPM. The engine will utilize in-cylinder combustion technology.
- B. Emissions: Engine shall comply with the State Emission regulations at the time of installation/commissioning. Actual engine emissions values must be in compliance with applicable EPA emissions standards per ISO 8178 - D2 Emissions Cycle at specified kW / bHP rating. The in-cylinder engine technology must not permit unfiltered exhaust gas to be introduced into the combustion cylinder. Emissions requirements / certifications of this package: EPA TIER 3.
- C. Comply with NFPA 37.
- D. Fuel: Fuel oil, Grade DF-2.
- E. Rated Engine Speed: 1800 rpm.
- F. Maximum Piston Speed for Four-Cycle Engines: 2250 fpm (11.4 m/s).
- G. Lubrication System: Pressurized by a positive-displacement pump driven from engine crankshaft. The following items are mounted on engine or skid:
1. Filter and Strainer: Rated to remove 90 percent of particles 5 micrometers and smaller while passing full flow.
 2. Thermostatic Control Valve: Controls flow in system to maintain optimum oil temperature. Unit is capable of full flow and is designed to be fail-safe.

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3. Crankcase Drain: Arranged for complete gravity drainage to an easily removable container with no disassembly and without use of pumps or siphons or special tools or appliances.
- H. Engine Fuel System: Comply with NFPA 37. System includes the following:
1. Main Fuel Pump: Mounted on engine. Pump ensures adequate primary fuel flow under starting and load conditions.
 2. Relief/Bypass Valve: Automatically regulates pressure in fuel line and returns excess fuel to source.
- I. Coolant Jacket Heater: Electric-immersion type, factory installed in coolant jacket system. Comply with NFPA 110 requirements for Level 1 equipment. Sized to insure that genset will start within the specified time period and ambient conditions. Powered from load center inside genset enclosure.

2.6 GOVERNOR

- A. Type: Electronic governor providing isochronous frequency regulation within +/- 0.5% for any constant load between no load and full load. The regulator shall be a totally solid state design and environmentally sealed.

2.7 ENGINE COOLING SYSTEM

- A. Description: Closed loop, liquid cooled, with radiator factory mounted on engine generator-set skid and integral engine-driven coolant pump.
- B. Radiator: Rated for specified coolant.
- C. Coolant: Solution of 50 percent ethylene-glycol-based antifreeze and 50 percent water, with anticorrosion additives as recommended by engine manufacturer.
- D. Expansion Tank: Constructed of welded steel plate and equipped with gage glass and petcock.
- E. Temperature Control: Self-contained, thermostatic-control valve modulates coolant flow automatically to maintain optimum constant coolant temperature as recommended by engine manufacturer.
- F. Coolant Hose: Flexible assembly with inside surface of nonporous rubber and outer covering of aging-, ultraviolet-, and abrasion-resistant fabric.
1. Rating: 50-psig (345-kPa) maximum working pressure with 180 deg F (82 deg C) coolant, and noncollapsible under vacuum.
 2. End Fittings: Flanges or steel pipe nipples with clamps to suit piping and equipment connections.

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- G. Provide a coolant heater powered from load center inside genset enclosure.

2.8 FUEL SUPPLY SYSTEM

- A. Comply with NFPA 30 and NFPA 37.
- B. Sub-base Mounted Fuel Oil Tank: Factory-installed and -piped, listed and labeled fuel oil tank. Features include the following:
 - 1. Mechanical reading fuel level gauge.
 - 2. Capacity: Fuel for twenty four hours' continuous operation at 100 percent rated power output.
 - 3. Locking Fill cap.
 - 4. Containment Provisions: Provide with double wall.
 - 5. Tank shall be isolated from generator vibration.
 - 6. Provide vent piping of black iron pipe with rust inhibiting paint. Provide a vent for the fuel tank to the outside adjacent to the generator where directed by the ENGINEER.
 - 7. Low fuel level alarm contacts and a fuel tank rupture alarm contact shall be provided.
- C. The CONTRACTOR shall provide a full tank of diesel fuel for the completion of all testing.
- D. Fuel system shall be integral with the engine. In addition to the standard fuel filters provided by the engine manufacturer, there shall also be installed a primary fuel filter/water separator in the fuel inlet line to the engine.
- E. All fuel piping shall be black iron or flexible fuel hose rated for this service. No galvanized piping will be permitted. Flexible fuel lines shall be minimally rated for 300 deg F and 100 psi. Paint all piping with a rust resistant exterior paint. Prep per paint manufacturer's instructions and recommendations including etch, primer, etc.

2.9 COMBUSTION-AIR-INTAKE SYSTEM

- A. Air-Intake Silencer: Critical grade silencer, filter type provides filtration as recommended by engine manufacturer.
 - 1. Mounting: factory installed, internally mounted within generator enclosure, at a location readily accessible for service. Complete with companion flanges, and flexible stainless steel exhaust fitting properly sized per manufacturer recommendations.

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2.10 GENERATOR ENCLOSURE

- A. The complete diesel engine generator set, including generator control panel, critical grade silencer, exhaust pipe, engine starting batteries, battery charger, and fuel oil tank, shall be enclosed in a factory assembled, weather protective enclosure mounted on the fuel tank base. Provide intake and exhaust cooling air weather tight louvers with galvanized or stainless steel screens.
- B. The generator enclosure shall be weather resistant, and vandal-resistant, made of steel with electrostatically applied powder coated baked polyester paint. It shall consist of a roof, side walls, and end walls. Fasteners shall be either zinc plated or stainless steel. Handles shall be key lockable, all doors keyed alike, and hinges shall be stainless steel. Access doors shall be hinged and can be lifted off after opening 90 degrees. Intake openings shall be screened to prevent the entrance of rodents, pests, and debris. Provide a min of 4 access doors. The dBA attenuation of the total unit shall be 72 dBA or less on an eight point average at 23 feet.
- C. Lube oil and coolant drains shall be extended to the exterior of the enclosure and terminated with drain valves. Cooling fan and charging alternator shall be fully guarded to prevent injury.
- D. Generator enclosure shall include the following supplemental equipment complete and installed within enclosure, including all conduit, conductors, and cable:
 - i. 100A, 120/208V, three phase, four wire, 12 circuit panel board with 50A, 3 pole main circuit breaker.
 - ii. (2) 20A, duplex, industrial grade GFI receptacles.
 - iii. (2) NEMA 12 T8 fluorescent luminaries, one with emergency ballast.
 - iv. 3KW forced air, fan style unit heatersPower all electrical equipment in and on the generator enclosure from the 12 circuit panel board (load center).

2.11 STARTING SYSTEM

- A. Description: DC electric starting system with positive engagement, motor voltage by manufacturer and including the following items:
 - 1. Components: Sized so they will not be damaged during a full engine-cranking cycle with ambient temperature at maximum specified in "Environmental Conditions" Paragraph in "Service Conditions" Article above.
 - 2. Cranking Motor: Heavy-duty unit that automatically engages and releases from engine flywheel without binding.
 - 3. Cranking Cycle: 60 seconds.
 - 4. Battery: A lead-acid storage battery set of the heavy-duty diesel starting type. Battery voltage compatible with starting system. Adequate capacity within ambient temperature range specified in "Environmental Conditions" Paragraph in "Service Conditions" Article above to provide specified cranking cycle at least three times without recharging.

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5. Battery Cable: Size as recommended by generator set manufacturer for cable length indicated. Include required interconnecting conductors and connection accessories.
6. Battery-Charging Alternator: Factory mounted on engine with solid-state voltage regulation and 35-A minimum continuous rating.
7. Battery Charger: Current-limiting, automatic-equalizing and float-charging type. Unit complies with UL 1236 and includes the following features:
 - a. Operation: Equalizing-charging rate of 10 A is initiated automatically after battery has lost charge until an adjustable equalizing voltage is achieved at battery terminals. Unit then automatically switches to a lower float-charging mode and continues operating in that mode until battery is discharged again.
 - b. Automatic Temperature Compensation: Adjusts float and equalizes voltages for variations in ambient temperature from minus 40 deg C to plus 60 deg C to prevent overcharging at high temperatures and undercharging at low temperatures.
 - c. Automatic Voltage Regulation: Maintains output voltage constant regardless of input voltage variations up to plus or minus 10 percent.
 - d. Ammeter and Voltmeter: Flush mounted in door. Meters indicate charging rates.
 - e. Safety Functions: Include sensing of abnormally low battery voltage arranged to close contacts providing low battery voltage indication on control and monitoring panel. Also include sensing of high battery voltage and loss of ac input or dc output of battery charger. Either condition closes contacts that provide a battery-charger malfunction indication at system control and monitoring panel.
 - f. Enclosure and Mounting: Mount within genset enclosure per Manufacturer recommendations.

2.12 CONTROL AND MONITORING

Provide a fully solid-state, microprocessor based, generator set control. The control panel shall be designed and built by the engine manufacturer. The control shall provide all operating, monitoring, and control functions for the generator set as follows:

- A. Functional Description: When the mode-selector switch on the control and monitoring panel is in the automatic position, remote-control contacts in an automatic transfer switch initiate starting and stopping of the generator set (this feature shall be provided, but will not be used for this project). When the mode-selector switch is switched to the on position, the generator set manually starts. The off position of the same switch initiates generator-set shutdown. When the generator set is running, specified system or equipment failures or derangements automatically shut down the generator set and initiate alarms. Operation of a remote emergency-stop switch also shuts down the generator set. Mount the stop switch (NEMA 4X Corrosion Resistant with red mushroom head Square D Type K, Class 9001 in NEMA 4X enclosure) on the side of the generator that has the portable cord and plug. In the automatic mode, the generator

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shall have an adjustable cool down cycle that is initiated when the remote control contacts in the transfer switch open. The automatic cool down cycle feature shall be provided but won't be used for this project.

- B. Configuration: Operating and safety indications, protective devices, basic system controls, and engine gauges are grouped on a common control and monitoring panel mounted on the generator set. Mounting method isolates the control panel from generator-set vibration.
- C. Indicating and Protective Devices and Controls: Include the following:
 - 1. AC voltmeter.
 - 2. AC ammeter.
 - 3. AC frequency meter.
 - 4. DC voltmeter (alternator battery charging).
 - 5. Engine-coolant temperature gage.
 - 6. Engine lubricating-oil pressure gage.
 - 7. Running-time meter.
 - 8. Ammeter-voltmeter, phase-selector switch(es).
 - 9. Generator-voltage adjusting rheostat.
 - 10. H-O-A switch.
 - 11. Overspeed shutdown device.
 - 12. Coolant high-temperature shutdown device.
 - 13. Coolant low-level shutdown device.
 - 14. Oil low-pressure shutdown device.
 - 15. Fuel tank high-level shutdown of fuel supply alarm.
 - 16. Generator overload.
- D. Supporting Items: Include sensors, transducers, terminals, relays, and other devices, and wiring required to support specified items. Locate sensors and other supporting items on engine, generator, or elsewhere as indicated. Where not indicated, locate to suit manufacturer's standard. Include the ability to operate six (6) programmable relay output signals, integral to the controller. The output relays shall be rated for 2A @ 30VDC.
- E. Common Remote Strobe Light: Signal the occurrence of any events listed below without differentiating between event types. Locate weather proof strobe on the side of the generator. Add an integral, audible alarm to the control panel of the generator.
 - 1. Engine high-temperature shutdown.
 - 2. Lube-oil low-pressure shutdown.
 - 3. Overspeed shutdown.
 - 4. Engine high-temperature prealarm.
 - 5. Lube-oil low-pressure prealarm.
 - 6. Fuel tank low level.
 - 7. Overcrank shutdown.
 - 8. Coolant low-temperature alarm.
 - 9. Coolant high-temperature alarm.
 - 10. Loss of coolant shutdown.

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11. Emergency stop depressed shutdown.
12. Control switch not in auto position.
13. Battery-charger malfunction alarm.
14. Battery low-voltage alarm.

2.13 GENERATOR OVERCURRENT AND FAULT PROTECTION

- A. Generator Circuit Breaker: Molded-case, electronic-trip type; 100 percent rated; complying with UL 489.
 1. Tripping Characteristic: Adjustable long-time and short-time delay and instantaneous.
 2. Trip Rating: Matched to generator thermal damage curve as closely as possible.
 3. Shunt Trip: Connected to trip breaker when generator set is shut down by other protective devices. See Plans for details.
 4. Mounting: Breaker shall be housed in an extension terminal box which is isolated from vibrations induced by the generator set. Provide with mechanical type lugs, sized for the circuit breaker feeders shown on the drawings, shall be supplied on the load side of the breaker.
 5. Provide generator circuit breaker with ground fault interruption capability.

2.14 GENERATOR, EXCITER, AND VOLTAGE REGULATOR

- A. Comply with NEMA MG 1 and specified performance requirements.
- B. Drive: Single bearing generator, generator shaft is directly connected to engine shaft. Exciter is rotated integrally with generator rotor.
- C. Electrical Insulation: Class H.
- D. Stator-Winding Leads: Brought out to terminal box to permit future reconnection for other voltages if required.
- E. Construction prevents mechanical, electrical, and thermal damage due to vibration, overspeed up to 125 percent of rating, and heat during operation at 110 percent of rated capacity.
- F. Excitation uses no slip or collector rings, or brushes, and is arranged to sustain generator output under short-circuit conditions as specified.
- G. Enclosure: Drip proof, self-ventilated.
- H. Instrument Transformers: Mounted within generator enclosure.
- I. Voltage Regulator: Solid-state type, separate from exciter, providing performance as specified.

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1. Adjusting rheostat on control and monitoring panel provides plus or minus 5 percent adjustment of output- voltage operating band.
- J. Strip Heater: Thermostatically controlled unit arranged to maintain stator windings above dew point.
- K. Windings: Two-thirds pitch stator winding and fully linked amortisseur winding.
- L. Subtransient Reactance: 12 percent, maximum.

2.15 FINISHES

- A. Outdoor Enclosures and Components: heavy gauge aluminized steel.
- B. Generator Enclosure: All surfaces thoroughly cleaned prior to coatings. Manufacturer's standard enamel over corrosion-resistant pretreatment and compatible standard primer. Manufacturer's standard color.

2.16 SOURCE QUALITY CONTROL

- A. Factory Tests: Include prototype testing.
- B. Prototype Testing: Performed on a separate engine generator set using same engine model, constructed of identical or equivalent components and equipped with identical or equivalent accessories.
 1. Generator Tests: Comply with IEEE 115.
 2. Components and Accessories: Items furnished with installed unit that are not identical to those on tested prototype have been tested to demonstrate compatibility and reliability.
- C. Project-Specific Equipment Tests: Factory test engine generator set and other system components and accessories before shipment. Perform tests at rated load and power factor. Include the following tests.
 1. Full load run.
 2. Maximum power.
 3. Voltage regulation.
 4. Transient and steady-state governing.
 5. Single-step load pickup.
 6. Safety shutdown.
- D. Observation of Factory Tests: Provide 14 days' advance notice of tests and opportunity for observation of test by OWNER's representatives.

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- E. Report factory test results within 10 days of completion of test.

2.17 TRAILER

- A. Provide a commercial grade, street/highway legal, hot dipped galvanized trailer with tail lights, turn lights, side running lights, and electric brakes. Provide with a standard trailer electrical harness for connection to a vehicle. Trailer shall be designed for 150% of the generator package dead load plus applicable live loads for street/highway legal trailers. If no standard exists, assume a live load of five times the dead load.
- B. Provide the trailer and generator combination licensed in the State of Alaska to the OWNER. Pay all registration and licensing fees.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas, equipment foundations, and conditions, with Installer present, for compliance with requirements for installation and other conditions affecting packaged engine generator performance.
 - 1. Proceed with installation only after unsatisfactory conditions have been corrected.
- B. Examine roughing-in of cooling-system piping systems and electrical connections. Verify actual locations of connections before packaged engine generator installation.

3.2 CONCRETE BASES

- A. Install concrete bases of dimensions indicated on the plans which meet manufacturer recommendations for packaged engine generators.

3.3 INSTALLATION

- A. Comply with packaged engine generator manufacturers' written installation and alignment instructions, and with codes for optional standby power systems.
- B. Set packaged engine generator set on concrete bases.
 - 1. Install generator-set on isolation mounting pads. Provide six minimum pads, three on each side. Size each pad to carry the percentage of the dead load and

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live load as recommended by the genset and pad manufacturers. Provide additional pads as required.

- C. Install packaged engine generator to provide access for periodic maintenance, including removal of drivers and accessories.
- D. Electrical Wiring: Install electrical devices furnished by equipment manufacturers but not specified to be factory mounted.
 - 1. Verify that electrical wiring is installed according to manufacturers' submittal and installation requirements in Division 16 Sections. Proceed with equipment startup only after wiring installation is satisfactory.

3.4 CONNECTIONS

- A. Piping installation requirements are as required by the genset and equipment manufacturers and common trade practices. Drawings indicate general arrangement of piping and specialties. The following are specific connection requirements:
 - 1. Install piping adjacent to packaged engine generator to allow service and maintenance.
- B. Electrical wiring and connections are specified in Division 16 Section.
- C. Ground equipment.
 - 1. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

3.5 IDENTIFICATION

- A. Identify system components according to Division 16 Section 16050 "Basic Electrical Materials and Methods."

3.6 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect field-assembled components and equipment installation, including piping and electrical connections, and to assist in testing. Report results in writing.
- B. Testing: Perform field quality-control testing under the supervision of the manufacturer's factory-authorized service representative.
- C. Tests: Include the following:

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1. Tests recommended by manufacturer.
 2. Perform tests required by code for optional standby generators that are additional to those specified here including, but not limited to, the following:
 - a. Single-step full-load pickup test.
 - b. Simulated utility outage via opening service disconnect.
 3. Battery Tests: Measure charging voltage and voltages between available battery terminals for full-charging and float-charging conditions. Check electrolyte level and specific gravity under both conditions. Test for contact integrity of all connectors. Perform an integrity load test and a capacity load test for the battery. Verify acceptance of charge for each element of battery after discharge. Verify measurements are within manufacturer's Specifications.
 4. Battery-Charger Tests: Verify specified rates of charge for both equalizing and float-charging conditions.
 5. System Integrity Tests: Methodically verify proper installation, connection, and integrity of each element of engine generator system before and during system operation. Check for air, exhaust, and fluid leaks.
 6. Voltage and Frequency Transient Stability Tests: Use recording oscilloscope to measure voltage and frequency transients for 50 and 100 percent step-load increases and decreases, and verify that performance is as specified.
 7. Harmonic-Content Tests: Measure harmonic content of output voltage under 25 percent and at 100 percent of rated linear load. Verify that harmonic content is within specified limits.
- F. Coordinate tests with tests for transfer switches and run them concurrently.
- G. Retest: Correct deficiencies identified by tests and observations and retest until specified requirements are met.
- H. Report results of tests and inspections in writing. Record adjustable relay settings and measured insulation resistances, time delays, and other values and observations. Attach a label or tag to each tested component indicating satisfactory completion of tests.
- I. Test instruments shall have been calibrated within the last 12 months, traceable to standards of the National Institute for Standards and Technology, and adequate for making positive observation of test results. Make calibration records available for examination on request.

3.7 COMMISSIONING

- A. Battery Equalization: Equalize charging of battery cells according to manufacturer's written instructions. Record individual cell voltages.
- B. Replace all blown fuses and any none functioning devices prior to completion of the project.

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3.8 CLEANING

- A. On completion of installation, inspect system components. Remove paint splatters and other spots, dirt, and debris. Repair damaged finish to match original finish. Clean components internally using methods and materials recommended by manufacturer.

3.9 DEMONSTRATION

- A. Engage a factory-authorized service representative to train OWNER's maintenance personnel to adjust, operate, and maintain packaged engine generators as specified below:
 - 1. Train OWNER's maintenance personnel on procedures and schedules for starting and stopping, using all features and functions of the equipment, and troubleshooting, servicing, and maintaining equipment.
 - 2. Review data in maintenance manuals.
 - 3. Schedule training with OWNER, through ENGINEER, with at least seven days' advance notice.
 - 4. Minimum Instruction Period: two hours.

END OF SECTION

SECTION 16411 – POWER FACTOR CORRECTION CAPACITORS

PART 1- GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes non-automatic power-factor-correction units and equipment for low-voltage circuits and equipment.

1.3 SYSTEM DESCRIPTION

- A. Power-factor-correction capacitors for low-voltage electrical systems with inductive motor loads.

1.4 SUBMITTALS

- A. Product Data: For each type of product specified. Include data on features, components, ratings, and performance. Include dimensioned plan and elevation views of enclosures and details of control panels. Show access and workspace requirements.
- B. Shop Drawings: From manufacturer detailing equipment assemblies and indicating dimensions, weights, loadings, required clearances, method field assembly, components, and location and size of each field connection.
- C. Wiring Diagrams: Detail internal and interconnecting wiring and differentiate between manufacturer installed and field-installed wiring.
- D. Factory Test Reports: Evidence of product's compliance with specified requirements.
- E. Field Test Reports: Indicate and interpret test results for compliance with performance requirements for tests specified in PART 3 – EXECUTION.
- F. Maintenance Data: For equipment to include in the maintenance manuals specified in Division 1. Include the following:
 - 1. Lists of spare parts and replacement components recommended for storage at the Project site for ready access.
 - 2. Detailed operating instructions covering operation under both normal and abnormal conditions.
- G. Warranties: Special warranties specified in this Section.

SECTION 16411 – POWER FACTOR CORRECTION CAPACITORS

1.5 QUALITY ASSURANCE

- A. Listing and Labeling: Provide electrically operated equipment specified in this Section that is listed and labeled.
 - 1. The Terms "Listed" and "Labeled": As defined in the National Electrical Code, Article 100.
 - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" as defined in OSHA Regulation 1910.7.
- B. Comply with NFPA 70.
- C. Comply with NEMA CP 1 and IEEE 18.

1.6 WARRANTY

- A. General Warranty: The special warranty specified in this Article shall not deprive the OWNER of other rights the OWNER may have under other provisions of the Contract Documents and shall be in addition to, and run concurrent with, other warranties made by the CONTRACTOR under requirements of the Contract Documents.
- B. Special Warranty: A written warranty, executed by manufacturer, agreeing to repair or replace components of power factor correction capacitors that fail in materials or workmanship within the specified warranty period.
 - 1. Warranty Period: 3 years from date of Substantial Completion.

PART 2- PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. ABB Power T&D Co., Inc.
 - 2. Aerovox, Inc.
 - 3. General Electric Co.
 - 4. Square D Co.

2.2 CAPACITORS, GENERAL

- A. Construction: Multiple capacitor cells or elements factor wired in 3-phase groups and mounted in metal enclosures.
- B. Capacitor Cells: Dry metalized-dielectric with no liquid dielectrics.
- C. Cell Rupture Protection: Pressure-sensitive interrupter for each cell.

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- D. Enclosure: NEMA 250, steel or aluminum, arranged to contain the fluid leakage from capacitor cells. Factory equip with mounting brackets suitable for type of mounting indicated. Enclosure types are as follows:
 - 1. Outdoor enclosures: NEMA 250, Type 3R, equipped with watertight conduit connections.

2.3 FIXED CAPACITORS

- A. Description: Integrally fused, unless otherwise indicated, with quantities, ratings, mounting provisions, and electrical connections as indicated.
- B. Discharge Resistors: Factory installed and wired. Resistors may be omitted if permitted by NFPA 70.
- C. Internal Wiring: Factory wired, ready for field connection to external circuits at a single set of pressure terminals.
- D. Provide with blown fuse indicators.

2.4 FACTORY FINISH

- A. Manufacturer's standard enamel over corrosion-resistant treatment or primer coat.

2.5 SOURCE QUALITY CONTROL

- A. Factory test power-factor-correction equipment before shipment. Comply with NEMA CP 1. Include the following:
 - 1. Routine capacitor production tests, including short-time overvoltage, capacitance, leak, and dissipation-factor tests.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Maintain minimum workspace according to manufacturer's written instructions.

3.2 IDENTIFICATION

- A. Identify components according to Division 16 Section Basic Electrical Materials and Methods.

SECTION 16411 – POWER FACTOR CORRECTION CAPACITORS

3.3 FIELD QUALITY CONTROL

- A. Inspections and tests: Inspect and test component features, functions, operations, and protective devices according to manufacturer's written instructions and NETA ATS.
- B. Retest: Correct deficiencies identified by tests and observations and retest until specified requirements are met.
- C. Adjust system for optimum automatic power-factor correction.

3.4 CLEANING

- A. On completion of installation, inspect system components. Remove paint splatters and other spots, dirt, and debris. Touch up scratches and mars of finish to match original finish. Clean components internally using methods and materials recommended by manufacturer.

END OF SECTION

SECTION 16415 TRANSFER SWITCHES

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and applicable sections of the Specifications apply to this Section.

1.2 SUMMARY

- A. This Section includes transfer switches rated 600 V and less, including the following:
 - 1. Automatic transfer switch.

1.3 SUBMITTALS

- A. Product Data: Include ratings and dimensioned plans, sections, and elevations showing minimum clearances, conductor entry provisions, gutter space, installed features and devices, and material lists for each switch specified.
- B. Wiring Diagrams: Detail wiring for transfer switches and differentiate between manufacturer-installed and field-installed wiring. Show both power and control wiring.
- C. Single-Line Diagram: Show connections between transfer switch, bypass/isolation switch, power sources, and load; and show interlocking provisions for each combined transfer switch and bypass/isolation switch.
- D. Product Certificates: Signed by manufacturer certifying that products furnished comply with requirements and that switches have been tested for load ratings and short-circuit closing and withstand ratings applicable to units for Project.
- E. Qualification Data: For firms and persons specified in "Quality Assurance" Article.
- F. Field Test Reports: Indicate and interpret test and inspection results for compliance with performance requirements.
- G. Maintenance Data: For each type of product to include in maintenance manuals. Include all features and operating sequences, both automatic and manual. List all factory settings of relays and provide relay-setting and calibration instructions, including software, where applicable.

1.4 QUALITY ASSURANCE

- A. Manufacturer Qualifications: Maintain a service center capable of providing emergency maintenance and repairs at Project site with an eight-hour maximum response time.

SECTION 16415 TRANSFER SWITCHES

- B. Testing Agency Qualifications: Testing agency as defined by OSHA in 29 CFR 1910.7 or a member company of the InterNational Electrical Testing Association and that is acceptable to authorities having jurisdiction.
 - 1. Testing Agency's Field Supervisor: Person currently certified by the InterNational Electrical Testing Association or the National Institute for Certification in Engineering Technologies (Level 3 or higher), to supervise on-site testing specified in Part 3.
- C. Source Limitations: Obtain automatic transfer switch, bypass/isolation switch, nonautomatic transfer switch, remote annunciators, and remote annunciator and control panels through one source from a single manufacturer.
- D. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, for emergency service under UL 1008, by a testing agency acceptable to authorities having jurisdiction.
- E. Comply with NEMA ICS 1.
- F. Comply with NFPA 70.
- G. Comply with UL 1008, unless requirements of these Specifications are stricter.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the WORK include, but are not limited to, the following:
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Conventional Transfer Switches:
 - a. Caterpillar, Inc.; Engine Division.
 - b. Emerson Electric Co.; Automatic Switch Co. Subsidiary.
 - c. Generac Corp.
 - d. Kohler Co.
 - e. Onan Corp.; Electrical Products Division.
 - f. Spectrum Detroit Diesel.
 - g. Russelectric, Inc.
 - h. Zenith Controls, Inc.

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2.2 GENERAL TRANSFER-SWITCH PRODUCT REQUIREMENTS

- A. Indicated Current Ratings: Apply as defined in UL 1008 for continuous loading and total system transfer, including tungsten filament lamp loads not exceeding 30 percent of switch ampere rating, unless otherwise indicated.
- B. Tested Fault-Current Closing and Withstand Ratings: Adequate for duty imposed by protective devices at installation locations in Project under the fault conditions indicated, based on testing according to UL 1008.
 - 1. Where Transfer Switch Includes Internal Fault-Current Protection: Rating of switch and trip unit combination exceeds indicated fault-current value at installation location.
- C. Annunciation, Control, and Programming Interface Components: Devices at transfer switches for communicating with remote programming devices, annunciators, or annunciator and control panels have communications capability matched with remote device.
- D. Solid-State Controls: Repetitive accuracy of all settings is plus or minus 2 percent or better over an operating temperature range of minus 20 to plus 70 deg C.
- E. Resistance to Damage by Voltage Transients: Components meet or exceed voltage-surge withstand capability requirements when tested according to IEEE C62.41. Components meet or exceed voltage-impulse withstand test of NEMA ICS 1.
- F. Neutral Terminal: Solid and fully rated, unless otherwise indicated.
- G. Enclosures: General-purpose NEMA 250, Type 1, complying with NEMA ICS 6; UL 508, unless otherwise indicated.
- H. Heater: Equip switches exposed to outdoor temperature and humidity conditions, and other units indicated, with an internal heater. Provide thermostat within enclosure to control heater.
- I. Factory Wiring: Train and bundle factory wiring and label consistent with Shop Drawings, either by color code or by numbered or lettered wire and cable tape markers at terminations.
 - 1. Designated Terminals: Pressure type suitable for types and sizes of field wiring indicated.
 - 2. Power-Terminal Arrangement and Field-Wiring Space: Suitable for top, side, or bottom entrance of feeder conductors as indicated.
 - 3. Control Wiring: Equipped with lugs suitable for connection to terminal strips.
- J. Electrical Operation: Accomplish by a nonfused, momentarily energized solenoid or electric-motor-operated mechanism, mechanically and electrically interlocked in both directions.
- K. Switch Characteristics: Designed for continuous-duty repetitive transfer of full-rated current between active power sources.
 - 1. Limitation: Switches using molded-case switches or circuit breakers or insulated-case circuit-breaker components are not acceptable.

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2. Switch Action: Double throw; mechanically held in both directions.
3. Contacts: Silver composition or silver alloy for load-current switching. Conventional automatic transfer-switch units rated 225 A and greater have separate arcing contacts.

2.3 AUTOMATIC TRANSFER SWITCHES

- A. Comply with Level 1 equipment according to NFPA 110.
- B. Switching Arrangement: Double-throw type, with a delay at neutral position pause or intermediate position stop during normal functioning.
- C. Manual Switch Operation: Under load, with door closed and with either or both sources energized. Transfer time is the same as for electrical operation. Control circuit automatically disconnects from electrical operator during manual operation.
- D. Manual Switch Operation: Unloaded. Control circuit automatically disconnects from electrical operator during manual operation.
- E. Signal-before-Transfer Contacts: A set of normally open/normally closed dry contacts operates in advance of retransfer to normal source. Interval is adjustable from 1 to 30 seconds.
- F. Transfer Switches Based on Molded-Case-Switch Components: Comply with NEMA AB 1, UL 489, and UL 869A.
- G. Programmed Neutral Switch Position: Switch operator has a programmed neutral position arranged to provide a midpoint between the two working switch positions, with an intentional, time-controlled pause at midpoint during transfer. Pause is adjustable from 0.5 to 30 seconds minimum and factory set for 0.5 second, unless otherwise indicated. Time delay occurs for both transfer directions. Pause is disabled, unless both sources are live.

2.4 AUTOMATIC TRANSFER-SWITCH FEATURES

- A. Undervoltage Sensing for Each Phase of Normal Source: Senses low phase-to-ground voltage on each phase. Pickup voltage is adjustable from 85 to 100 percent of nominal, and dropout voltage is adjustable from 75 to 98 percent of pickup value. Factory set for pickup at 90 percent and dropout at 85 percent.
- B. Time delay for override of normal-source voltage sensing delays transfer and engine start signals. Adjustable from zero to six seconds, and factory set for one second.
- C. Voltage/Frequency Lockout Relay: Prevents premature transfer to generator set. Pickup voltage is adjustable from 85 to 100 percent of nominal. Factory set for pickup at 90 percent. Pickup frequency is adjustable from 90 to 100 percent of nominal. Factory set for pickup at 95 percent.

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- D. Time Delay for Retransfer to Normal Source: Adjustable from 0 to 30 minutes; factory set for 10 minutes. Provides automatic defeat of delay on loss of voltage or sustained undervoltage of emergency source, provided normal supply has been restored.
- E. Test Switch: Simulates normal-source failure.
- F. Switch-Position Pilot Lights: Indicate source to which load is connected.
- G. Source-Available Indicating Lights: Supervise sources via transfer-switch, normal- and emergency-source sensing circuits.
 - 1. Normal Power Supervision: Green light with nameplate engraved "Normal Source Available."
 - 2. Emergency Power Supervision: Red light with nameplate engraved "Emergency Source Available."
- H. Unassigned Auxiliary Contacts: Two normally open single-pole, double-throw contacts for each switch position, rated 10 A at 240-V ac.
- I. Transfer Override Switch: Overrides automatic retransfer control so automatic transfer switch will remain connected to emergency power source regardless of condition of normal source. Pilot light indicates override status.
- J. Engine Starting Contacts: One isolated, normally closed and one isolated, normally open, rated 10 A at 32-V dc minimum.
- K. Engine Shutdown Contacts: Time delay adjustable from zero to five minutes; factory set for five minutes. Initiates shutdown at remote engine-generator controls after retransfer of load to normal source.
- L. Engine-Generator Exerciser: Solid-state, programmable-time switch starts engine-generator set and transfers load to it from normal source for a preset time, then retransfers and shuts down engine after a preset cool-down period. Initiates exercise cycle at preset intervals adjustable from 7 to 30 days. Running periods are adjustable from 10 to 30 minutes. Factory settings are for 7-day exercise cycle, 20-minute running period, and 5-minute cool-down period. Exerciser features include the following:
 - 1. Exerciser Transfer Selector Switch: Permits selection of exercise with and without load transfer.
 - 2. Push-button programming control with digital display of settings.
 - 3. Integral battery operation of time switch when normal control power is not available.

2.5 FINISHES

- A. Enclosures: Manufacturer's standard enamel over corrosion-resistant pretreatment and primer.

SECTION 16415 TRANSFER SWITCHES

2.6 SOURCE QUALITY CONTROL

- A. Factory Test Components, Assembled Switches, and Associated Equipment: Ensure proper operation. Check transfer time and voltage, frequency, and time-delay settings for compliance with specified requirements. Perform dielectric strength test complying with NEMA ICS 1.

PART 3 - EXECUTION

3.1 APPLICATION

- A. Three-Pole Switches: Provide a three-pole switch.

3.2 INSTALLATION

- A. Wall-Mounted Switch: Surface mount on wall.
- C. Identify components according to Division 16 Section "Basic Electrical Materials and Methods."

3.3 CONNECTIONS

- A. Ground equipment as indicated and as required by NFPA 70.

3.4 FIELD QUALITY CONTROL

- A. Testing: Test transfer-switch products by operating them in all modes. Perform tests recommended by manufacturer under the supervision of manufacturer's factory-authorized service representative. Correct deficiencies and report results in writing. Record adjustable relay settings.
- B. Coordinate tests with tests of generator plant and run them concurrently.
- C. Report results of tests and inspections in writing. Record adjustable relay settings and measured insulation and contact resistances and time delays. Attach a label or tag to each tested component indicating satisfactory completion of tests.

3.5 CLEANING

- A. After completing equipment installation, inspect unit components. Remove paint splatters and other spots, dirt, and debris. Repair damaged finish to match original finish.
- B. Clean equipment internally, on completion of installation, according to manufacturer's written instructions.

SECTION 16415 TRANSFER SWITCHES

3.6 DEMONSTRATION

- A. Engage a factory-authorized service representative to train OWNER's personnel to adjust, operate, and maintain transfer switches and related equipment as specified below:
 - 1. Coordinate this training with that for generator equipment.
 - 2. Train OWNER's maintenance personnel on procedures and schedules for starting and stopping, troubleshooting, servicing, and maintaining equipment.
 - 3. Review data in maintenance manuals.
 - 4. Schedule training with OWNER, through ENGINEER, with at least seven days' advance notice.
 - 5. Provide a minimum of four hours of instruction.

END OF SECTION

SECTION 16452 GROUNDING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes grounding of electrical systems and equipment and basic requirements for grounding for protection of life, equipment, circuits, and systems. Grounding requirements specified in this Section may be supplemented in other Sections of these Specifications.
- B. Related Sections: The following Sections contain requirements that relate to this Section:
 - 1. Section 16120 Conductors and Cables.

1.3 SUBMITTALS

- A. General: Submit each item in this Article according to the Conditions of the contract and Division 1 Specification Sections.
- B. Product Data for grounding rods, connectors and connection materials, and grounding fittings.

1.4 QUALITY ASSURANCE

- A. Comply with NFPA 70.
- B. Comply with UL 467.
- C. Listing and Labeling: Provide products specified in this Section that are listed and labeled.
 - 1. The Terms "Listed" and "Labeled": As defined in the National Electrical Code, Article 100.
 - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" (NRTL) as defined in OSHA Regulation 1910.7.

SECTION 16452 GROUNDING

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the WORK include, but are not limited to, the following:
1. Ideal Industries, Inc.
 2. Burndy
 3. O-Z/Gedney Co.
 4. Thomas & Betts, Electrical.

2.2 GROUNDING AND BONDING PRODUCTS

- A. Governing Requirements: Where types, sizes, ratings, and quantities indicated are in excess of National Electrical Code (NEC) requirements, the more stringent requirements and the greater size, rating, and quantity indications govern.

2.3 WIRE AND CABLE GROUNDING CONDUCTORS

- A. Comply with Section 16120 – Conductors and Cables. Conform to NEC Table 8, except as otherwise indicated, for conductor properties, including stranding.
1. Material: copper. Use only copper wire.
- B. Equipment Grounding Conductors: Insulated with green color insulation.
- C. Grounding-Electrode Conductors: Stranded cable.
- D. Underground Conductors: Bare, tinned, stranded, except as otherwise indicated.
- E. Bare Copper Conductors: Conform to the following:
1. Solid Conductors: ASTM B 3.

2.4 MISCELLANEOUS CONDUCTORS

- A. Grounding Bus: Bare, annealed-copper bars of rectangular cross section.
- B. Braided Bonding Jumpers: Copper tape, braided No. 30 AWG bare copper wire, terminated with copper ferrules.

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- C. Bonding Straps: Soft copper, 0.05 inch (1 mm) thick and 2 inches (50 mm) wide, except as indicated.

2.5 CONNECTOR PRODUCTS

- A. Pressure Connectors: High-conductivity-plated units.
- B. Bolted Clamps: Heavy-duty type.
- C. Exothermic-Welded Connections: Provided in kit form and selected per manufacturer's written instructions for specific types, sizes, and combinations of conductors and connected items.

2.6 GROUNDING ELECTRODES AND TEST WELLS

- A. Grounding Rods: Sectional type; copper-clad steel.
 - 1. Size: 3/4 inch by 120 inches (19 by 3000 mm).

PART 3 - EXECUTION

3.1 APPLICATION

- A. Equipment Grounding Conductors: Comply with NEC Article 250 for types, sizes, and quantities of equipment grounding conductors, except where specific types, larger sizes, or more conductors than required by NEC are indicated.
 - 1. Install equipment grounding conductor with circuit conductors for the items below in addition to those required by Code:
 - a. Feeders and branch circuits.
 - b. Lighting circuits.
 - c. Receptacle circuits.
 - d. Single-phase motor or appliance branch circuits.
 - e. Three-phase motor or appliance branch circuits.
 - f. Flexible raceway runs.
 - g. Armored and metal-clad cable runs.
 - 2. Nonmetallic Raceways: Install an equipment grounding conductor in nonmetallic raceways unless they are designated for telephone or data cables.
- B. Signal and Communication Systems: For telephone, alarm, voice and data, and other communication systems, provide a No. 4 AWG minimum insulated grounding conductor

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in raceway from grounding-electrode system to each service location, terminal cabinet, wiring closet (telephone terminal board), and central equipment location.

1. Service and Central Equipment Locations and Wiring Closets: Terminate grounding conductor on a 1/4-by-2-by-12-inch (6-by-50-by-300-mm) grounding bus.
 2. Terminal Cabinets: Terminate grounding conductor on cabinet grounding terminal.
- C. Separately Derived Systems: Where NEC requires grounding, ground according to NEC Paragraph 250-26. Ground generator. Do not bond ground to neutral at generator.

3.2 INSTALLATION

- A. General: Ground electrical systems and equipment according to NEC requirements, except where Drawings or Specifications exceed NEC requirements.
- B. Grounding Rods: Locate a minimum of 1-rod length from each other and at least the same distance from any other grounding electrode.
1. Drive until tops are 2 inches (50 mm) below finished floor or final grade, except as otherwise indicated.
 2. Interconnect with grounding-electrode conductors. Use exothermic welds, except at test wells and as otherwise indicated. Make these connections without damaging copper coating or exposing steel.
- C. Grounding Conductors: Route along the shortest and straightest paths possible, except as otherwise indicated. Avoid obstructing access or placing conductors where they may be subjected to strain, impact, or damage.
- D. Underground Grounding Conductors: Use bare copper wire. Bury at least 24 inches (600 mm) below grade.
- E. Metal Water Service Pipe: Provide insulated copper grounding conductors, sized as indicated, in conduit, from building's main service equipment, or grounding bus, to main metal water service entrances to building. Connect grounding conductors to main metal water service pipes by grounding-clamp connectors. Where a dielectric main water fitting is installed, connect grounding conductor to street side of fitting. Do not install a grounding jumper across dielectric fittings. Bond grounding-conductor conduit to conductor at each end.
- F. Water Meter Piping: Use braided-type bonding jumpers to electrically bypass water meters. Connect to pipe with grounding-clamp connectors.
- G. Bond interior metal piping systems and metal air ducts to equipment grounding conductors of associated pumps, fans, blowers, electric heaters, and air cleaners. Use braided-type bonding straps.

SECTION 16452 GROUNDING

3.3 CONNECTIONS

- A. General: Make connections so possibility of galvanic action or electrolysis is minimized. Select connectors, connection hardware, conductors, and connection methods so metals in direct contact will be galvanically compatible.
1. Use electroplated or hot-tin-coated materials to assure high conductivity and to make contact points closer in order of galvanic series.
 2. Make connections with clean, bare metal at points of contact.
 3. Make aluminum-to-steel connections with stainless-steel separators and mechanical clamps.
 4. Make aluminum-to-galvanized steel connections with tin-plated copper jumpers and mechanical clamps.
 5. Coat and seal connections having dissimilar metals with inert material to prevent future penetration of moisture to contact surfaces.
- B. Exothermic-Welded Connections: Use for connections to structural steel and for underground connections, except those at test wells. Comply with manufacturer's written instructions. Welds that are puffed up or that show convex surfaces indicating improper cleaning are not acceptable.
- C. Equipment Grounding-Wire Terminations: For No. 8 AWG and larger, use pressure-type grounding lugs. No. 10 AWG and smaller grounding conductors may be terminated with winged pressure-type connectors.
- D. Noncontact Metal Raceway Terminations: Where metallic raceways terminate at metal housings without mechanical and electrical connection to housing, terminate each conduit with a grounding bushing. Connect grounding bushings with a bare grounding conductor to grounding bus or terminal in housing. Bond electrically noncontinuous conduits at both entrances and exits with grounding bushings and bare grounding conductors, except as otherwise indicated.
- E. Tighten screws and bolts for grounding and bonding connectors and terminals according to manufacturer's published torque-tightening values. Where these requirements are not available, use those specified in UL 486A and UL 486B.
- F. Compression-Type Connections: Use hydraulic compression tools to provide correct circumferential pressure for compression connectors. Use tools and dies recommended by manufacturer of connectors. Provide embossing die code or other standard method to make a visible indication that a connector has been adequately compressed on grounding conductor.
- G. Moisture Protection: Where insulated grounding conductors are connected to grounding rods or grounding buses, insulate entire area of connection and seal against moisture penetration of insulation and cable.

SECTION 16452 GROUNDING

3.4 FIELD QUALITY CONTROL

- A. Tests: Subject the completed grounding system to a megger test at each location where a maximum ground-resistance level is specified, at service disconnect enclosure grounding terminal, and at ground test wells. Measure ground resistance not less than 2 full days after the last trace of precipitation, and without the soil being moistened by any means other than natural drainage or seepage and without chemical treatment or other artificial means of reducing natural ground resistance. Perform tests by the 2-point method according to IEEE 81.
- B. Maximum grounding to resistance values are as follows:
 - 1. Equipment Rated 500 kVA and Less: 10 ohms.
- C. Excessive Ground Resistance: Where resistance to ground exceeds specified values, notify OWNER promptly and include recommendations to reduce ground resistance and to accomplish recommended WORK.
- D. Report: Prepare test reports of ground resistance at each test location. Include observations of weather and other phenomena that may affect test results. Describe measures taken to improve test results.

3.5 ADJUSTING AND CLEANING

- A. Restore surface features, including vegetation, at areas disturbed by WORK of this Section. Reestablish original grades, except as otherwise indicated. Where sod has been removed, replace it as soon as possible after backfilling is completed. Restore areas disturbed by trenching, storing of dirt, cable laying, and other activities to their original condition. Include topsoiling, fertilizing, liming, seeding, sodding, sprigging, and mulching. Maintain restored surfaces. Restore disturbed paving as indicated.

END OF SECTION

SECTION 16470 PANELBOARDS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes lighting and power panelboards and associated auxiliary equipment rated 600 V and less.
- B. Related Sections include the following:
 - 1. Division 16 Section 16050 – “Basic Electrical Materials and Methods” for general materials, installation, and labeling methods.

1.3 SUBMITTALS

- A. Product Data: For each type of panelboard, accessory item, and component specified.
- B. Panelboard Schedules: For installation in panelboards. Submit final versions after load balancing.
- C. Maintenance Data: For panelboard components to include in the maintenance manuals specified in Division 1. Include manufacturer's written instructions for testing circuit breakers.

1.4 QUALITY ASSURANCE

- A. Listing and Labeling: Provide products specified in this Section that are listed and labeled.
 - 1. The Terms "Listed" and "Labeled": As defined in the National Electrical Code, Article 100.
 - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" as defined in OSHA Regulation 1910.7.
- B. Comply with NFPA 70.
- C. Comply with NEMA PB 1.

SECTION 16470 PANELBOARDS

1.5 EXTRA MATERIALS

- A. Keys: 6 spares of each type for panelboard cabinet lock.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the WORK include, but are not limited to, the following:
 - 1. Eaton Corp.; Westinghouse & Cutler-Hammer Products.
 - 2. General Electric Co.; Electrical Distribution & Control Div.
 - 3. Siemens Energy & Automation, Inc.
 - 4. Square D Co.

2.2 PANELBOARD FABRICATION

- A. Enclosures: Flush- or surface-mounted cabinets as indicated. NEMA PB 1, Type 1, unless otherwise indicated to meet environmental conditions at installed location.
 - 1. Outdoor Locations: NEMA 250, Type 4X.
 - 2. Other Wet or Damp Indoor Locations: NEMA 250, Type 4.
- B. Front: Secured to box with concealed trim clamps, unless otherwise indicated. Front for surface-mounted panelboards shall be same dimensions as box. Fronts for flush panelboards shall overlap box, unless otherwise indicated.
- C. Directory Frame: Metal, mounted inside each panelboard door.
- D. Bus: Hard drawn copper of 98 percent conductivity.
- E. Main and Neutral Lugs: Compression type.
- F. Equipment Ground Bus: Adequate for feeder and branch-circuit equipment ground conductors. Bonded to box.
- G. Service Equipment Approval: Listed for use as service equipment for MDP panelboard.
- H. Future Devices: Equip with mounting brackets, bus connections, and necessary appurtenances, for the overcurrent protective device ampere ratings indicated for future installation of devices.

SECTION 16470 PANELBOARDS

2.3 BRANCH-CIRCUIT PANELBOARDS

- A. Branch Overcurrent Protective Devices: Bolt-on circuit breakers, replaceable without disturbing adjacent units.
- B. Doors: In panelboard front, with concealed hinges. Secure with flush catch and tumbler lock, all keyed alike.

2.4 DISTRIBUTION PANELBOARDS

- A. Doors: In panelboard front, except omit in fusible-switch panelboard, unless otherwise indicated. Secure door with vault-type latch with tumbler lock, all keyed alike.
- B. Branch-Circuit Breakers: Where overcurrent protective devices are indicated to be circuit breakers, use bolt-on circuit breakers, except circuit breakers 225-A frame size and greater may be plug-in type where individual positive-locking device requires mechanical release for removal.

2.5 OVERCURRENT PROTECTIVE DEVICES

- A. Molded-Case Circuit Breaker: NEMA AB 1, handle lockable.
 - 1. Characteristics: Frame size, trip rating, number of poles, and auxiliary devices as indicated and interrupting capacity rating to meet available fault current.
 - 2. Application Listing: Appropriate for application, including Type SWD for switching fluorescent lighting loads and Type HACR for heating, air-conditioning, and refrigerating equipment.
 - 3. Circuit Breakers, 200 A and Larger: Trip units interchangeable within frame size.
 - 4. Circuit Breakers, 400 A and Larger: Field-adjustable short-time and continuous current settings.
 - 5. Current-Limiting Trips: Where indicated, let-through ratings less than NEMA FU 1, Class RK-5.
 - 6. Current Limiters: Where indicated, integral fuse listed for circuit breaker.
 - 7. Lugs: Mechanical lugs and power-distribution connectors for number, size, and material of conductors indicated.
 - 8. Shunt Trip: Where indicated. Provide a shunt trip circuit breaker for generator.

2.6 ACCESSORY COMPONENTS AND FEATURES

- A. Accessory Set: Include tools and miscellaneous items as required for overcurrent protective device test, inspection, maintenance, and operation.

SECTION 16470 PANELBOARDS

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install panelboards and accessory items according to NEMA PB 1.1.
- B. Mounting Heights: Top of trim 74 inches (1880 mm) above finished floor, unless otherwise indicated.
- C. Mounting: Plumb and rigid without distortion of box. Mount flush panelboards uniformly flush with wall finish.
- D. Circuit Directory: Type directory to indicate installed circuit loads after balancing panelboard loads. Obtain approval before installing.
- E. Install filler plates in unused spaces.
- F. Wiring in Panelboard Gutters: Arrange conductors into groups, and bundle and wrap with wire ties after completing load balancing.

3.2 IDENTIFICATION

- A. Identify field-installed wiring and components and provide warning signs as specified in Division 16 Section 16050 – “Basic Electrical Materials And Methods”.
- B. Panelboard Nameplates: Label each panelboard with engraved laminated-plastic or metal nameplates mounted with corrosion-resistant screws.

3.3 GROUNDING

- A. Make equipment grounding connections for panelboards as indicated.

3.4 CONNECTIONS

- A. Tighten electrical connectors and terminals, including grounding connections, according to manufacturer's published torque-tightening values. Where manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

3.5 FIELD QUALITY CONTROL

- A. Prepare for acceptance tests as follows:

SECTION 16470 PANELBOARDS

1. Make insulation-resistance tests of each panelboard bus, component, and connecting supply, feeder, and control circuits.
 2. Make continuity tests of each circuit.
- B. Testing: After installing panelboards and after electrical circuitry has been energized, demonstrate product capability and compliance with requirements.
1. Procedures: Perform each visual and mechanical inspection and electrical test stated in NETA ATS, Section 7.5 for switches and Section 7.6 for molded-case circuit breakers. Certify compliance with test parameters.
 2. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, remove and replace with new units, and retest.

3.6 ADJUSTING

- A. Set field-adjustable switches and circuit-breaker trip ranges as indicated.

3.7 CLEANING

- A. On completion of installation, inspect interior and exterior of panelboards. Remove paint splatters and other spots, dirt, and debris. Touch up scratches and mars of finish to match original finish.

END OF SECTION

SECTION 16476 - DISCONNECT SWITCHES AND CIRCUIT BREAKERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes individually mounted switches and circuit breakers used for the following:
 - 1. Service disconnect switches.
 - 2. Feeder and equipment disconnect switches.
 - 3. Feeder branch-circuit protection.
- B. Related Sections: The following Sections contain requirements that relate to this Section:
 - 1. Section 16140 Wiring Devices for attachment plugs and receptacles, and snap switches used for disconnect switches.

1.3 SUBMITTALS

- A. General: Submit each item in this Article according to the Conditions of the contract and Division 1 Specification Sections.
- B. Product Data for disconnect switches, circuit breakers, and accessories specified in this Section.

1.4 QUALITY ASSURANCE

- A. Source Limitations: Obtain disconnect switches and circuit breakers from one source and by a single manufacturer.
- B. Comply with NFPA 70 for components and installation.
- C. Listing and Labeling: Provide disconnect switches and circuit breakers specified in this Section that are listed and labeled.
 - 1. The Terms "Listed" and "Labeled": As defined in the National Electrical Code, Article 100.
 - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" (NRTL) as defined in OSHA Regulation 1910.7.

SECTION 16476 - DISCONNECT SWITCHES AND CIRCUIT BREAKERS

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering disconnect switches and circuit breakers that may be incorporated into the WORK include, but are not limited to, the following:
1. Molded-Case Circuit Breakers:
 - a. American Circuit Breaker Corp.
 - b. Eaton Corp.; Cutler-Hammer Products.
 - c. General Electric Co.; Electrical Distribution and Control Division.
 - d. Klockner-Moeller.
 - e. Siemens Energy & Automation, Inc.
 - f. Square D Co.
 - g. Westinghouse Electric Corp.; Distribution & Control Business Unit.

2.2 DISCONNECT SWITCHES

- A. Enclosed, Nonfusible Switch: NEMA KS 1, Type HD, with lockable handle.
- B. Enclosed, Fusible Switch, 800 A and Smaller: NEMA KS 1, Type HD, clips to accommodate specified fuses, enclosure consistent with environment where located, handle lockable with padlock, and interlocked with cover in CLOSED position.
- C. Enclosure: NEMA KS 1, Type 1, unless otherwise specified or required to meet environmental conditions of installed location.
1. Outdoor Locations: Type 4X.
 2. Other Wet or Damp Indoor Locations: Type 4X

2.3 ENCLOSED CIRCUIT BREAKERS

- A. Enclosed, Molded-Case Circuit Breaker: NEMA AB 1, with lockable handle.
- B. Characteristics: Frame size, trip rating, number of poles, and auxiliary devices as indicated and interrupting rating to meet available fault current.
- C. Application Listing: Appropriate for application, including switching fluorescent lighting loads or heating, air-conditioning, and refrigerating equipment.
- D. Circuit Breakers, 200 A and Larger: Trip units interchangeable within frame size.
- E. Circuit Breakers, 400 A and Larger: Field-adjustable, short-time and continuous-current settings.
- F. Current-Limiting Trips: Provide fuse class and type as shown.
- G. Current Limiters: Where indicated, integral fuse listed for circuit breaker.

SECTION 16476 - DISCONNECT SWITCHES AND CIRCUIT BREAKERS

- H. Molded-Case Switch: Where indicated, molded-case circuit breaker without trip units.
- I. Lugs: Mechanical lugs and power-distribution connectors for number, size, and material of conductors indicated.
- J. Accessories: As indicated.
- K. Enclosure: NEMA AB 1, Type 1, unless otherwise specified or required to meet environmental conditions of installed location.
 - 1. Outdoor Locations: Type 4X.
 - 2. Other Wet or Damp Indoor Locations: Type 4X.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install disconnect switches and circuit breakers in locations as indicated, according to manufacturer's written instructions.
- B. Install disconnect switches and circuit breakers level and plumb.
- C. Install wiring between disconnect switches, circuit breakers, control, and indication devices.
- D. Connect disconnect switches and circuit breakers and components to wiring system and to ground as indicated and instructed by manufacturer.
 - 1. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. Where manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.
- E. Identify each disconnect switch and circuit breaker according to requirements specified in Division 16 Section 16050 – “Basic Electrical Materials And Methods”.

3.2 FIELD QUALITY CONTROL

- A. Testing: After installing disconnect switches and circuit breakers and after electrical circuitry has been energized, demonstrate product capability and compliance with requirements.
 - 1. Procedures: Perform each visual and mechanical inspection and electrical test stated in NETA ATS, Section 7.5 for disconnect switches and Section 7.6 for molded-case circuit breakers.
- B. Correct malfunctioning units on-site, where possible, and retest to demonstrate compliance; otherwise, remove and replace with new units and retest.

SECTION 16476 - DISCONNECT SWITCHES AND CIRCUIT BREAKERS

3.3 ADJUSTING

- A. Set field-adjustable disconnect switches and circuit-breaker trip ranges as indicated.

3.4 CLEANING

- A. After completing system installation, including outlet fittings and devices, inspect exposed finish. Remove burrs, dirt, and construction debris and repair damaged finish including chips, scratches, and abrasions.

END OF SECTION

SECTION 16478 - TRANSIENT VOLTAGE SUPPRESSION

PART 1- GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes transient voltage surge suppressors for low-voltage circuits and equipment.

1.3 SYSTEM DESCRIPTION

- A. Transient voltage suppression for low-voltage distribution systems, with suppressors located at each major bus, including service entrances, feeders, and branch-circuit distribution equipment.
- B. System Exposure: IEEE C62.41, medium.

1.4 SUBMITTALS

- A. Product Data: Include rated capacities; shipping, installed, and operating weights; furnished specialties; and accessories for each model indicated.
- B. Field Test Reports: Indicate and interpret test results for compliance with performance requirements.
- C. Maintenance Data: For transient voltage surge suppressors to include in the maintenance manuals specified in Division 1.
- D. Warranties: Special warranties specified in this Section.

1.5 QUALITY ASSURANCE

- A. Listing and Labeling: Provide electrically operated equipment specified in this Section that is listed and labeled.
 - 1. The Terms "Listed" and "Labeled": As defined in the National Electrical Code, Article 100.
 - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" as defined in OSHA Regulation 1910.7.
- B. Comply with NFPA 70.

SECTION 16478 - TRANSIENT VOLTAGE SUPPRESSION

1.6 WARRANTY

- A. General Warranty: The special warranty specified in this Article shall not deprive the OWNER of other rights the OWNER may have under other provisions of the Contract Documents and shall be in addition to, and run concurrent with, other warranties made by the CONTRACTOR under requirements of the Contract Documents.
- B. Special Warranty: A written warranty, executed by manufacturer, agreeing to repair or replace components of transient voltage surge suppressors that fail in materials or workmanship within the specified warranty period.
 - 1. Warranty Period: 3 years from date of Substantial Completion.

PART 2- PRODUCTS

2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
 - 1. Liebert, Inc.
 - 2. Transtector Systems, Inc.
 - 3. Leviton, Inc.

2.2 TRANSIENT VOLTAGE SURGE SUPPRESSORS

- A. Functional Description: Solid-state, 2-stage, transient voltage surge suppressors employing no series-connected suppression components.
 - 1. Primary Suppression: Employs metal oxide varistor suppression modules or silicon avalanche diode suppression modules.
 - 2. Secondary Suppression: Employs metal oxide varistor suppression modules.
 - 3. Fuses in each suppression-module circuit prevent damage to suppressor during failure of any module.
- B. Overall Ratings: As indicated and as required to comply with location categories according to NEMA LS 1.
- C. Maximum Continuous Operating Voltage: At least 115 percent of nominal system operating voltage.
- D. Connection Means: Permanently wired.
- E. Protection Modes: Include the following:
 - 1. Line-to-neutral.
 - 2. Line-to-line.

SECTION 16478 - TRANSIENT VOLTAGE SUPPRESSION

3. Line-to-ground.
 4. Neutral-to-ground.
- F. Service Conditions: Include the following:
1. Operating Temperature: 30 to 120 deg F (0 to 50 deg C).
 2. Humidity: 0 to 85 percent, noncondensing.
 3. Altitude: Less than 20,000 feet (6000 m) above sea level.
- G. Enclosure: NEMA 250, Type 1.

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine conditions for compliance with requirements for installation tolerances, power characteristics, and other conditions affecting performance of transient voltage surge suppressors. Do not proceed with installation until unsatisfactory conditions have been corrected.

3.2 CONNECTIONS

- A. Connect transient voltage suppression circuit in line-to-neutral configuration if a neutral conductor is available.
- B. Ground each transient voltage surge suppressor enclosure.
1. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. Where manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

3.3 FIELD QUALITY CONTROL

- A. Manufacturer's Field Service: Supervision of the field assembly of components and installation of transient voltage surge suppressors, including electrical connections, by a factory-authorized service representative. Report results in writing.

END OF SECTION

SECTION 16481 MOTOR CONTROLLERS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes ac motor-control devices rated 600 V and less that are supplied as enclosed units.
- B. Related Sections include the following:
 - 1. Section 16050 Basic Electrical Materials and Methods for general materials and installation methods.

1.3 SUBMITTALS

- A. Product Data: For products specified in this Section. Include dimensions, ratings, and data on features and components.

1.4 QUALITY ASSURANCE

- A. Source Limitations: Obtain similar motor-control devices through one source from a single manufacturer.
- B. Comply with NFPA 70.
- C. Listing and Labeling: Provide motor controllers specified in this Section that are listed and labeled.
 - 1. The Terms "Listed" and "Labeled": As defined in the National Electrical Code, Article 100.
 - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" as defined in OSHA Regulation 1910.7.

1.5 COORDINATION

- A. Coordinate features of controllers and accessory devices with pilot devices and control circuits to which they connect.
- B. Coordinate features, accessories, and functions of each motor controller with the ratings and characteristics of the supply circuit, the motor, the required control sequence, and the duty cycle of the motor and load.

SECTION 16481 MOTOR CONTROLLERS

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the WORK include, but are not limited to, the following:
1. ABB Power Distribution, Inc.; ABB Control, Inc. Subsidiary.
 2. Allen-Bradley Co.; Industrial Control Group.
 3. Crouse-Hinds ECM.; Cooper Industries, Inc. Div.
 4. Danfoss Inc.; Danfoss Electronic Drives Div.
 5. Eaton Corp.; Westinghouse & Cutler-Hammer Products.
 6. Furnas Electric Co.
 7. General Electric Co.; Electrical Distribution & Control Div.
 8. Siemens Energy & Automation, Inc.
 9. Square D Co.

2.2 SOLID-STATE MOTOR CONTROLLERS

- A. Description: Microprocessor controlled starter with electronic motor overload protection integral to the unit. Available options shall include pump control and braking control. Starter shall have the following standard modes of operation:
1. Soft Start
 2. Current Limit Start
 3. Dual Ramp Start
 4. Full Voltage Start
 5. Preset Slow Speed
 6. Linear Speed Acceleration
 7. Soft Stop
- Provide Allen Bradley Bulletin 150 series SMC-Flex or equal.
- B. Control Circuit: 120 V; obtained from integral control power transformer, unless otherwise indicated. Include a control power transformer with adequate capacity to operate connected pilot, indicating and control devices, plus 100 percent spare capacity.
- C. Protection: Controller shall have the following protective and diagnostic features:
1. Overload protection
 2. Underload protection
 3. Undervoltage protection
 4. Overvoltage protection
 5. Unbalance protection

SECTION 16481 MOTOR CONTROLLERS

6. Stall and Jam Protection
7. Ground Fault sensing
8. Thermistor/PTC motor winding temperature sensing.
9. SCR overtemperature protection
10. Open Gate SCR sensing.
11. Line Faults – voltage loss, missing load, shorted SCR, line fault, phase reversal

D. Metering: Controller shall have the following power monitoring parameters included:

1. Three-phase current
2. Three-phase voltage
3. Power in kW
4. Power usage in kWh
5. Power factor
6. Motor thermal capacity usage
7. Elapsed time meter

E. Interface: Provide a human interface module (HIM) to control the starter, change set points, monitor parameters, and display voltage, current, and elapsed time continuously.

2.3 ENCLOSURES

A. Description: Flush or surface-mounted cabinets as indicated. NEMA 250, Type 1, unless otherwise indicated to meet environmental conditions at installed location.

1. Outdoor Locations: NEMA 250, Type 4X.
2. Other Wet or Damp Indoor Locations: NEMA 250, Type 4X.

PART 3 - EXECUTION

3.1 APPLICATIONS

- A. Select features of each motor controller to coordinate with ratings and characteristics of supply circuit and motor; required control sequence; duty cycle of motor, drive, and load; and configuration of pilot device and control circuit affecting controller functions.
- B. Provide starters as shown on the Drawings. Provide oversized starters as shown. Adjust overload relay to motor full load current.

3.2 INSTALLATION

- A. Install as shown on the Drawings.

SECTION 16481 MOTOR CONTROLLERS

3.3 IDENTIFICATION

- A. Identify motor-control components and control wiring according to Division 16 Section 16050 Basic Electrical Materials and Methods.

3.4 CONTROL WIRING INSTALLATION

- A. Install wiring between motor-control devices according to Section 16120 Conductors and Cables.
- B. Bundle, train, and support wiring in enclosures.
 - 1. Control motor controllers per the ladder diagram for the pump control panel.

3.5 CONNECTIONS

- A. Tighten connectors, terminals, bus joints, and mountings. Tighten field-connected connectors and terminals, including screws and bolts, according to manufacturer's published torque-tightening values. Where manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

3.6 FIELD QUALITY CONTROL

- A. Testing: After installing motor controllers and after electrical circuitry has been energized, demonstrate product capability and compliance with requirements.
 - 1. Procedures: Perform each visual and mechanical inspection and electrical test stated in NETA ATS, Sections 7.5, 7.6, and 7.16. Certify compliance with test parameters.
 - 2. Remove and replace malfunctioning units with new units, and retest.

3.7 CLEANING

- A. Remove paint splatters and other spots, dirt, and debris. Touch up scratches and mars of finish to match original finish. Clean devices internally, using methods and materials recommended by manufacturer.

END OF SECTION

SECTION 16490 - MOTORS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes ac motors rated 600 V and less.
- B. Related Sections include the following:
 - 1. Section 16050 Basic Electrical Materials and Methods for labeling materials.
 - 2. Section 16481 Motor Controllers for motor starters.

1.3 SUBMITTALS

- A. Product Data: For products specified in this Section. Include dimensions, ratings, and data on features and components.
- B. Maintenance Data: For products to include in the maintenance manuals specified in Section 01300 Contractor Submittals.

1.4 QUALITY ASSURANCE

- A. Source Limitations: Obtain similar motors through one source from a single manufacturer.
- B. Comply with NFPA 70.
- C. Listing and Labeling: Provide motors specified in this Section that are listed and labeled.
 - 1. The Terms "Listed" and "Labeled": As defined in the National Electrical Code, Article 100.
 - 2. Listing and Labeling Agency Qualifications: A "Nationally Recognized Testing Laboratory" as defined in OSHA Regulation 1910.7.

1.5 COORDINATION

- A. Coordinate features, accessories, and functions of each motor with the ratings and characteristics of the supply circuit, the motor controller, the required control sequence, and the required duty cycle of the load.

SECTION 16490 - MOTORS

PART 2 - PRODUCTS

2.1 GENERAL

- A. Provide motors conforming to NEMA standards. The frame size, enclosures, etc., shall be suited to the application.
- B. A label shall be attached to the motor noting the motor ratings.
- C. Determine the supply voltage from the drawings. Provide motors capable of operating at rated load at plus or minus 10 percent of the supply voltage.
- D. Provide motors as an integral part of their associated equipment and systems. Coordinate with the other Specification Divisions as required. Provide explosion proof motors with pumps.

2.2 SERVICE FACTOR

- A. Provide motors with a service factor of 1.15 min.
- B. Certify motors with intermittent and/or varying duty cycles and loads for their specific applications.
- C. Size the motor such that its rating is never exceeded no matter where on the pump curve the pump is operated. Coordinate motor size with pump manufacturer including pump curve data for impeller the pump will be equipped with.

2.3 INSULATION

- A. Provide motors with NEMA Class F insulation, unless otherwise noted.
- B. The motors greater than 1 horsepower shall have a NEMA Class B temperature rise, based upon ambient temperature of 40 degrees Celsius.
- C. Provide motors specifically designed for operation with variable speed drives. Provide motors with a minimum Corona Inception Voltage (CIV) at room temperature (25 degrees Celsius) of 4000 for all phase connections.

2.4 LOCKED ROTOR CURRENT

- A. Provide motors less than 15 horsepower with locked rotor current rating less than NEC code M, per NEC Table 430-7(b).

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install the motor as required by the application and in accordance to the manufacturer's requirements.

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- B. Ensure proper alignment and dynamic balancing.
- C. Verify proper rotational direction.
- D. Install the motorized equipment ensuring minimal transmission of vibration. Utilize anti-vibration pads and inertial dampeners as required.
- E. Connect conductors and raceways allowing for minimal vibration transmission, as required.
- F. The drawings indicate motor sizes based upon certain manufacturers' information. Adjust sizes of conductors, fuses, circuit breakers, raceways, motor controllers, variable speed drives, chokes, filters, over-current protection, etc. as necessitated by motor size changes. Circuit breakers and fuses in control panels are shown in some cases oversized. Do not reduce the ratings of these over current devices without the written consent of the ENGINEER.

3.2 IDENTIFICATION

- A. Identify motors and control wiring according to Division 16 Section 16050 "Basic Electrical Materials and Methods".

3.3 CONNECTIONS

- A. Tighten connectors, terminals, bus joints, and mountings. Tighten field-connected connectors and terminals, including screws and bolts, according to manufacturer's published torque-tightening values. Where manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

END OF SECTION

SECTION 16511 INTERIOR LIGHTING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings applicable sections of the Specifications, apply to this Section.

1.2 SUMMARY

- A. This Section includes interior lighting fixtures, lighting fixtures mounted on exterior building surfaces, lamps, ballasts, emergency lighting units, and accessories.

1.3 SUBMITTALS

- A. Product Data: For each type of lighting fixture indicated, arranged in order of fixture designation. Include data on features, accessories, and the following:
 1. Dimensions of fixtures.
 2. Certified results of independent laboratory tests for fixtures and lamps for electrical ratings and photometric data.
 3. Certified results of laboratory tests for fixtures and lamps for photometric performance.
 4. Emergency lighting unit battery and charger.
 5. Fluorescent and high-intensity-discharge ballasts.
 6. Types of lamps.

1.4 QUALITY ASSURANCE

- A. Fixtures, Emergency Lighting Units, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction.
- B. Comply with NFPA 70.
- C. FM Compliance: Fixtures for hazardous locations shall be listed and labeled for indicated class and division of hazard by FM.

1.5 COORDINATION

- A. Fixtures, Mounting Hardware, and Trim: Coordinate layout and installation of lighting fixtures with ceiling system and other construction.

1.6 WARRANTY

- A. General Warranty: Special warranty specified in this Article shall not deprive OWNER of other rights OWNER may have under other provisions of the Contract Documents and

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shall be in addition to, and run concurrent with, other warranties made by CONTRACTOR under requirements of the Contract Documents.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Products: Subject to compliance with requirements, provide one of the products indicated for each designation in the Luminaire Schedule on the Drawings.

2.2 FIXTURES AND FIXTURE COMPONENTS, GENERAL

- A. Metal Parts: Free from burrs, sharp corners, and edges.
- B. Sheet Metal Components: Steel, unless otherwise indicated. Form and support to prevent warping and sagging.
- C. Doors, Frames, and Other Internal Access: Smooth operating, free from light leakage under operating conditions, and arranged to permit relamping without use of tools. Arrange doors, frames, lenses, diffusers, and other pieces to prevent accidental falling during relamping and when secured in operating position.
- D. Reflecting Surfaces: Minimum reflectance as follows, unless otherwise indicated:
 - 1. White Surfaces: 85 percent.
 - 2. Specular Surfaces: 83 percent.
 - 3. Diffusing Specular Surfaces: 75 percent.
 - 4. Laminated Silver Metallized Film: 90 percent.
- E. Lenses, Diffusers, Covers, and Globes: 100 percent virgin acrylic plastic or annealed crystal glass, unless otherwise indicated.
 - 1. Plastic: High resistance to yellowing and other changes due to aging, exposure to heat, and ultraviolet radiation.
 - 2. Lens Thickness: 0.125 inch (3 mm) minimum, unless greater thickness is indicated.
- F. Electromagnetic Interference Filters: Integral to fixture assembly. Provide one filter for each ballast. Suppress conducted electromagnetic interference filters as required by MIL-STD-461.

2.3 FLUORESCENT LAMP BALLASTS

- A. General Requirements: Unless otherwise indicated, features include the following:

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1. Designed for type and quantity of lamps indicated at full light output.
 2. Total Harmonic Distortion Rating: Less than 20 percent.
 3. Sound Rating: A.
- B. Electronic Ballasts for Linear Lamps: Unless otherwise indicated, features include the following, besides those in "General Requirements" Paragraph above:
1. Certified Ballast Manufacturer Certification: Indicated by label.
 2. Encapsulation: Without voids in potting compound.
 3. Parallel Lamp Circuits: Multiple lamp ballasts connected to maintain full light output on surviving lamps if one or more lamps fail.
 4. All fluorescent ballasts shall be electronic.

2.4 LAMPS

- A. Fluorescent Color Temperature and Minimum Color-Rendering Index: 3500 K and 80 CRI, unless otherwise indicated.

2.5 FIXTURE SUPPORT COMPONENTS

- A. Comply with Division 16 Section 16050 Basic Electrical Materials and Methods, for channel- and angle-iron supports and nonmetallic channel and angle supports.

2.6 FINISHES

- A. Fixtures: Manufacturer's standard, unless otherwise indicated.
1. Paint Finish: Applied over corrosion-resistant treatment or primer, free of defects.
 2. Metallic Finish: Corrosion resistant.

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Fixtures: Set level, plumb, and square with ceiling and walls, and secure according to manufacturer's written instructions and approved submittal materials. Install lamps in each fixture.
- B. Provide electronic ballasts on all fluorescent luminaries.

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3.2 CONNECTIONS

- A. Ground equipment.
 - 1. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

3.3 FIELD QUALITY CONTROL

- A. Inspect each installed fixture for damage. Replace damaged fixtures and components.
- B. Tests: As follows:
 - 1. Verify normal operation of each fixture after installation.
 - 2. Emergency Lighting: Interrupt electrical supply to demonstrate proper operation.
 - 3. Verify normal transfer to battery source and retransfer to normal.
- C. Malfunctioning Fixtures and Components: Replace or repair, then retest. Repeat procedure until units operate properly.
- D. Corrosive Fixtures: Replace during warranty period.

3.4 CLEANING AND ADJUSTING

- A. Clean fixtures internally and externally after installation. Use methods and materials recommended by manufacturer.
- B. Adjust aimable fixtures to provide required light intensities.

END OF SECTION

SECTION 16521 EXTERIOR LIGHTING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes exterior lighting units with luminaires, lamps, ballasts, poles/support structures, and accessories.

1.3 DEFINITIONS

- A. Lighting Unit: A luminaire or an assembly of luminaires complete with a common support, including pole, post, foundation, or other structure, and mounting and support accessories.
- B. Luminaire (Light Fixture): A complete lighting device consisting of lamp(s) and ballast(s), when applicable, together with parts designed to distribute light, to position and protect lamps, and to connect lamps to power supply.

1.4 SUBMITTALS

- A. Product Data: For each type of lighting unit indicated, arranged in order of lighting unit designation. Include data on features, accessories, finishes, and the following:
 - 1. Materials and dimensions of luminaires and poles.
 - 2. Certified results of independent laboratory tests for fixtures and lamps for electrical ratings and photometric data.
 - 3. High-intensity-discharge luminaire ballasts.
 - 4. Provide information on the candela output along the vertical axis for each luminaire to show compliance with the requirements on the Drawings.
 - 5. Show glare control features on each luminaire used for ballfield lighting.
- B. Shop Drawings: Anchor-bolt templates keyed to specific poles and certified by manufacturer. Shop Drawings of the poles for use by the pole fabricator.
- C. If an alternate foundation system is proposed by the CONTRACTOR, submit Shop Drawings and design calculations for the foundation system.
- D. Samples for Verification: Provide one sample of each type of pole mounted luminaire.
- E. Product Certificates: Signed by manufacturers of lighting units certifying that products comply with requirements.

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- F. Field Test Reports: Indicate and interpret test results for compliance with performance requirements.

1.5 QUALITY ASSURANCE

- A. Luminaires and Accessories: Listed and labeled as defined in NFPA 70, Article 100, for their indicated use, location, and installation conditions by a testing agency acceptable to authorities having jurisdiction
- B. Comply with ANSI C2.
- C. Comply with NFPA 70.
- D. FM Compliance: Units for hazardous locations shall be listed and labeled for indicated class and division of hazard by FM.

1.6 DELIVERY, STORAGE, AND HANDLING OF POLES

- A. Retain factory-applied pole wrappings on metal poles until just before pole installation. For all poles, handle with web fabric straps.

1.7 WARRANTY

- A. General Warranty: Special warranty specified in this Article shall not deprive OWNER of other rights OWNER may have under other provisions of the Contract Documents and shall be in addition to, and run concurrent with, other warranties made by CONTRACTOR under requirements of the Contract Documents. Provide a general warranty for all materials and workmanship for a period of three years from the date of Substantial Completion.
- B. Special Warranty: Written warranty, signed by manufacturer and installer agreeing to replace external parts of luminaires and poles exhibiting a failure of finish as specified below. This warranty is in addition to, and not a limitation of, other rights and remedies OWNER may have under requirements of the Contract Documents.
 - 1. Protection of Metal from Corrosion: Warranty against perforation or erosion of finish due to weathering.
 - 2. Color Retention: Warranty against fading, staining, and chalking due to effects of weather and solar radiation.
 - 3. Warranty Period: Manufacturer's standard, but not less than five years from date of Substantial Completion.

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1.8 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
 - 1. Lamps: 10 for every 100 of each type and rating installed. Furnish at least one of each type.
 - 2. Glass and Plastic Lenses, Covers, and Other Optical Parts: 1 for every 100 of each type and rating installed. Furnish at least one of each type.
 - 3. Ballasts: 1 for every 100 of each type and rating installed. Furnish at least one of each type.
 - 4. Reflectors, Glare Shields, Globes and Guards: 1 for every 20 of each type and rating installed. Furnish at least one of each type.

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Available Products: Subject to compliance with requirements, products that may be incorporated into the Work include, but are not limited to, the products indicated on the Drawings.

2.2 LUMINAIRES

- A. Comply with IESNA RP-8 for parameters of lateral light distribution patterns indicated for luminaires.
- B. Metal Parts: Free from burrs, sharp corners, and edges.
- C. Sheet Metal Components: Corrosion-resistant aluminum, unless otherwise indicated. Form and support to prevent warping and sagging.
- D. Housings: Rigidly formed, weather- and light-tight enclosures that will not warp, sag, or deform in use. Provide filter/breather for enclosed luminaires.
- E. Doors, Frames, and Other Internal Access: Smooth operating, free from light leakage under operating conditions, and arranged to permit relamping without use of tools. Arrange doors, frames, lenses, diffusers, and other pieces to prevent accidental falling during relamping and when secured in operating position. Provide for door removal for cleaning or replacing lens. Arrange to disconnect ballast when door opens.
- F. Exposed Hardware Material: Stainless steel.
- G. Plastic Parts: No plastic parts.

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- H. Reflecting Surfaces: Minimum reflectance as follows, unless otherwise indicated:
1. White Surfaces: 85 percent.
 2. Specular Surfaces: 83 percent.
 3. Diffusing Specular Surfaces: 75 percent.
- I. Lenses and Refractors: Materials as indicated. Use heat- and aging-resistant, resilient gaskets to seal and cushion lens and refractor in luminaire doors.
- J. Photoelectric Relays: As follows:
1. Contact Relays: Single throw, arranged to fail in the on position and factory set to turn light unit on at 1.5 to 3 fc (16 to 32 lx) and off at 4.5 to 10 fc (48 to 108 lx) with 15-second minimum time delay.
 2. Relay Mounting: In electrical enclosures.
- K. High-Intensity-Discharge Ballasts: Comply with ANSI C82.4. Constant wattage autotransformer or regulating high-power-factor type, unless otherwise indicated.
1. Ballast Fuses: One in each ungrounded supply conductor. Voltage and current ratings as recommended by ballast manufacturer.
 2. Single-Lamp Ballasts: Minimum starting temperature of minus 40 deg C.
 3. Open-circuit operation will not reduce average life.
 4. High-Pressure Sodium Ballasts: Equip with a solid-state igniter/starter having an average life in pulsing mode of 10,000 hours at an igniter/starter case temperature of 90 deg C.
 5. Noise: Uniformly quiet operation, with a noise rating of B or better.
 6. Surge Protector: Hard-wired unit external to ballast case, rated for supply circuit line voltage, and encapsulated for circuit and moisture protection. Three-stage surge protection with three suppression modes provides 330-V peak clamping, line to neutral, line to ground, and neutral to ground. Pulse life is 500 3KA-8x20 microsecond impulses, and response time is less than 1 nanosecond. Internal fuse takes device off line on failure and lights a light-emitting diode failure indicator.
- L. Lamps: Comply with the standard of the ANSI C78 series that is applicable to each type of lamp. Provide luminaires with indicated lamps of designated type, characteristics, and wattage. Where a lamp is not indicated for a luminaire, provide medium wattage lamp recommended by manufacturer for luminaire.
1. Metal-Halide Color Temperature and Minimum Color-Rendering Index: 3600 K and 70 CRI, unless otherwise indicated.
- M. Additional Requirements: As shown on the Drawings.

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2.3 LUMINAIRE SUPPORT COMPONENTS

- A. Mountings, Fasteners, and Appurtenances: Corrosion-resistant items compatible with support components.
 - 1. Materials: Will not cause galvanic action at contact points.
 - 2. Mountings: Correctly position luminaire to provide indicated light distribution.

2.4 FINISHES

- A. Comply with NAAMM's "Metal Finishes Manual for Architectural and Metal Products" for recommendations for applying and designating finishes.
- B. Aluminum: Finish designations prefixed by AA comply with the system established by the Aluminum Association for designating aluminum finishes.
 - 1. Class I, Clear Anodic Finish: AA-M32C22A41 (Mechanical Finish: medium satin; Chemical Finish: etched, medium matte; Anodic Coating: Architectural Class I, clear coating 0.018 mm or thicker) complying with AAMA 607.1.
- C. Steel: Grind welds and polish surfaces to a smooth, even finish.
 - 1. Galvanized Finish: Hot-dip galvanize after fabrication to comply with ASTM A 123.
 - 2. Surface Preparation: Clean surfaces to comply with SSPC-SP 1, "Solvent Cleaning," to remove dirt, oil, grease, and other contaminants that could impair paint bond. Remove mill scale and rust, if present, from uncoated steel, complying with SSPC-SP 5/NACE No. 1, "White Metal Blast Cleaning," or SSPC-SP 8, "Pickling."

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Luminaire Attachment: Fasten to indicated structural supports.
- B. Luminaire Attachment with Adjustable Features or Aiming: Attach luminaires and supports to allow aiming for indicated light distribution.
- C. Lamp luminaires with indicated lamps according to manufacturer's written instructions. Replace malfunctioning lamps.

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3.2 CONNECTIONS

- A. Ground equipment.
 - 1. Tighten electrical connectors and terminals according to manufacturer's published torque-tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.
- B. Ground metal support structures according to Division 16 Section 16452 – Grounding.

3.3 FIELD QUALITY CONTROL

- A. Inspect each installed unit for damage. Replace damaged units.
- B. Advance Notice: Give dates and times for field tests.
- C. Provide instruments to make and record test results.
- D. Tests and Observations: Verify normal operation of lighting units after installing luminaires and energizing circuits with normal power source, and as follows:
 - 1. Measure light intensities at night if specific illumination performance is indicated. Use photometers with calibration referenced to NIST standards.
 - 2. Check excessively noisy ballasts.
- E. Prepare a written report of tests, inspections, observations and verifications indicating and interpreting results.
- F. Malfunctioning Fixtures and Components: Replace or repair, then retest. Repeat procedure until units operate properly.

3.4 CLEANING AND ADJUSTING

- A. Clean units after installation. Use methods and materials recommended by manufacturer.
- B. Adjust luminaires and luminaires with adjustable lamp position to provide required light distributions and intensities.

END OF SECTION

SECTION 16900 - INSTRUMENTATION AND CONTROLS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

- A. This Section includes control and instrumentation equipment including but not limited to the programmable logic controllers (PLC), relays, indicating lights, control switches and pushbuttons, and terminal blocks.
- B. Related Sections include the following:
 - 1. Section 16050 Basic Electrical Materials and Methods for general materials and installation methods.
 - 2. Section 16050 Basic Electrical Materials and Methods for labeling materials.
 - 3. Section 16481 Motor Controllers for control of the variable speed drives.

1.3 SYSTEM DESCRIPTION

- A. Provide a pump control panel per the Drawings. Provide a settling basin alarm panel and annunciator panel per the Drawings.

1.4 SUBMITTALS

- A. Product Data: Include manufacturer's technical literature for each control device. Indicate dimensions, capacities, performance characteristics, electrical characteristics, finishes for materials, and installation and startup instructions for each type of product indicated.
- B. Shop Drawings: Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.
 - 1. Wiring Diagrams: Power, signal, and control wiring. Differentiate between manufacturer-installed and field-installed wiring. The wiring diagrams shall show the wire number for each wire and terminal number for each terminal. All wiring, terminals, and components shall be labeled in each control panel. All wiring exiting the panel shall be labeled and terminated on a terminal block that is numbered and labeled.
 - 2. Details of control panel faces, including controls, instruments, and labeling.
 - 3. System configuration showing peripheral devices, batteries, power supplies, diagrams, digital communicators, and interconnections.
 - 4. Equipment list of all equipment in each control panel.
 - 5. Manufacturer's installation manual for each piece of equipment.

SECTION 16900 - INSTRUMENTATION AND CONTROLS

- C. Maintenance Data: For systems to include in maintenance manuals specified in Division 1. Include the following:
 - 1. Maintenance instructions and lists of spare parts for each type of control device or sensor.
 - 2. Interconnection wiring diagrams with identified and numbered system components and devices.
- D. Project Record Documents: Record actual locations of control components, including control units, wiring, and sensors. Revise Shop Drawings to reflect actual installation and operating sequences.

1.5 QUALITY ASSURANCE

- A. Manufacturer Qualifications: A firm experienced in manufacturing control panels, similar to those indicated for this Project and with a record of successful in-service performance.
- B. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
- C. Comply with NFPA 70 "National Electrical Code".
- D. All control panels shall be UL 508A listed assemblies.

1.6 COORDINATION

- A. Coordinate location of devices and control panels with the other trades before installation.
- B. Coordinate equipment with Division 16 Section 16481 "Motor Controllers" to achieve compatibility with equipment that interfaces with that system.
- C. Coordinate equipment with Division 16 Section 16490 "Motors" to achieve compatibility with equipment that interfaces with that system.

1.7 EXTRA MATERIALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
- B. Replacement Materials: Two replacement devices (selector switches, indicating light, and relays, etc.) for each type shown on the Drawings. One set of fuses for each fuse shown on the control schematics. Provide a spare hour meter and resettable run time counter.

SECTION 16900 - INSTRUMENTATION AND CONTROLS

PART 2 - PRODUCTS

2.1 MANUFACTURERS

- A. Provide the control equipment by the manufacturers shown on the Drawings and specified in this section.

2.2 CONTROL PANELS

- A. Control Panels: Unitized cabinet with suitable brackets for wall or floor mounting, located adjacent to each system under automatic control. The control panel shall have a back panel for the mounting of equipment. Provide common keying for all panels.
 - 1. Fabricate panels of 0.06 inch (1.5 mm) thick, furniture-quality steel, or extruded-aluminum alloy, totally enclosed, with hinged doors and keyed lock and with manufacturer's standard shop-painted finish.
 - 2. Provide and mount the equipment in the panel or on the panel door as indicated on the Drawings.
 - 3. The panel including components as an assembly shall be a UL 508A listed assembly.

2.3 DEVICES

- A. Indicating lights: Provide oil tight/watertight/corrosion resistant NEMA 4X 30 mm push-to-test indicating lights with an LED lamp. Provide the lens cover indicated on the Drawings. Mount the light in the panel door as shown. Provide Allen Bradley Bulletin 800 Series indicating lights.
- B. Selector Switches: Provide oil tight/watertight/corrosion resistant NEMA 4X 30 mm selector switches with the number of positions shown on the Drawings. Provide the switches with gloved hand knobs. The switches shall have labeled position legend plates as shown on the Drawings. Mount the switches in the panel door as shown. Provide Allen Bradley Bulletin 800 Series selector switches.
- C. Relays: Provide industrial control relays for all relays. The relays shall have a minimum of two auxiliary contacts. Provide additional contacts as required and as shown. Provide normally open or closed contacts as required and as shown. Provide the relays with a 120V coil unless otherwise shown. Provide Allen Bradley Bulletin 700P relays with finger safe covers over all terminals to prevent accidental contact.
- D. Other devices shall be as shown on the Drawings.

SECTION 16900 - INSTRUMENTATION AND CONTROLS

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Install equipment level and plumb.
- B. Install all control equipment as shown on the Drawings. Provide all wiring necessary for all control equipment to operate properly.
- C. Provide all control wiring between the control panels and all interfacing equipment not located in the panels.
- D. Provide all power wiring to sensors powered from power supplies mounted in the control panels.
- E. Provide control wiring shown on the Drawings. Provide separate conduit for control wiring and power wiring.
- F. Provide adequate mounting space around all equipment per UL and manufacturer recommendations and requirements.
- G. Terminate all wiring leaving the control panel on terminal strips. All wiring in the panel including the wiring that leaves the panel shall be numbered and shown and described as to it's function or equipment being served on the panel wiring diagrams. All terminals shall be numbered and shown on the wiring diagrams. All wiring shall be routed in wiring management chases inside the control panel. All wiring shall be routed parallel and perpendicular to the sides of the panel.
- H. Rack mount the panel as shown in the drawings. Make the panel the dimensions shown unless a larger panel is required. If a larger panel is required, adjust the mounting rack size as required.

3.2 FIELD QUALITY CONTROL

- A. Test each piece of equipment. Test all functions and features of each piece of equipment.
- B. Replace damaged or malfunctioning controls and equipment.
 - 1. Start, test, and adjust control systems.
 - 2. Demonstrate compliance with requirements, including calibration and testing, and control sequences.
 - 3. Adjust, calibrate, and fine tune circuits and equipment to achieve sequence of operation specified.
 - 4. Test all operating sequences shown on the Drawings and all display and control features specified and shown on the Drawings.

SECTION 16900 - INSTRUMENTATION AND CONTROLS

- C. After all testing is complete and all systems are fully operational; notify the ENGINEER in writing seven days prior to requesting an inspection of the system for substantial completion. Demonstrate any and all functions and features of the Control Panels, and all other WORK covered by these Specifications and shown on the electrical Drawings to the ENGINEER. Correct all deficiencies or problems identified by the ENGINEER. Notify the ENGINEER in writing when all deficiencies and/or problems have been corrected at least 3 working days prior to a re-inspection. Continue this process until the ENGINEER has approved the WORK.

3.3 DEMONSTRATION

- A. Train OWNER's operations and maintenance personnel to adjust, operate, and maintain control systems and components.
- B. Train OWNER'S maintenance personnel on procedures and schedules for starting and stopping, troubleshooting, servicing, and maintaining equipment and schedules.
- C. Review data in maintenance manuals. Refer to Section 01300 CONTRACTOR Submittals.
- D. Schedule training with OWNER, through ENGINEER, with at least seven days' advance notice.

END OF SECTION